

2018 ANNUAL ACTION PLAN

Housing and Community Development Department

Tom McCasland, Director

July 1, 2018 - June 30, 2019



2018 ANNUAL ACTION PLAN



CITY OF HOUSTON

SYLVESTER TURNER, MAYOR

HOUSING AND COMMUNITY DEVELOPMENT DEPARTMENT

TOM MCCASLAND, DIRECTOR

MAY 2018

THIS DOCUMENT IS SUBJECT TO CHANGE PENDING HUD APPROVAL

MAYOR

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Executive Summary

ES-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

Overview. The 2018 Annual Action Plan (Plan) represents the fourth year of the 2015-2019 Consolidated Plan (Con Plan) approved by the U. S. Department of Housing and Urban Development (HUD) on July 22, 2015. This Plan is the City of Houston (City) Housing and Community Development Department's (HCDD) official application for HUD entitlement grants and proposes programs and services to be funded during the City's Fiscal Year (FY) 2019/ HCDD's Program Year (PY) 2018 (July 1, 2018 - June 30, 2019). There are four sources of federal program funds in this application

- Community Development Block Grant (CDBG)
- HOME Investment Partnerships Program (HOME)
- Housing Opportunities for Persons with AIDS (HOPWA)
- Emergency Solutions Grants Program (ESG)

According to HUD, the primary objectives of the entitlement funds are to increase the availability/accessibility, affordability, and sustainability of 1) decent housing, 2) suitable living environments, and 3) economic opportunity for low- and moderate-income Houstonians. As in the past several approved Consolidated Plans, the 2015-2019 Consolidated Plan funding priorities are divided into four categories designed to benefit low- and moderate-income Houstonians

- Affordable housing
- Supportive services
- Public improvements and infrastructure
- Economic development

The objectives of the 2015-2019 Con Plan are to

- Improve housing opportunities by creating and preserving decent, safe, affordable rental and homeowner housing
- Expand sustainable homeownership opportunities for low- and moderate-income families
- Meet the needs of persons with HIV/AIDS and their families, through the provision of housing, health, and support services
- Reduce homelessness by assisting individuals and families stabilize in permanent housing after experiencing a housing crisis or homelessness, by providing appropriate housing and supportive service solutions
- Enhance quality of life for residents by ensuring access to appropriate services
- Strengthen neighborhoods by investing in infrastructure, enforcement of safety codes, and public facilities that maximize impact by providing access to services
- Enhance the City's economic stability and prosperity by investing in opportunities for job readiness and increasing the number of jobs and/or availability of goods and services
- Promote and ensure fair access to housing for all residents

Hurricane Harvey, which devastated the Houston region in August 2017, has created a greater urgency for the City to pursue these objectives, especially the objective of increasing the supply of affordable housing. While recovery from the disaster is on-going, many people are still without safe, habitable homes. The need for affordable housing, health and social services, improved public facilities and infrastructure, and economic development has never been greater in Houston than it is right now. There is a concerted focus at HCDD to increase new development, rehabilitation and repair of single family homes. It is anticipated that HCDD will continue to increase the capacity and efficiencies of its Home Repair Program in PY 2018 and will focus federal and local funds towards repair and construction of single family homes.

2. Summarize the objectives and outcomes identified in the Plan

Annual Objectives. The Plan identifies programs and activities that will be used to meet HCDD's goals. As required by HUD, each activity is linked to one of three federal program objectives: 1) decent housing, 2) suitable living environment, or 3) economic development. Each objective is matched with one of three outcomes: 1) availability/accessibility, 2) affordability, or 3) sustainability. The annual activities that will enable HCDD to achieve these objectives and outcomes in PY 2018 are listed below.

Decent Housing

Increasing the availability, accessibility, affordability, and sustainability of decent housing for low- and moderate-income residents through

- Acquisition, rehabilitation, and new construction of multifamily and single family properties
- Services to HIV/AIDS populations (i.e. rent, mortgage, and facility operation costs)
- Homelessness prevention and rapid re-housing
- Lead-based paint testing and abatement activities

Suitable Living Environment

Increasing the availability, accessibility, affordability, and sustainability of suitable living environments for low- and moderate-income residents through

- Building/rehabilitating neighborhood facilities and infrastructure
- Code enforcement
- Juvenile, youth, and childcare programs
- Supportive and transitional housing
- Support services for populations with special needs (e.g. elderly, persons with disabilities)
- Services for the homeless
- Health care education and services

Economic Development/Opportunities

Increasing access to economic opportunity for low- and moderate-income communities through

- Expansion of business services based on community need
- Small business development services
- Job training and employment services for low- and moderate-income persons and persons with special needs

3. Evaluation of past performance

Past Performance. During the second year of the current five year consolidated planning period (July 1, 2015 to June 30, 2020), HCDD achieved many of its annual goals set for the period of July 1, 2016 to June 30, 2017 and made progress toward the five year goals. The following table outlines the five year goals and the first and second year accomplishments toward those goals. Accomplishments for the third year of the 2015-2019 Consolidated Plan will be in the 2017 CAPER, available in the fall of 2018.

Table 1 – 2015-2020 Consolidated Plan Goals and Accomplishments to Date

| Goal Name | Indicator | 5 Year Goal | Accomplishments for PY 2015-16 | Percent of Goal Accomplished |
|--|---|--------------------------------|--------------------------------|------------------------------|
| Preserve and expand the supply of affordable housing | Rental units constructed | 404 Housing units | 186 Housing units | 46.04% |
| | Rental units rehabilitated | 390 Housing units | 337 Housing units | 86.41% |
| | Homeowner housing units rehabilitated | 250 Housing units | 16 Housing units | 6.40% |
| | Other – Remove lead based paint in housing units | 488 Housing units | 176 Housing units | 36.07% |
| Expand homeownership opportunities | Direct financial assistance to homebuyers | 500 Households assisted | 43 Households assisted | 8.60% |
| Provide assistance to persons affected by HIV/AIDS | Other | 12,975 Households assisted | 7,746 Households assisted | 59.6% |
| Reduce Homelessness | Tenant-based rental assistance / Rapid re-housing | 350 Households assisted | 657 Households assisted | 187.71% |
| | Homelessness prevention | 950 Persons assisted | 1,014 Persons assisted | 106.74% |
| | Public service activities other than low/mod income housing benefit | 115,500 Persons assisted | 135,578 Persons assisted | 117.38% |
| Enhance quality of life through the provision of public services | Public service activities other than low/mod income housing benefit | 103,000 Persons assisted | 41,914 Persons assisted | 40.69% |
| Revitalize communities | Public facility or infrastructure Activities | 30 Facilities / Infrastructure | 11 Facilities / infrastructure | 36.66% |
| | Housing code enforcement / Foreclosed property Care | 161,250 Housing units | 63,487 Housing units | 39.37% |
| Foster community economic development | Jobs created / Retained | 50 Jobs | 47 Jobs | 94.00% |
| | Businesses assisted | 3 Business assisted | 0 Business assisted | 0.00% |
| Promote fair housing | Other | 500,000 Persons reached | 1,894,406 Persons reached | 378.88% |

After the completion of the second year of the five year consolidated planning period, HCDD has made significant progress in achieving many of its five year goals and has surpassed two goals, Reduce Homelessness and Promote Fair Housing. As discussed in the 2016 Consolidated Annual Performance Evaluation Report (CAPER), HCDD will continue to carry out its programming to achieve the five year goals set.

The goal of homeowner housing repair only reached 6.4% after two years using entitlement funding, however it is anticipated that HCDD will make significant progress toward the five year goal during PY 2018. HCDD plans to implement an updated Home Repair Program using CDBG funds. New program guidelines were approved by City Council in January 2018 and are now being implemented. The Home Repair Program has also streamlined the application intake process. In PY 2018, HCDD will likely continue to fund emergency and minor home repairs with local funding sources such as Tax Increment Reinvestment Zones (TIRZ) Affordable Housing Set-Aside. It is expected that the accomplishments for PY 2018 for homeowner units rehabilitated using CDBG funds will be considerably higher than in recent years.

In addition, providing direct homeowner assistance through HCDD's Homebuyer Assistance Program is lagging, with only 8% of the five year goal met after two years. During PY 2016, the Homebuyer Assistance Program guidelines and accompanying materials were updated. The eligibility and program requirements were changed to help the program be more easily understood by future homebuyers. As a result, the amount of assistance provided is now one of two amounts, based on household income, and some eligibility requirements have been broadened to enable more households to participate in the program. In PY 2018, staff will continue to leverage stakeholder resources and partnerships to advertise the Homebuyer Assistance Program to reach more low- and moderate-income households and will strive to partner with other programs to further lower the cost of homeownership for eligible applicants.

The goal to promote fair housing was drastically exceeded during the two years of the consolidated planning process. A fair housing goal is a new addition in the Consolidated Plan, and the City of Houston is making major efforts to educate Houstonians about fair housing. In PY 2015 and 2016, fair housing public service announcements were advertised in METRO busses and City-owned facilities and were included within resident's City of Houston's water bill statement. HCDD also convened trainings for and presented to area developers, architects, stakeholders, contractors, nonprofit and for-profit partners, and government staff to provide understanding and education on fair housing laws, fair housing rights, and impediments to fair housing choice. HCDD and the City of Houston will continue to build upon past efforts and find new ways to educate residents and various stakeholders about fair housing.

HCDD will continue to work to surpass the consolidated planning goals over the next two years. In addition to entitlement funds, HCDD often receives other funding to address the priorities in the Consolidated Plan. In PY 2018, HCDD expects to utilize approximately \$87 million in CDBG Disaster Recovery for the 2015 Flood Events (CDBG-DR15) and \$23 million in CDBG Disaster Recovery for the 2016 Flood Events (CDBG-DR16) for recovery from 2015 and 2016 flood events, and to create resiliency for future flood events. HCDD staff will also continue to implement activities using CDBG-Disaster Recovery Round II (CDBG-DR2) funding. Hurricane Harvey has caused further devastation to homes and infrastructure in 2017, and while no disaster recovery funding has been allocated to the City of Houston yet for the 2017 flood events, HCDD is striving to prioritize the affordable housing needs of low- and moderate-income households to not only help them recover from the flood events of the past three years but, in line with the goals of the Consolidated Plan, provide them with safe, quality, and affordable housing for the long-term.

4. Summary of Citizen Participation Process and consultation process

HCDD is responsible for producing the 2018 Annual Action Plan. In this capacity, HCDD works with a number of City departments, the Houston Housing Authority, non-profit organizations, and other stakeholders to ensure that the planning process is both comprehensive and inclusive. HCDD solicits information from other City departments, the private sector, non-profit organizations, neighborhood-based organizations, and residents, regarding existing conditions and strategies for addressing current or future needs.

HCDD recognizes that Houston residents are the center of, and partners in, the development and execution of the 2018 Annual Action Plan. HCDD aggressively seeks community involvement and provides residents with opportunities to become involved in the development of the Annual Action Plan. Opportunities for citizen input are provided throughout the planning process through

- Publications and postings
- Public hearings
- Community Needs Survey

In November 2017, February 2018, and May 2018, HCDD formally met with its Community Development Advisory Council (CDAC). Guided by the consultation requirements in the consolidated planning regulations (§91.100 Consultation; local governments), the CDAC is made up of public and private agencies that provide assisted housing, health and social services, organizations engaged in narrowing the digital divide, community-based and regionally-based organizations that represent protected class members, organizations that enforce fair housing laws, and

agencies who manage flood prone areas and/or water resources. CDAC members provide meaningful information to strengthen HCDD's planning and outreach process. The CDAC continues to be instrumental in planning and implementing citizen and stakeholder participation strategies.

5. Summary of public comments

A summary of citizen comments received about the 2018 Annual Action Plan, along with a summary of HCDD's responses, is included in the Appendix of this document. It incorporates the comments received from the four public hearings and the 30-day comment period that concluded on April 12, 2018.

6. Summary of comments or views not accepted and the reasons for not accepting them

All comments or views were considered. HCDD did not send any responses specifically rejecting comments received.

7. Summary

The 2018 Annual Action Plan represents detailed activities for the fourth year of the 2015-2019 Consolidated Plan. During PY 2018, HCDD will build upon past experience and strategies striving to meet the five year goals set in the Consolidated Plan and updated in the Annual Action Plans. HCDD staff will continue to focus on using data-driven approaches and utilizing various citizen and stakeholder participation techniques, to validate the needs set in the 2015-2019 Consolidated Plan.

HCDD continues to make great strides in addressing the community's needs and the Consolidated Plan priorities, through community involvement, evaluations of past performance, and responding to past experience with improved efficiency.

PR-05 Lead & Responsible Agencies - 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Table 2 – Responsible Agencies

| Agency Role | Name | Department/Agency |
|-------------|---------|-------------------|
| LEAD AGENCY | HOUSTON | HCDD |

Narrative

The City of Houston Housing and Community Development Department (HCDD) serves as the lead agency responsible for administering the programs covered by this 2018 Annual Action Plan, which include

- Community Development Block Grant (CDBG)
- HOME Investment Partnerships Program (HOME)
- Housing Opportunities for Persons with AIDS (HOPWA)
- Emergency Solutions Grants Program (ESG)

Consolidated Plan Public Contact Information

For comments regarding the 2018 Annual Action Plan, please contact the City of Houston Housing and Community Development Department (HCDD) at (832) 394-6156 or submit your comments to:

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 City of Houston, Housing and Community Development Department
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 Houston, TX 77007
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 (832) 394-6156

AP-10 Consultation – 91.100, 91.200(b), 91.215(l)**1. Introduction**

The City of Houston (City) recognizes that partnerships with public and private entities are vital to the provision of effective assistance to the Houston community. Each strategy prioritized by the City is only accomplished through effective collaborations with community partners. These partners provide the expertise needed to ensure quality service provision, housing development, and neighborhood revitalization efforts.

In preparation for the 2018 Annual Action Plan, HCDD performed outreach to both citizens and other stakeholders. These stakeholders included people who work with low- and moderate-income persons, persons with special needs, persons of protected classes, and those who live in low- and moderate-income areas.

Coordination and consultation with public and private agencies is important to the City when developing the Annual Action Plan. HCDD will continue to work with a number of City departments, the Houston Housing Authority, major non-profit organizations, and other stakeholders, to ensure that the planning process is both comprehensive and inclusive. HCDD obtains information from stakeholders and residents regarding existing conditions and strategies for addressing current needs.

With respect to economic development, HCDD strives to coordinate with private industry, businesses, developers, and social service agencies. For instance, in PY 2018, HCDD will continue to work with the Houston Business Development, Inc. (HBDI) and the Houston Redevelopment Authority (HRA) to enhance businesses to create or retain jobs and expand services offered in low- and moderate-income areas. While planning to expand homeownership opportunities for the low- to moderate-income households, HCDD consults with lenders, builders, and realtors, such as the Greater Houston Builders Association, the Asian Association of Realtors, and the Hispanic Mortgage Lenders Association, to strategize about advertising and raising awareness about the Homebuyers Assistance Program. In PY 2018, HCDD will continue to consult with these stakeholders as well as with the Houston Black Realtors Association and the Tejano Center for Community Concerns to help increase program participation.

In PY 2018, HCDD plans to sustain this meaningful input in order to strengthen programming during the consolidated planning process. HCDD will

- Continue to reach out to and consult with organizations listed in the table titled “Agencies, groups, organizations who participated” in this section of the Plan
- Seek new opportunities for collaboration and consultation to find innovative approaches to addressing pressing community issues and fair housing impediments
- Participate in the process and advance community strategies to end chronic homelessness in Houston
- Host meetings with members of the Community Development Advisory Council (CDAC) to improve HCDD’s citizen and stakeholder outreach methods

Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l))

The City strives to partner with public and private agencies to ensure that funding priorities are in line with current community development goals. HCDD is involved in many community collaborations with an aim to enhance coordination between housing and service providers in order to better serve the community.

HCDD leads a community-wide effort to create deeply subsidized affordable housing units linked to mainstream and social supports, including primary and behavioral health care and housing. HCDD achieves this in partnership with the City’s Health Department, Harris County Community Services Department, Houston Housing Authority, Harris County Housing Authority, Houston Housing Finance Agency, and the Coalition for the Homeless of Houston/Harris County. This effort is being accomplished through a pipeline committee comprised of partner agencies. This

partnership allows supportive housing units to receive both the appropriate rental subsidies as well as service funds necessary to house the target population. This partnership has continued to be expanded under Mayor Turner's homeless strategies announced in March 2017, which is discussed more in this section.

As a member of The Ryan White Planning Council, HCDD works with medical service providers to coordinate the housing and service needs of persons affected by HIV/AIDS. HCDD holds a seat on the Priority and Allocations Committee, a subcommittee of the Ryan White Planning Council. HCDD staff members meet at least twice a year with the current funded HOPWA providers to ensure that funding is being allocated and distributed in the most efficient way possible to benefit those need. Finally, HCDD staff members meet every month with a funders work group, composed of housing and service funders, to ensure that services and housing goals are aligned.

HCDD will continue to seek opportunities to strategize with a variety of groups to address short-term and long-term community needs. HCDD staff will also meet with community groups and agencies throughout the year to solicit input regarding HCDD programs and to plan future activities.

In addition, HCDD will maintain stakeholder engagements such as those listed in the Table 3, "Agencies, groups, organizations who participated." HCDD will also host public and private housing providers with private and government service agencies at periodic meetings of the Community Development Advisory Council (CDAC). CDAC meetings will enhance the exchange of information between housing and service providers and facilitate planning for future coordination.

Describe the coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

HCDD works closely with the Continuum of Care (CoC) and the CoC Lead Agency, The Coalition for the Homeless of Houston/Harris County (Coalition). HCDD holds a voting seat on the CoC Steering Committee. The CoC Steering Committee voted to align with the four goals outlined in the federal strategic plan to end homelessness, which includes a goal to end chronic homelessness by 2016 and youth and family homelessness by 2020. To achieve this goal, the CoC has been actively receiving HUD technical assistance through the OneCPD Initiative.

The Special Assistant to the Mayor for Homeless Initiatives is a staff position at the City charged with developing strategies in coordination with local partners to end chronic and family homelessness in Houston. This staff member has taken a lead role in local planning efforts related to several larger federal initiatives including the HUD/USICH's (United States Interagency Council on Homelessness) Dedicating Opportunities to End Homelessness Initiative as well as the Mayor's Challenge to End Veteran Homelessness.

In March 2017, Mayor Sylvester Turner announced his solution to Houston's homeless problem and pledged to house 500 chronically homeless people within a six month period. This was successfully completed in December 2017. His solution includes constructing professionally staffed "low-level shelters" under highway overpasses or on private property as part of a multipart city initiative to reduce panhandling and homeless encampments. These efforts include working with local nonprofits to add 215 shelter beds by the end of summer 2017, launching an anti-panhandling awareness campaign, encouraging groups who provide food to the homeless to coordinate with the City, and adding mental health and substance abuse treatment to the City's legislative agenda.

HCDD and the Mayor's Office lead the successful collaborative effort to develop 2,500 units of permanent supportive housing for the chronically homeless. Less than 10% (250 units) of this goal needs to be completed in order to reach this ambitious goal to produce permanent supportive housing. This has led to a new process that cultivates integrated care supportive housing service model. This has also led to the implementation of a coordinated access system that identifies and prioritizes the most vulnerable chronically homeless persons for placement into permanent supportive housing, rapid rehousing and referrals to income generating programs. Each of these activities includes

consultation and collaboration with a variety of stakeholders, which includes homeless housing and service providers, public housing authorities, Harris and Fort Bend Counties, Harris Health System, the Harris Center for Mental Health and IDD, VA Medical Center, Houston Police Department, the Harris County Sheriff, and the local HUD field office. HCDD has participated in a collaborative planning process with these community partners to generate a financial model and create an implementation plan to support this initiative. This plan called for partners to dedicate resources over a period of several years to work cooperatively to vet, fund, and shepherd the permanent supportive housing projects. These commitments include resources for capital, operating, and service funding ensuring that all are fully subsidized and capable of meeting the model standards and the needs of the target population. Capital projects are currently in development and those that have completed development are currently leasing.

Progress was made to fully implement the coordinated access system. The CoC expanded coordinated access to six additional locations, and HCDD hosted a call center which brought the coordinated access system to scale. The coordinated access system continues to interface with Harris County Jail and many hospital emergency rooms to ensure homeless individuals are connected to permanent housing options regardless of their entry point in the human services system. In addition to the collaborative efforts to end chronic homelessness, HCDD has actively participated in planning efforts to standardize and target homelessness prevention and rapid re-housing services. This has included updating program standards and common system outcomes, in partnership with Harris and Fort Bend Counties, the City of Pasadena, and the Texas Department of Housing and Community Affairs.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction’s area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

The four local jurisdictions that comprise the CoC (City of Houston, Harris County, Fort Bend County, and City of Pasadena, and the Coalition for the Homeless of Houston/Harris County (Coalition)) continue to meet regarding the allocation of ESG funding and system funding. These meetings are referred to as the Public Funders Workgroup, a group within the CoC structure which ensures that all members of the CoC are targeting funding toward continuum-wide goals. This group assists in updating and maintaining standards for both the provision of assistance and performance. These continuum-wide performance measures are used to evaluate performance and determine resource allocations based on data from HMIS. Agencies receiving funding from HCDD must receive verification of participation in this system as a threshold requirement for funding. In the CAPER, HCDD will evaluate and include information from HMIS about the outcome of projects and activities assisted with ESG funds.

The strategy developed for the Houston area for ESG homelessness prevention funds prioritizes people who are at-risk of homelessness and who have experienced homelessness in the past. The CoC has developed a prioritization tool for homelessness prevention subrecipients of ESG funds. Families and persons in domestic violence situations are prioritized as those most at-risk of becoming homeless and in need of homelessness prevention assistance to achieve housing stability. The City of Houston, Harris County, Fort Bend County, City of Pasadena, and the Coalition for the Homeless of Houston/Harris County, coordinated ESG homelessness prevention and rapid re-housing strategies through the CoC.

ESG activity priorities will continue to focus on homelessness prevention and rapid re-housing. Rapid re-housing activities will target those that: are part of a family with a minor child that is homeless, are first time homeless, have few recent episodes of homelessness, are part of a family that is homeless, or are fleeing/attempting to flee domestic violence.

HCDD plans to continue funding the Coalition in support of the operation and administration of HMIS. In addition, HCDD will continue to work with the Coalition and the CoC to ensure that policies and procedures are aligned with HUD requirements. As HCDD moves forward with funding programs all work has been and continues to be done in coordination with the Coalition for the Homeless, and the CoC - including its committees and workgroups, and other

adjacent jurisdictions. Partners actively work to align and blend resources across the jurisdictions in support of a single, standardized rapid re-housing and prevention model, with the ultimate goal of leveraging substantial private investment to significantly increase the number of homeless families to be served.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction’s consultations with housing, social service agencies and other entities

Table 3 – Agencies, groups, organizations who participated

| Agency/Group/Organization | Agency/Group/Organization Type | What section of the Plan was addressed by Consultation? | How was the agency/group/organization consulted? What are the anticipated outcomes of the consultation or areas for improved coordination? |
|---|--|--|--|
| Houston Housing Authority (HHA) | PHA | Public Housing Needs Homelessness Strategy | <p>HCDD consulted with HHA staff regarding the Public Housing sections of the Plan. HCDD and HHA work together on a variety of issues and initiatives including ending homelessness and carrying out fair housing activities.</p> <p>HHA serves on the CoC and CDAC. HCDD and HHA will continue to meet regularly to discuss current projects and plan for potential future projects and initiatives.</p> |
| Harris County Housing Authority | PHA | Homelessness Strategy | To address homeless needs identified in the Consolidated Plan, HCDD has consulted with stakeholders in the PSH Pipeline Group, which coordinates funding of permanent supportive housing with the goal of ending chronic homelessness. Harris County Housing Authority is a member of the steering committee PSH Pipeline Group. |
| Coalition for the Homeless of Houston/Harris County | Services-homeless Regional organization Planning organization | Homelessness Strategy Homeless Needs – Chronically homeless Homeless Needs – Families with children Homelessness Needs – Veterans Homelessness Needs – Unaccompanied youth | Coalition staff was consulted regarding the Homeless sections of the Plan. The Coalition manages the HMIS system in which HCDD subrecipients report accomplishments for ESG activities. Data from HMIS is used to evaluate ESG program performance and helps track general homeless activities in the region. The Coalition staffs many of the workgroups within the CoC including: ESG Funders Group, PSH Pipeline Group, CoC Steering Committee and RRH Workgroup. |
| City of Houston – Parks and Recreation Department | Services-Children Services-Education Other government – Local | Anti-poverty Strategy | HCDD consulted with HPARD to determine the demand for youth programs. HCDD plans to continue partnering with HPARD to serve youth from low- and moderate-income families. |

| Agency/Group/Organization | Agency/Group/Organization Type | What section of the Plan was addressed by Consultation? | How was the agency/group/organization consulted? What are the anticipated outcomes of the consultation or areas for improved coordination? |
|--|---|---|--|
| Houston Health Department | Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-Homeless Services-Health Other government – Local | Lead-based Paint Strategy | <p>HHD provides HCDD with lead-based paint program information and data for use in development of the Plan, as needed.</p> <p>HHD uses CDBG funds to match a HUD grant to address lead-based paint hazards, including lead-based paint testing and remediation, for underserved populations. HHD and HCDD staff will continue to collaborate on future funding opportunities that will encourage an inclusive approach to promoting health and community development.</p> <p>HCDD and HHD also partner to serve low- and moderate-income persons and persons with disabilities through a variety of public services or public facilities projects to address needs identified in the Plan.</p> |
| Houston Housing Finance Corporation (HHFC) | Housing | Housing Strategy Economic Development | <p>HCDD regularly consults with HHFC on ways to efficiently deploy resources in the community. HHFC assists in implementing new multifamily development by providing bridge loans during the HCDD document preparation process. HHFC also issues most of the bonds that are used in 4% tax credit transactions funding affordable housing developers.</p> <p>HHFC will continue to work with HCDD on new initiatives supporting economic development, including activities that address areas without full service grocery stores, also known as food deserts.</p> |
| Harris County Community Services Department | Housing Other government – Local | Housing Strategy Homelessness Strategy | <p>To address homeless needs identified in the Consolidated Plan, HCDD has consulted with stakeholders in the PSH Pipeline Group, which coordinates funding of permanent supportive housing with the goal of ending chronic homelessness. Harris County is a member of the PSH Pipeline Group. Harris County also serves as a member of CDAC.</p> <p>Harris County and HCDD staff are a part of the ESG funders Group composed of housing and service funders. This group meets monthly to ensure that services and housing goals are aligned.</p> |
| Houston Area HIV Services Ryan White Planning Council | Services-Persons with HIV/AIDS | Non-Homeless Special Needs HOPWA Strategy | <p>HCDD staff meets periodically with the Ryan White Planning Council to share research and strategize ways to improve the quality of life for those affected by HIV/AIDS. HCDD staff serves on the Council and also serves on the Priorities and Allocations Committee. This information is utilized when determining needs of and services for the HIV/AIDS community.</p> |

Identify any Agency Types not consulted and provide rationale for not consulting

HCDD contacted many organizations through various outreach techniques including meetings and e-mails to solicit input and participation in the planning process. HCDD believes that all critical agency types were consulted during the participation and consultation process and has not intentionally failed to consult with any particular agency.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Table 4 – Other local / regional / federal planning efforts

| Name of Plan | Lead Organization | How do the goals of your Strategic Plan overlap with the goals of each plan? |
|--|--|---|
| Continuum of Care | Coalition for the Homeless of Houston / Harris County | The CoC's priorities and its Strategic Plan to End Homelessness directly correspond to HCDD's Strategic Plan goals related to homeless populations. |
| Continuum of Care's Priorities and Program Standards | Coalition for the Homeless of Houston / Harris County | The CoC's priorities and standards directly overlap with HCDD's Strategic Plan and are used by the City of Houston when developing standards for its ESG funding. |
| Our Great Region 2040 | Houston-Galveston Area Council | HCDD's Strategic Plan has many of the same goals as H-GAC's regional plan, including addressing quality of life issues, specifically affordable housing and serving Houston's low-income populations through community development activities. |
| Fair Housing Equity Assessment | Houston-Galveston Area Council | Both HCDD and the FHEA have goals to affirmatively further fair housing in the City of Houston and the region. The development of the FHEA served as part of the analysis for Our Great Region 2040. |
| Livable Centers | Houston-Galveston Area Council | The Livable Centers Plans have been conducted in various areas of the City of Houston. Some areas overlap with HCDD's Areas for Community Reinvestment. The objectives of these Plans, including improving quality of life, overlap with HCDD's Strategic Plan's public facilities strategy. |
| Annual Plan | Houston Housing Authority | HHA's PHA plan provides details about agency operations and programs, including participants for the upcoming year. Both HHA and HCDD aim to help very low-income households secure housing. |
| Harris County Consolidated Plan | Harris County | HCDD's Consolidated Plan has many of the same goals as Harris County's Consolidated Plan including addressing homelessness and improving the quality of life of underserved and low- and moderate-income communities. |
| City of Houston's Capital Improvement Plan (CIP) | City of Houston Finance Department | Both the CIP and the Consolidated Plan address infrastructure needs in Houston. |
| CDBG-DR2 Planning Study | City of Houston Housing and Community Development Department | Through a combined effort with community leaders and statewide advocates, HCDD analyzed data to produce a Needs Assessment resulting in target areas for housing activities, using CDBG-DR2 funding. These areas are illustrated in the map titled, "Community Revitalization Areas (CRAs), CRA Outreach Areas, and Target Areas for Disaster Recovery Round II. |
| 2015 Analysis of Impediments to Fair Housing Choice (AI) | City of Houston Housing and Community Development Department | Both plans use housing and demographic data, as well as citizen and stakeholder outreach, to determine city housing needs and barriers. The overall goal for the AI is to eliminate housing discrimination, and HCDD works hard to align all of its Con Plan goals with the AI. |
| Houston General Plan | City of Houston Planning and Development Department | Many goals in the Houston General Plan relate closely to those in the Consolidated Plan such as equal access to opportunity, supportive services for disadvantaged and at-risk groups, high quality community facilities, and sufficient quality, affordable housing options throughout the community. |
| Complete Communities | City of Houston Planning and Development Department | The City of Houston's Planning Department is working with five pilot neighborhoods that have been historically under-resourced to identify goals and priority projects and develop implementation strategies that are transferable to other communities across the entire city. Providing access to quality services and amenities to improve underserved neighborhoods are goals that are part of both Complete Communities and the Consolidated Plan. |

Narrative

Coordination of efforts remains a high priority for the City of Houston when addressing community needs and supporting goals in the Consolidated Plan.

HCDD works to broaden its outreach efforts and has been able to gather strategic input into the planning process this year. HCDD continues to work closely with other governments in the metropolitan area, the state, and the federal government to implement the Consolidated Plan and its goals. HCDD's CDAC played an integral part in the development of the 2018 Annual Action Plan. HCDD works closely with HHA, Harris County, and other local jurisdictions to align funding objectives, especially objectives addressing homelessness. In addition, HCDD participates in numerous conferences with the Texas Department of Housing and Community Affairs (TDHCA), which helps to align HCDD's objectives with those of TDHCA. Finally, HCDD keeps its close working relationship with HUD officials.

AP-12 Participation – 91.105, 91.200(c)**Summary of citizen participation process/Efforts made to broaden citizen participation**

HCDD recognizes that Houston residents are the center of, and partners in, the development and execution of the 2018 Annual Action Plan. The Citizen Participation Plan (CPP) establishes a means by which citizens, public agencies, and other interested parties can actively participate in the development of consolidated planning documents, including the Annual Action Plan.

Using the CPP as a guide, HCDD seeks community involvement and provides residents with opportunities to become involved in the development of the Annual Action Plan. As input and comments are received, appropriate HCDD staff reviews and uses this information to inform the community needs, allocation priorities, and programming goals. Opportunities for citizen input are provided during the entire planning process, from the development of the Annual Action Plan to reviewing the draft document, through

- Publications and postings
- Public hearings
- Community Needs Survey
- Discussion groups

HCDD publicizes all phases of the Plan's development to gather public comment. Promotional material for the fall open houses, such as public notices, were published in Spanish, Vietnamese, and Chinese so as to broaden knowledge about the planning process to various groups including persons with limited English proficiency. Flyers and posters for the meeting were also available in other languages upon request.

To develop the 2018 Annual Action Plan, HCDD conducted two open house and public hearings to gather input in drafting the plan and two open house and public hearings to solicit comments about the draft Plan. Also, HCDD reviewed results from the 2018 Community Needs Survey. The survey was available online from October 23, 2017 through January 2, 2018 on www.surveymonkey.com. Links to the online survey were disseminated through HCDD's social media posts, the fall open house and public hearings flyers and posters, and HCDD's website. Paper surveys were made available to people who attended the two fall open house and public hearings and to the members of the Community Development Advisory Committee (CDAC) at the November 2017 CDAC meeting. A total of 103 people took the survey. Of these, 53 people took the survey online with 18 surveys taken through social media posts and 35 through the weblink on HCDD's website and flyer. There were 16 paper surveys collected at the CDAC meeting and 34 at HCDD's fall open houses.

Efforts to Broaden Citizen Participation. HCDD implemented a broad outreach campaign to promote public participation in the development of the 2018 Annual Action Plan. There was concerted effort to reach out to diverse populations including outreach to minorities, non-English speaking persons, persons with disabilities, and special needs populations. The following is a summary of these efforts.

- Held open house and public hearings either in a central location or in low- and moderate-income neighborhoods including Kashmere Multi-Service Center, Third Ward Multi-Service Center, West End Multi-Service Center and Southwest Multi-Service Center.
- Displayed flyers announcing public hearings at each venue.
- Advertised in community newspapers including *La Voz de Houston*, *African American News and Issues*, *Houston Forward Times*, *Việt Nam Mói*, *Chinese Daily*, and also in *The Houston Chronicle*, a newspaper of general circulation.
- Provided a Spanish translator for Spanish speakers with limited English proficiency and real-time captioning for persons who are deaf or have a hearing loss at the four public hearings.

Citizen Participation Outreach

Table 5 – Citizen Participation Outreach

| Mode of Outreach | Target of Outreach | Summary of response/attendance | Summary of comments received | Summary of comments not accepted and reasons | URL (if applicable) |
|-------------------|---|--|-----------------------------------|--|---|
| Newspaper Ad | <p>Minorities</p> <p>Non-English Speaking – Specify other language: Spanish and Chinese</p> <p>Non-targeted/broad community</p> | <p>HCDD published a public notice in the <i>Houston Chronicle</i> on September 20, 2017 announcing the draft 2016 CAPER was available for public comment from September 20, 2017 to October 3, 2017.</p> <p>HCDD also published this public notice in <i>La Voz de Houston</i> (in Spanish) on September 24, 2017; <i>Southern Chinese Daily News</i> (in Chinese) on September 20, 2017; in the <i>Forward Times</i> on September 20, 2017; in <i>Viet Nam Moi</i> (in Vietnamese) on September 27, 2017; and <i>African American News</i> on September 25, 2017.</p> | No public comments were received. | No public comments were received. | http://www.houstontx.gov/housing/public_legal_notices.html |
| Newspaper Ad | <p>Minorities</p> <p>Non-English Speaking – Specify other language: Spanish and Chinese</p> <p>Non-targeted/broad community</p> | <p>A notice of the fall open house and public hearings was published in the <i>Houston Chronicle</i> on November 15, 2017. Advertisements also appeared in Spanish in <i>La Voz de Houston</i> on November 19, 2017; in <i>African American News and Issues</i> from November 13 to November 17, 2017; in <i>Houston Forward Times</i> on November 15, 2017; in Vietnamese in <i>Viet Nam Moi</i> on November 15, 2017; and in <i>Southern Chinese Daily News</i> in Chinese on November 15, 2017.</p> | No public comments were received. | N/A | http://www.houstontx.gov/housing/public_legal_notices.html |
| Internet Outreach | Non-targeted/broad community | Information about the fall open house and public hearings was presented on the HCDD website, Facebook page, Twitter page and on Nextdoor. Flyers were sent by e-mail to CDAC members, HCDD’s email database of approximately 900 emails, and <i>CitizensNet</i> , a City of Houston e-newsletter. | No public comments were received. | N/A | http://www.houstontx.gov/housing |

| Mode of Outreach | Target of Outreach | Summary of response/attendance | Summary of comments received | Summary of comments not accepted and reasons | URL (if applicable) |
|-------------------------|------------------------------|--|--|---|--------------------------------|
| Other – Priority Survey | Non-targeted/broad community | <p>HCDD conducted the 2018 Community Needs Survey from October through December 2017. The survey was available online on www.surveymonkey.com. Links to the online survey were disseminated through HCDD’s social media posts, the Fall Open House flyer and poster, and HCDD’s website. Paper surveys were made available to people who attended the fall open houses and public hearings on November 30, 2017 and December 7, 2017, and to the members of the Community Development Advisory Committee (CDAC) at the November CDAC meeting.</p> <p>A total of 103 people took the survey. Of these, 53 people took the survey online with 18 surveys taken through social media posts and 35 through the weblink on HCDD’s website and flyer. There were 16 paper surveys collected at the CDAC meeting and 34 at fall open house and public hearings.</p> | <p>The results of the 2018 Community Needs Survey support the priority needs and goals set in the 2015-2019 Consolidated Plan. Survey respondents prioritized the following community, infrastructure and housing needs:</p> <ul style="list-style-type: none"> • Address neighborhood infrastructure needs • Address economic development • Repair homeowner housing • Provide financial assistance for homeownership • Repair rental apartments • Provide public services • Provide public infrastructure • Increase public sector investment • Need for high quality homes • Need to address neighborhood issues of crime and poverty • Need to address gentrification | N/A | Story map link |
| Public Hearing | Non-targeted/broad community | <p>HCDD accepted public comments at two fall open house and public hearings for the 2018 Annual Action Plan. These public hearings were held on November 30, 2017 at the Third Ward Multi-Service Center and December 7, 2017 at Kashmere Multi-Service Center. There were approximately 37 people that attended the open houses. Attendees provided input on questions related to location of affordable housing in Houston. They placed sticker dots on large maps of the city to indicate their desired location for affordable multifamily and single</p> | 15 speakers commented at the fall open house and public hearings. | All comments were considered. HCDD did not specifically reject any comments received. | Story map link |

| Mode of Outreach | Target of Outreach | Summary of response/attendance | Summary of comments received | Summary of comments not accepted and reasons | URL (if applicable) |
|-------------------|------------------------------|---|---|---|---|
| | | family housing construction and rehabilitation. | | | |
| Newspaper Ad | Minorities | A notice of the summary of the Annual Action Plan and the public hearings was published in the <i>Houston Chronicle</i> on March 13, 2018. Advertisements for the public hearing and draft comment period also appeared in Spanish in <i>La Voz de Houston</i> on March 25, 2018; in Chinese in <i>Southern Chinese Daily News</i> on March 16, 2018; in <i>Houston Forward Times</i> on March 14, 2018; in <i>African American News</i> on March 12, 2018; and in Vietnamese in <i>Viet Nam Moi</i> on March 21, 2018. | 3 written comments were received. | All comments were considered. HCDD did not specifically reject any comments received. | http://www.houstontx.gov/housing/public_legal_notices.html |
| Internet Outreach | Non-targeted/broad community | Information about the spring public hearings was presented on the HCDD website, Facebook page, Twitter page, and NextDoor. Flyers were sent by e-mail to CDAC members and HCDD's email database of approximately 900 emails. | No public comments were received. | N/A | http://www.houstontx.gov/housing |
| Public Hearing | Non-targeted/broad community | HCDD accepted public comments at two spring open house and public hearings for the 2018 Annual Action Plan. These public hearings were held on March 27, 2018 at the West End Multi-Service Center and March 29, 2018 at the Southwest Multi-Service Center. There were approximately 22 people that attended the spring public hearings. | 6 speakers commented at the spring public hearings. | All comments were considered. HCDD did not specifically reject any comments received. | N/A |

Expected Resources

AP-15 Expected Resources – 91.200(c) (1,2)

Introduction

The City of Houston uses multiple resources to carry out activities that benefit low- and moderate-income residents. The City receives federal entitlement funding of CDBG, HOME, HOPWA, and ESG. HCDD administers the entitlement funding on behalf of the City. In addition to entitlement grant funds, HCDD receives state and local funding to address community needs, as illustrated in the Expected Resources – Priority Table. Many City departments also receive funding that address needs listed in the Consolidated Plan, and HCDD works with these departments to leverage entitlement grant funds when possible along with other funding. In addition to the expected resources listed in Table 6, it is anticipated that the City of Houston may receive funds from the State of Texas for Harvey recovery in PY 2018. The State of Texas has been allocated \$5 billion in CDBG-DR funds by HUD and is currently determining the method of distribution of those funds to various jurisdictions, which include the City of Houston.

Priority Table

Table 6 – Expected Resources – Priority Table

| Program | Source of Funds | Uses of Funds | Expected Amount Available Year 4 | | | | Expected Amount Available Remainder of Con Plan \$ | Narrative Description |
|---------|------------------|---|----------------------------------|--------------------|--------------------------|------------|--|--|
| | | | Annual Allocation: \$ | Program Income: \$ | Prior Year Resources: \$ | Total: \$ | | |
| CDBG | public – federal | Acquisition Administration and Planning Economic Development Housing Public Improvements Public Services | 23,946,316 | 156,500 | 0 | 24,102,816 | 15,654,978 | CDBG funds for housing, public facilities and improvements, public services, and economic development assistance activities. CDBG funds are combined with public and private funds to create a greater impact in neighborhoods. When request for proposals are conducted for various activities, preferred proposals include those that use CDBG to leverage additional resources. CDBG funded activities conducted by other City departments use CDBG funding as match for other public funding or combine CDBG funds with federal, local, or private funding to implement programming. |
| HOME | public – federal | Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA | 9,810,603 | 94,943 | 0 | 9,905,546 | 6,502,690 | HOME promotes public/private partnerships as a vehicle for expanding the stock of affordable housing. HOME funds are leveraged with private and public funding sources to support multifamily and single family development activities. The Multifamily Housing Program's activities are funded through a request for proposal process in which greater preference is given to proposals that have other sources of equity and debt financing. |

| Program | Source of Funds | Uses of Funds | Expected Amount Available Year 4 | | | | Expected Amount Available Remainder of Con Plan \$ | Narrative Description |
|---|------------------|--|----------------------------------|--------------------|--------------------------|------------|--|--|
| | | | Annual Allocation: \$ | Program Income: \$ | Prior Year Resources: \$ | Total: \$ | | |
| HOPWA | public – federal | Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA | 9,738,444 | 0 | 0 | 9,738,444 | 4,993,193 | Organizations applying for HOPWA funding are selected through a competitive request for proposal process, and sources of leverage include public funding, such as Ryan White or Shelter Plus Care, and private funding, such as in-kind resources, funding from foundations, and resident rent payments. |
| ESG | public – federal | Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing | 1,968,996 | 0 | 0 | 1,968,996 | 1,016,987 | Organizations applying for ESG funding must provide a 1 to 1 match for the ESG funds they receive and are selected through a competitive request for proposal process. |
| CDBG-DR Hurricane Ike Round 2 (CDBG-DR2) | public – state | Homeowner rehab Multifamily rental rehab Multifamily rental new construction | 0 | 0 | 30,000,000 | 30,000,000 | 0 | CDBG-DR2 will be used to fund development of affordable single family and multifamily rental homes and repair single family homes to address damage to homes caused by Hurricane Ike. |
| CDBG-DR for the 2015 Flood Events (CDBG-DR15) | public-federal | Homeowner rehab Housing Public Improvements Admin and Planning | 0 | | 87,092,000 | 87,092,000 | 0 | CDBG-DR15 will be used to fund home repair, housing buyout, and infrastructure improvement to address damage from the 2015 flood events and to improve flooding resiliency in the future. |
| Homeless Housing Services Program (HHSP) | public – state | Financial Assistance Rapid re-housing (rental assistance) Rental Assistance Services | 1,000,000 | 0 | 0 | 1,000,000 | 1,000,000 | Organizations applying for HHSP funding are selected through a competitive request for proposal process. Funds are used for local homeless initiatives. |
| TIRZ Affordable Housing Set-Aside | public - local | Housing | 18,887,134 | 0 | 0 | 18,887,134 | 1,000,000 | TIRZ Affordable Housing Set-Aside funds are local funds and are often leveraged with federal funding to create a greater impact for low- and moderate-income persons and communities. Housing developments are selected through a competitive request for proposal process. |

| Program | Source of Funds | Uses of Funds | Expected Amount Available Year 4 | | | | Expected Amount Available Remainder of Con Plan \$ | Narrative Description |
|---|-----------------|---|----------------------------------|--------------------|--------------------------|------------|--|--|
| | | | Annual Allocation: \$ | Program Income: \$ | Prior Year Resources: \$ | Total: \$ | | |
| Homeless and Housing Bonds | public – local | Housing | 1,100,000 | 0 | 0 | 1,100,000 | 1,100,000 | Homeless and Housing Bonds are local funds and often leveraged with federal funding to create a greater impact for low- and moderate-income persons. Housing developments are usually selected through a competitive request for proposal process. |
| CDBG-DR for the 2016 Flood Events (CDBG-DR16) | public - state | Homeowner rehab Housing Public Improvements Admin and Planning | 0 | 0 | 23,486,698 | 23,486,698 | 0 | CDBG-DR16 will be used to address damage from the 2016 flood events and to improve flooding resiliency in the future. |

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

HCDD will use a combination of public and private funding to carry out affordable housing activities during the period covered by this Plan. For-profit and non-profit developers partner with HCDD, through the Multifamily Housing Program, to build or rehabilitate affordable housing. These partners use HCDD funding to fill financing gaps. Other development funding must be obtained through additional private and public sources, which may include the following

- Local Tax Incentives and Funding
 - Tax Abatement Ordinance
 - Tax Increment Reinvestment Zones (TIRZ) and TIRZ Affordable Housing Set-Aside
 - Homeless and Housing Bonds
- Federal and State Tax Incentives
- State Funded Bond Programs
- Private Sources

HCDD has been awarded several special grants and will be expending these funds during the next program year to address housing needs in the community, which include CDBG-DR2, CDBG-DR15, CDBG-DR16 and the Homeless Housing Services Program (HHSP). HCDD will continue to research additional funding sources to promote and fund community development activities that further support goals in the Consolidated Plan. For example, HCDD will continue to partner with local banks, such as Capital One, to finance training that HCDD provides to Community Housing Development Organizations (CHDOs) for affordable single family home development.

Non-housing community development activities also leverage HCDD federal funds to execute the activities identified in the Plan. Public service agencies utilizing CDBG, HOPWA, and ESG funds must provide some level of match. Other City departments funded with entitlement grants leverage these dollars with other resources. For example, HHD leverages CDBG funding with other federal grants for lead-based paint testing and remediation activities.

HOME Matching Funds. The City, as a fiscally distressed participating jurisdiction in the HOME Program, must match 12.5 cents for each dollar of HOME funds spent on affordable housing. The match must come from state or local, non-federal sources, and constitutes a permanent contribution to the HOME Program. Since July 2005, the City requires multifamily applicants to help generate this match. Rules regarding what can be counted as a match under the HOME Program are very specific; therefore, HCDD strictly adheres to and maintains compliance with 24 CFR §92.200.

The HOME match obligation may be met with any of the following sources

- Cash or cash equivalents from a non-federal source
- Value of waived taxes, fees, or charges associated with HOME projects
- Value of donated land or real property
- Cost of infrastructure improvements associated with HOME projects
- Value of donated materials, equipment, labor, and professional services

The match requirement for the City has been met with cash contributions from Homeless and Housing Bond Funds, TIRZ Affordable Housing Set-Aside funds, private donations from endowments and non-cash contributions including donated or reduced cost land. As reported in the 2016 CAPER, the excess HOME match carried over to the next federal fiscal year was \$17,647,817.07. Information regarding the match will be updated in the 2017 CAPER, which will be submitted to HUD in September 2018.

ESG Match. The ESG matching requirement is a one to one match and will be satisfied with CDBG funding from HCDD and both “in-kind” and private funding from subrecipients.

If appropriate, describe publicly owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

Currently, there are no parcels of City owned land or property that will be used to address the needs identified in this plan. However, new housing development may be carried out on land owned by the Land Assemblage Redevelopment Authority (LARA) in the future. The LARA aids, assists and acts on behalf of the City in the performance of certain governmental functions defined or determined by the City Council. Examples of such functions include the acquisition, assemblage, management or development of properties acquired by taxing authorities through foreclosure of delinquent ad valorem taxes. In PY 2018, the City may also purchase land for single family home development.

Discussion

While HCDD is responsible for the provision of assistance to low- and moderate-income Houstonians using the federal entitlement funds and one-time grants, HCDD cannot achieve these goals alone. There are many other organizations that carry out the strategies listed in this Plan using other federal, local, and private funding sources. For instance, other City of Houston departments provide services to low- and moderate-income residents by utilizing funding from various sources.

In addition, other local agencies and non-governmental organizations receive other federal or state funding to carry out these activities. In its 2017 Annual Plan, the Houston Housing Authority estimated that it would have \$189 million to address residents' housing needs in the Houston area, which includes \$140 million in Section 8 Housing Choice Voucher Programs.

The Coalition for the Homeless of Houston/Harris County (Coalition) receives and manages the area's McKinney-Vento Homeless Assistance Act funding on behalf of the local Continuum of Care (CoC). In the early Fall of 2017, the Coalition submitted an application to HUD for over \$34 million for fiscal year 2017 funding to address homelessness issues in the region. The application included renewal projects, new projects from reallocations of prior funding, and a permanent housing bonus project.

The Texas Department of Housing and Community Affairs estimated that the Houston area (Region 6) had over \$11 million in 9% competitive Housing Tax Credits to award for multifamily affordable housing rehabilitation or new construction in 2017. This amount is not guaranteed and projects may not be developed within the City of Houston limits. A similar amount of Housing Tax Credits will likely be available in 2018 for the region.

While some projects or actions may include primarily public funds, public funds alone are insufficient to achieve the goals of this Plan. Private funds from individuals, non-profit organizations, and private organizations are needed. HCDD continues to work with other City departments, local non-profit agencies, and various funding providers to develop coordinated strategies to leverage funding to assist low- and moderate-income persons and households.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

Goals Summary Information

Table 7 – Goals Summary

| Goal Name | Start Year | End Year | Category | Geographic Area | Needs Addressed | 2018 Funding | Goal Outcome Indicator |
|--|------------|----------|--|--------------------------------|--|---|---|
| Preserve and expand the supply of affordable housing | 2015 | 2019 | Affordable Housing | Citywide | Assistance for homeowners Assistance for renters Assistance for homebuyers | CDBG: \$10,227,381 HOME: \$8,914,992 | Homeowner Housing Rehabilitated: 150 Household Housing Unit Homeowner Housing Added: 50 Household Housing Units Rental Units Constructed: 124 Household Housing Unit Rental Units Rehabilitated: 420 Household Housing Unit Other: 90 Other – Units Abated/Remediated |
| Expand homeownership opportunities | 2015 | 2019 | Affordable Housing | Citywide | Assistance for homebuyers | CDBG: \$1,542,400 | Direct Financial Assistance to Homebuyers: 50 Households Assisted |
| Provide assistance to persons affected by HIV/AIDS | 2015 | 2019 | Affordable Housing | Citywide | Assistance for renters Public service needs | HOPWA: \$9,738,444 | Tenant-Based Rental Assistance / Rapid Re-housing: 475 Households HIV/AIDS Housing Operations: 300 Households Other: 4,000 – Households -Supportive Services / Housing Information and Referral/Homeless Prevention |
| Reduce homelessness | 2015 | 2019 | Affordable Housing Non-Housing Community Development | Citywide | Assistance for renters Homeless Needs | ESG: \$1,968,996 CDBG: \$500,000 | Tenant-based rental assistance / Rapid Re-housing: 100 Households Assisted Homelessness Prevention: 225 Persons Assisted Public Service Activities Other Than Low/Moderate Income Housing Benefit: 27,225 Persons Assisted |
| Enhance quality of life through the provision of public services | 2015 | 2019 | Non-Housing Community Development | Citywide | Public service needs | CDBG: \$4,042,042 | Public Service Activities Other Than Low/Moderate Income Housing Benefit: 18,428 Persons Assisted |
| Revitalize communities | 2015 | 2019 | Non-Housing Community Development | Citywide | Improvement of neighborhood facilities Neighborhood needs | CDBG: \$3,265,430 | Public Facility or Infrastructure Activities Other Than Low/Moderate-Income Housing Benefit: 6 Housing Code Enforcement/Foreclosed Property Care: 32,000 |
| Foster community economic development | 2015 | 2019 | Non-Housing Community Development | Community Revitalization Areas | Economic development needs | CDBG: \$205,000 | Businesses assisted: 1 Jobs created/retained: 5 |
| Promote fair housing | 2015 | 2019 | Non-Housing Community Development | Citywide | Fair housing needs | CDBG: \$150,000 | Other: 50,000 Persons Reached with Fair Housing Information |

Goal Descriptions

Table 8 – Goal Descriptions

| | | |
|---|-------------------------|--|
| 1 | Goal Name | Preserve and expand the supply of affordable housing |
| | Goal Description | The City will provide funding for several programs that will include single family home repair, lead-based paint reduction activities, and new construction and rehabilitation of rental units. These activities will help to preserve and expand the supply of affordable housing in Houston. |
| 2 | Goal Name | Expand homeownership opportunities |
| | Goal Description | The City will fund direct assistance for homebuyers to increase housing options for low- and moderate-income families. |
| 3 | Goal Name | Provide assistance to persons affected by HIV/AIDS |
| | Goal Description | The City will provide funding for housing and services supporting persons who are affected by HIV/AIDS. This goal will also support the goal of reducing homelessness. |
| 4 | Goal Name | Reduce homelessness |
| | Goal Description | The City will fund activities that will provide homeless prevention and rapid re-housing activities. It will also provide funding for various public service activities to support those who are homeless or at risk of becoming homeless. |
| 5 | Goal Name | Enhance quality of life |
| | Goal Description | The City of Houston will provide funding for various public service activities that will expand or make these services more accessible to low- and moderate-income or special needs persons. |
| 6 | Goal Name | Revitalize communities |
| | Goal Description | The City will support activities that will enhance and preserve neighborhoods. Activities may include code enforcement and public facility and infrastructure improvements. |
| 7 | Goal Name | Foster community economic development |
| | Goal Description | The City will fund activities related to job creation and business growth to help increase families' incomes and provide goods and services in low- and moderate-income areas. |
| 8 | Goal Name | Promote fair housing |
| | Goal Description | The City will fund activities related to the promotion of fair housing through education, outreach, research, and other methods. |

Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b):

During PY 2018, the City of Houston expects to provide affordable housing as defined by HOME 91.215(b) in the following ways

- The Homebuyer Assistance Program expects to serve 50 households with downpayment and closing cost assistance in PY 2018. Of the 50 households, HCDD estimates that 8 households (15%) will have a household income between 31-50% Area Median Income (AMI) and 42 households (85%) will have an income between 51-80% AMI.
- The Multifamily Housing Program as well as the Home Repair Program will construct and rehabilitate housing predominately for households with an income under 80% AMI using entitlement funding. Most households served by the Multifamily Housing Program are likely to be below 60% AMI to comply with tax credit rules and preferences.

More information about types of affordable housing that will be available in the next year is provided in section *AP-55 Affordable Housing*.

Projects

AP-35 Projects – 91.220(d)

Introduction

Projects have been selected for inclusion in this Plan based on the priorities in the 2015-2019 Consolidated Plan, analysis of existing funding sources, and public input. These projects align directly with HCDD’s primary initiatives: Eliminating Chronic Homelessness, Revitalizing Communities, Fostering Community Economic Development, and Enhancing the Quality of Life. During PY 2018, HCDD will engage in community development activities which include increasing the availability and quality of affordable housing, the delivery of public services, investment in neighborhood facilities, and economic development opportunities. Request for proposals will be conducted before and during the program year to choose locations and subrecipients for some projects.

These projects only represent those funded with federal entitlement grants for PY 2018 and do not signify all activities that will be carried out during the program year by HCDD. For instance, some activities, such as repair for single family homes may also use TIRZ Affordable Housing Set-Aside or CDBG-DR funding in addition to entitlement funding during the program year.

As of March 2018, HUD had not announced the 2018 entitlement allocations for jurisdictions. Therefore, the funding amounts listed in the Projects are estimates and will change once the final allocations are published.

Projects

Table 9 – Project Information

| # | Project Name |
|----|--|
| 1 | Neighborhood Facilities and Improvements |
| 2 | Public Services |
| 3 | Code Enforcement |
| 4 | Economic Development |
| 5 | Homebuyer Assistance |
| 6 | Single Family Home Repair |
| 7 | CDBG Administration |
| 8 | Multifamily Housing Program |
| 9 | Single Family Home Development |
| 10 | HOME Program Administration |
| 11 | 2018-2021 City of Houston TXH18F003 (CoH) |
| 12 | 2018-2021 Sponsor Agencies TBD TXH18F003 (SpAgTBD) |
| 13 | ESG18 Houston |

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

HCDD’s funding priorities have not changed from those outlined in the Strategic Plan. The main factors that contributed to targeting funds to specific activities in PY 2018 are

- The stated needs, analysis, and objectives in the 2015-2019 Consolidated Plan
- Priorities stated in each program’s solicitation and award guidelines
- Compliance with HUD entitlement grants’ (CDBG, HOME, HOPWA, and ESG) rules and regulations

Other elements may also impact annual allocations, including citizen and community input, qualified responses to Request for Proposals, an analysis of prior years’ budget and expenditures, and City Council direction. HCDD will continue to partner with the CoC and other organizations in the Houston area. Reducing homelessness continues to be a priority for HCDD. It is expected that one of the priorities given to projects in the public services RFP will include serving the homeless population.

HCDD strives to serve those most in need of assistance. The three main obstacles to meeting the needs of the underserved in Houston are the lack of resources, the lack of service or housing availability, and the lack of knowledge about programs. HCDD will work to leverage its resources with other agencies, assist families to grow their income and build assets, create and make available housing and service opportunities, and advertise available services to the community. More information about future actions to assist the underserved are included in the AP-85 Other Actions section of this Plan.

Projects

AP-38 Project Summary

Project Summary Information

Table 10 – Project Summary

| Project Name | Target Area | Goals Supported | Needs Addressed | Funding |
|--|----------------------------------|--|--|--------------------------------------|
| Neighborhood Facilities and Improvements | Citywide | Revitalize communities | Improvement of neighborhood facilities | CDBG: \$409,600 |
| Public Services | Citywide | Reduce homelessness Enhance quality of life Foster community economic development | Public service needs | CDBG \$3,542,042 |
| Code Enforcement | Areas for Community Reinvestment | Revitalize communities | Neighborhood needs | CDBG \$2,855,830 |
| Economic Development | Citywide | Foster community economic development | Economic development needs | CDBG: \$205,000 |
| Homebuyer Assistance | Citywide | Expand homeownership opportunities | Assistance for homebuyers | CDBG: \$1,542,400 |
| Home Rehabilitation | Citywide | Preserve and expand the supply of affordable housing | Assistance for homeowners | CDBG: \$4,733,581 |
| CDBG Administration | Citywide | Preserve and expand the supply of affordable housing Expand homeownership opportunities Enhance quality of life Revitalize communities Foster community economic development | Assistance for homeowners Homeless needs Public service needs Improvement of neighborhood facilities Neighborhood needs Economic development needs Assistance for homebuyers | CDBG: \$4,820,563 |
| Multifamily Housing Program | Citywide | Preserve and expand the supply of affordable housing | Assistance for renters | CDBG: \$205,000 HOME \$7,161,710 |
| Single Family Home Development | Citywide | Preserve and expand the supply of affordable housing | Assistance for renters Assistance for homeowners | CDBG: 5,288,800 HOME: \$1,753,282 |
| HOME Program Administration | Citywide | Preserve and expand the supply of affordable housing Expand homeownership opportunities | Assistance for renters | HOME \$990,554 |
| 2018-2021 City of Houston TXH18F00 (CoH) | Citywide | Provide assistance to persons affected by HIV/AIDS | Assistance for renters Assistance for homeowners Public service needs | HOPWA \$288,000 |
| 2018-2021 Sponsor Agencies TBD TXH18F003 (SpAgTBD) | Citywide | Provide assistance to persons affected by HIV/AIDS | Assistance for renters Assistance for homeowners Public service needs | HOPWA \$9,450,444 |
| ESG18 Houston | Citywide | Reduce homelessness | Homeless needs | ESG \$1,968,996 CDBG \$500,000 |

| | |
|---|---|
| Project Title | Neighborhood Facilities and Improvements |
| Project ID | 1 |
| Target Areas | Citywide |
| Annual Goals Supported | Revitalize communities |
| Priority Need(s) Addressed | Improvement of neighborhood facilities |
| Expected Resources | CDBG: \$409,600 |
| Description | <p>This project includes rehabilitation and construction of neighborhood facilities, parks, and other improvements to facilities and infrastructure in low- and moderate-income areas or facilities serving populations primarily consisting of low- and moderate-income individuals or populations with special needs. Facilities may be publicly or privately owned and examples include and are not limited to, parks, medical facilities, and community centers.</p> <p>The funding amount includes activity delivery expenses, including activities funded from prior years. Proposed accomplishments for PY 2018 include the completion of 6 public facilities. The target date for expending these funds is June 30, 2019.</p> |
| Target Date | 6/30/2019 |
| Estimate the number and types of families that will benefit from the proposed activities | These activities are intended to serve thousands of people, primarily those living in low- and moderate-income areas or those with disabilities or other special needs. |
| Location Description | Various locations. |
| Planned Activities | Eligible activities include rehabilitation and construction of various public or private facilities, including infrastructure. |
| Goal Outcome Indicator | 6 Other. Public Facility or Infrastructure Activities Other Than Low/Moderate-Income Housing Benefit |

| | |
|---|---|
| Project Title | Public Services |
| Project ID | 2 |
| Target Areas | Citywide |
| Annual Goals Supported | Enhance quality of life Reduce homelessness Foster community economic development |
| Priority Need(s) Addressed | Public service needs |
| Funding | CDBG: \$3,542,400 |
| Description | <p>An RFP is anticipated to be issued in late PY 2017 or early PY 2018 for public service projects, and private non-profit agencies and City Departments will be selected soon thereafter. The locations of these activities will be determined after subrecipients are selected. These public services will likely fund homeless services, job training, youth enrichment, child care, and other public services activities.</p> <p>These activities will primarily serve extremely low- and low-income families. Projected CDBG program income in the amount of \$26,245 is estimated to be used for public service activities. Proposed accomplishments for PY 2018 include providing services to 18,428 people. The target date for expending these funds is June 30, 2020.</p> |
| Target Date | 6/30/2020 |
| Estimate the number and types of families that will benefit from the proposed activities | These activities will serve approximately 18,428 families that are extremely low-income, low-income, and moderate-income. |
| Location Description | The locations of activities will be determined after subrecipients are selected. |
| Planned Activities | Eligible activities will include a variety of public service activities such as employment training, elderly services, health services, and homeless services. |
| Goal Outcome Indicator | 18,428 Persons. Public service activities other than low/moderate-income housing benefit |

| | |
|---|--|
| Project Title | Code Enforcement |
| Project ID | 3 |
| Target Areas | Areas for Community Reinvestment |
| Priority Need(s) Addressed | Neighborhood needs |
| Annual Goals Supported | Revitalize communities |
| Funding | CDBG: \$2,855,830 |
| Description | <p>This project funds code enforcement activity including site visits and property inspections conducted by the Department of Neighborhoods, increasing the safety and security of low- and moderate-income neighborhoods. Code enforcement staff will evaluate and assess properties that may have violated the City's building codes and pose a threat to the health and safety of low- and moderate-income neighborhoods. During PY 2018, it is estimated City staff will perform 32,000 site visits in Areas for Community Reinvestment. The target date for completing this activity is June 30, 2019.</p> <p>This project also funds title searches performed by the Legal Department associated with the Department of Neighborhoods code enforcement activities to increase the safety and security of low- and moderate-income neighborhoods. During PY 2018, CDBG will fund title searches on properties located in Areas for Community Reinvestment. The funding amount includes activity delivery expenses. The target date for completing this activity is June 30, 2019.</p> |
| Target Date | 6/30/2019 |
| Estimate the number and types of families that will benefit from the proposed activities | This activity will benefit tens of thousands of families living in low- and moderate-income areas where site visits are conducted. |
| Location Description | Location of these activities will mainly be in Areas for Community Reinvestment. |
| Planned Activities | These code enforcement activities include inspection of multiple types of property including housing units. |
| Goal Outcome Indicator | 32,000 Housing code enforcement/Foreclosed property care |

| | |
|---|---|
| Project Title | Economic Development |
| Project ID | 4 |
| Target Areas | Citywide |
| Priority Need(s) Addressed | Economic development needs |
| Annual Goals Supported | Foster community economic development |
| Funding | CDBG: \$205,000 |
| Description | This project funds economic development activities that may include loans to businesses and job creation. Funding includes activity delivery expenses for economic development activities funded in prior years and from other funding sources such as Section 108 or EDI. Proposed accomplishment for PY 2018 will include the creation or retention of 5 jobs. The target date for expending these funds is by June 30, 2019. |
| Target Date | 6/30/2019 |
| Estimate the number and types of families that will benefit from the proposed activities | Activities are proposed to benefit at least 5 low- and moderate-income persons. |
| Location Description | Subrecipients will be selected during the program year to carry out activities which will determine the location. |
| Planned Activities | These economic development activities may include loans to businesses in support of job creation or retention or in support expanding services to low- and moderate-income persons or neighborhoods. |
| Goal Outcome Indicator | 5 Jobs |

| | |
|---|---|
| Project Title | Homebuyer Assistance |
| Project ID | 5 |
| Target Areas | Citywide |
| Priority Need(s) Addressed | Assistance for homebuyers |
| Annual Goals Supported | Expand homeownership opportunities |
| Funding | CDBG: \$1,542,400 |
| Description | HCDD improves the affordability of homeownership for low- and moderate-income residents by providing deferred, forgivable loans in the form of downpayment, closing cost, principle buy down, and other assistance. The expected activities include activity delivery. The proposed target date for completing this activity will be June 30, 2019. |
| Target Date | 6/30/2019 |
| Estimate the number and types of families that will benefit from the proposed activities | Activities are proposed to benefit 50 of low- and moderate-income households. |
| Location Description | Location of assistance will be determined through an application process. |
| Planned Activities | Planned activities include providing homebuyer assistance, closing costs, principle buy down, and other similar direct financial assistance. |
| Goal Outcome Indicator | 50 Households Assisted: Direct Financial Assistance to Homebuyers |

| | |
|---|--|
| Project Title | Home Rehabilitation |
| Project ID | 6 |
| Target Areas | Citywide |
| Priority Need(s) Addressed | Assistance for homeowners |
| Annual Goals Supported | Preserve and expand the supply of affordable housing |
| Funding | CDBG: \$4,733,581 |
| Description | <p>This project will support repair services for single family homes owned by low- and moderate-income persons and includes activity delivery costs. The proposed target date for completing this activity will be June 30, 2019.</p> <p>The total funding amount also includes projected CDBG program income in the amount of \$98,955 to be expended on Home Rehabilitation.</p> |
| Target Date | 6/30/2019 |
| Estimate the number and types of families that will benefit from the proposed activities | Activities are proposed to benefit 150 of low- and moderate-income households. |
| Location Description | Location of assistance will be determined through an application process. |
| Planned Activities | Planned activities may include providing home rehabilitation including repair and/or reconstruction, activity delivery, and addressing lead-based paint hazard. |
| Goal Outcome Indicator | 150 Household Housing Unit: Homeowner Housing Rehabilitated |

| | |
|---|---|
| Project Title | CDBG Administration |
| Project ID | 7 |
| Target Areas | Citywide |
| Priority Need(s) Addressed | Assistance for renters Assistance for homeowners Assistance for homebuyers Homeless needs Public service needs Improvement of neighborhood facilities Addressing neighborhood needs Economic development needs Fair housing needs |
| Annual Goals Supported | Preserve and expand the supply of affordable housing Expand homeownership opportunities Provide assistance to persons affected by HIV/AIDS Reduce homelessness Enhance quality of life through the provision of public services Revitalize communities Foster community economic development Promote fair housing |
| Funding | CDBG: \$4,820,563 |
| Description | This project will fund HCDD's planning, compliance, financial, and legal oversight activities, which ensure proper use of CDBG funds. This includes funding for: the Coalition for the Homeless of Houston/Harris County to assist in planning the Point-In-Time Count; fair housing activities and associated staff costs; the City of Houston's Finance Department, the City of Houston's Legal Department; and HCDD's general planning activities and oversight of projects and organizations funded by CDBG. Projected CDBG program income in the amount of \$31,300 is estimated to be used for planning and administration activities. This is an administration activity and will be located at 601 Sawyer, Suite 400, 77007. The target date for completing this activity is June 30, 2019. |
| Target Date | 6/30/2019 |
| Estimate the number and types of families that will benefit from the proposed activities | This is an administrative activity. |
| Location Description | This administration activity mainly will take place at 601 Sawyer, Suite 400, Houston, Texas, 77007. |
| Planned Activities | This project funds planning, administration, and oversight activities associated with and in support of the CDBG Program. |
| Goal Outcome Indicator | N/A |

| | |
|---|---|
| Project Title | Multifamily Housing Program |
| Project ID | 8 |
| Target Areas | Citywide |
| Priority Need(s) Addressed | Assistance for renters |
| Annual Goals Supported | Preserve and expand the supply of affordable housing |
| Funding | CDBG: \$205,000 HOME: \$7,161,710 |
| Description | <p>HCDD's Multifamily Housing Program will expand access to, and improve the quality of, multifamily rental housing for low- and moderate-income residents through multifamily housing acquisition, rehabilitation, or new construction projects. Relocation assistance to households will also be provided, as required. Additional activities may include activity delivery expenses. It is estimated that 124 newly constructed affordable, income-restricted units and 420 rehabilitated income-restricted units will be completed during PY 2018.</p> <p>HCDD has issued an RFP in PY 2017. The outcome of the RFP will determine the locations of projects. The RFP prioritizes projects located close to high-frequency transit stops, well-rated schools, neighborhood amenities, such as a grocery store, pharmacy or library, and employment opportunities and other locational factors.</p> <p>Projected HOME program income in the amount of \$85,449 is estimated to be used for the Multifamily Housing Program. The estimated completion date for expending these funds is 2021.</p> |
| Target Date | 12/31/2021 |
| Estimate the number and types of families that will benefit from the proposed activities | These activities will benefit approximately 544 low- and moderate-income families. Activities will also serve special needs populations including homeless individuals and families, elderly, and persons with disabilities. |
| Location Description | The outcome of a future RFP issued or another selection process will determine the locations of projects using PY 2018 funding. |
| Planned Activities | Planned activities for the Multifamily Housing Program include acquisition/ rehabilitation, new construction, and relocation in support of creating and preserving affordable rental housing units. |
| Goal Outcome Indicator | 124 Rental units constructed 420 Rental units rehabilitated |

| | |
|---|--|
| Project Title | Single Family Home Development |
| Project ID | 9 |
| Target Areas | Citywide |
| Priority Need(s) Addressed | Assistance for homeowners Assistance for renters |
| Annual Goals Supported | Preserve and expand the supply of affordable housing |
| Funding | CDBG: \$5,288,800 HOME: \$1,753,282 |
| Description | This project will support the development of new single family home construction through CDBG and HOME funds. It will also support Community Housing Development Organizations (CHDOs) to develop affordable homes to be occupied by low- and moderate-income persons. The expected activities include activity delivery. The proposed target date for completing this activity will be June 30, 2020. |
| Target Date | 6/30/2020 |
| Estimate the number and types of families that will benefit from the proposed activities | Activities are proposed to benefit 50 of low- and moderate-income households. |
| Location Description | Location of assistance will be determined by funded organization through a solicitation process. |
| Planned Activities | Planned activities may include providing funding to organizations to construct affordable homes. |
| Goal Outcome Indicator | 50 Household Housing Unit: Homeowner Housing Added |

| | |
|---|--|
| Project Title | HOME Administration |
| Project ID | 10 |
| Target Areas | Citywide |
| Priority Need(s) Addressed | Assistance for renters |
| Annual Goals Supported | Preserve and expand the supply of affordable housing |
| Funding | HOME: \$990,554 |
| Description | Planning and administration activities associated with, and in support of, the HOME Investment Partnerships Program. The allocation for planning and administration activities will be used to ensure compliance with HOME requirements. Projected HOME program income in the amount of \$9,494 is estimated to be used for planning and administration activities. This is an administration activity and will be located at 601 Sawyer, Suite 400, 77007. The proposed target date for completing this activity will be June 30, 2019. |
| Target Date | 6/30/2019 |
| Estimate the number and types of families that will benefit from the proposed activities | This project funds planning and administration activities associated with, and in support of, the HOME Investment Partnerships Program. |
| Location Description | This is an administration activity and will be located at 601 Sawyer, Suite 400, 77007. |
| Planned Activities | This project funds planning, administration, and oversight activities associated with, and in support of, the HOME Program. |
| Goal Outcome Indicator | N/A |

| | |
|---|--|
| Project Title | ESG18 Houston |
| Project ID | 11 |
| Target Areas | Citywide |
| Priority Need(s) Addressed | Homeless needs |
| Annual Goals Supported | Reduce homelessness |
| Funding | ESG: \$1,968,996 CDBG: \$500,000 |
| Description | <p>Emergency Solutions Grant (ESG) funds support nonprofit organizations that help homeless persons and persons at risk of becoming homeless by providing emergency shelter, housing relocation, and stabilization services. HCDD will allocate \$79,200 for the Homeless Management Information System (HMIS), \$655,000 for emergency shelter, \$395,000 for homeless prevention, \$692,122 for rapid re-housing, and \$147,674 for Administration to fund HCDD staff. In addition, \$500,000 in CDBG matching funds will support ESG subrecipients' activities. HCDD may select subrecipients through an RFP process by fall of 2018 for PY 2018 funding. Selected subrecipients will be located throughout Houston area. The estimated number of primarily extremely low-income persons to be served through these activities is 23,825.</p> <p>The Coalition of the Homeless of Houston/Harris County (Coalition) administers the HMIS in the Houston region. Some ESG funding will support the Coalition and organizations using the HMIS to increase efficiency and effectiveness of serving homeless individuals. The estimated number of individuals' records maintained via HMIS during PY 2018 is 20,000. HMIS and the agencies utilizing the database serve a majority of individuals that are extremely low-income. HMIS is administered at the Coalition for the Homeless Houston/Harris County located at 600 Jefferson, Suite 2050, Houston, Texas, 77002.</p> <p>Funds will also be used to support HCDD staff responsible for oversight of nonprofit subrecipients providing ESG funded services. This is an administration activity and will be located at 601 Sawyer, Suite 400, Houston, Texas, 77007.</p> <p>The target date for expending these funds is June 30, 2020.</p> |
| Target Date | 6/30/2020 |
| Estimate the number and types of families that will benefit from the proposed activities | Activities will assist approximately 23,825 extremely low-income persons and families. |
| Location Description | HCDD may select subrecipients through an RFP process by fall of 2018 for PY 2018 funding. Selected subrecipients will be located throughout Houston. |
| Planned Activities | The eligible activities include Emergency Shelter, Homeless Prevention, Rapid Re-housing, HMIS, and administration in the ESG Program. |
| Goal Outcome Indicator | 100 Tenant-based rental assistance/Rapid re-housing 225 Homelessness prevention 1,500 Homeless person overnight shelter 20,000 Other – HMIS records maintained 2,000 Other – Persons assisted with ESG match |

| | |
|---|--|
| Project Title | 2018-2021 CityofHouston TXH18F003 (CoH) |
| Project ID | 12 |
| Target Areas | Citywide |
| Priority Need(s) Addressed | Assistance for renters Assistance for homeowners Public service needs |
| Annual Goals Supported | Provide assistance to persons affected by HIV/AIDS |
| Funding | HOPWA: \$288,000 |
| Description | Grantee administration is funding for HCDD administration and oversight of the HOPWA project sponsors. This is an administration activity and will be located at 601 Sawyer, Suite 400, 77007. The target date for completing these activities is June 30, 2019. |
| Target Date | 6/30/2019 |
| Estimate the number and types of families that will benefit from the proposed activities | The eligible activity is administration of the HOPWA Program. |
| Location Description | This is an administration activity and will be located at 601 Sawyer, Suite 400, 77007. |
| Planned Activities | The eligible activity is administration of the HOPWA Program. |
| Goal Outcome Indicator | N/A |

| | |
|---|---|
| Project Title | 2018-2021 Sponsor Agencies TBD TXH18F003 (SpAgTBD) |
| Project ID | 13 |
| Target Areas | Citywide |
| Priority Need(s) Addressed | Assistance for renters Assistance for homeowners Public service needs |
| Annual Goals Supported | Provide assistance to persons affected by HIV/AIDS |
| Funding | HOPWA: \$9,450,444 |
| Description | <p>This will fund organizations and City departments that administer programs and projects that prevent homelessness and increase access to decent, affordable housing, for those affected by HIV/AIDS.</p> <p>HCDD will allocate \$2,042,000 for operating costs, \$2,804,000 for supportive services, \$2,243,000 for project or tenant based rental assistance, and \$2,361,444 for short term rent, mortgage and utility subsidies.</p> <p>HCDD will issue an RFP during PY 2018 to contract with several nonprofit agencies to administer services for up to two years. During PY 2018, these activities are expected to serve 4,775 households who have or are affected by HIV/AIDS. Services will be located in the Houston Eligible Metropolitan Statistical Area (EMSA). The target date for completing these activities is June 30, 2020.</p> |
| Target Date | 6/30/2020 |
| Estimate the number and types of families that will benefit from the proposed activities | These activities will serve at least 4,775 households who are affected by a disability, HIV/AIDS. |
| Location Description | Services will be located in the Houston Eligible Metropolitan Statistical Area (EMSA). |
| Planned Activities | Planned activities include operating costs, supportive services, project or tenant based rental assistance, short-term rent and mortgage and utility assistance, and sponsor administration. |
| Goal Outcome Indicator | 475 Households - Tenant-based rental assistance/Rapid re-housing 300 Households - HIV/AIDS housing operations 4,000 Other – Households - Supportive services / Housing information and referral/Homeless prevention |

AP-50 Geographic Distribution - 91.220(f)**Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**

The known locations of proposed federally funded projects and activities that will occur during PY 2018 relative to the designated low- and moderate-income areas are shown in maps in the appendix. Locations of the remaining projects and activities that will be initiated during PY 2018 will be determined after solicitation processes are completed and all subrecipients and developers are identified. Other maps in the appendix show areas of minority concentration, target areas, and the location of community development activities. Some maps (and boundaries within these areas) included in the appendix may potentially change with the publication of updated data by HUD or other entities.

HCDD has several local target areas. To provide data driven recommendations for projects and activities that foster investment in areas demonstrating the most need, HCDD staff have analyzed demographic and socio-economic indicators in select geographic units called Super Neighborhoods to create the Areas for Community Reinvestment. This data was updated for PY 2017 to include the most data. The following six demographic and income characteristics of Houston's eighty-eight Super Neighborhoods were considered in the analysis to develop the Areas for Community Reinvestment

- Low- to Moderate-Income (LMI) Population
- The Land Assemblage Redevelopment Authority (LARA) Owned Lots
- Commercial Investment (HCDD Funded) Multifamily and Public Facilities
- Concentration of Multifamily Housing Stock (2014 American Community Survey)
- Designated Demolition Sites
- City of Houston Capital Improvement Plan (CIP Projects)

In April 2017, Mayor Turner announced an initiative called Complete Communities. This City initiative expects to improve neighborhoods by working closely with residents to select and implement various strategies. The five pilot neighborhoods are historically under-resourced, have some level of community capacity, and have diverse populations and development patterns that will result in a variety of interventions that could scale up to become citywide. Currently, the Planning and Development Department is in the process of creating a long-range planning/implementation document unique to each of the five communities.

The five pilot Complete Communities are a group of neighborhoods that represent a diversity of conditions and challenges. Acres homes is a historically African-American neighborhood with a semi-rural character. It has a mix of large residential lots that allow livestock along with small lots in suburban style subdivisions. There is little commercial or industrial development. Gulfton is a diverse, densely populated neighborhood with a sizable immigrant population from Central and South America. It has a number of large apartment complexes, some single family homes and scattered commercial and industrial activity.

The third Complete Community is Near Northside. The northern portion of Near Northside primarily has single family land use with larger residential lots than the southern portion of the community, which has smaller wood-frame homes. Commercial properties are scattered throughout the area and more than half the housing in Near Northside is renter occupied. The Second Ward Complete Community was a commercial and manufacturing hub in the past but commercial activity and manufacturing jobs have declined since the 1980s. Several historically and culturally significant neighborhoods have persevered even though most housing was built before World War II. More than 60% of the homes are renter occupied and the neighborhood also has a public housing development located at its western edge. The Third Ward neighborhood is home to some important institutions to Houston's African-American community, including Texas Southern University, Emancipation Park, Riverside Hospital, and many prominent churches. Most housing stock in the neighborhood is from the 1960s and earlier, however, development pressures are leading to new townhome construction and gentrification in the northern section of the community. More than 70% of the homes in the neighborhood are renter occupied.

Table 11 - Geographic Distribution

| Target Area |
|--------------------------------------|
| Areas for Community Reinvestment |
| Complete Communities: Acres Homes |
| Complete Communities: Gulfton |
| Complete Communities: Near Northside |
| Complete Communities: Second Ward |
| Complete Communities: Third Ward |

During the request for proposal (RFP) processes held in PY 2017, HCDD prioritized public facilities and multifamily developments in Complete Communities, and HCDD expects to continue to prioritize these areas in RFPs issued in PY 2018. The Home Repair Program will also prioritize eligible applicants in Complete Communities.

Rationale for the priorities for allocating investments geographically

The City is mindful of the location of current and planned assistance and seeks to ensure equitable distribution of funding to serve families in need across the City. The goal of the target areas is to take the limited funding HCDD receives and leverage it with private investment within these areas. Establishing target areas allows HCDD and the City to analyze indicators that help provide data-driven recommendations for projects and activities in investment areas that demonstrate need and opportunity.

Establishing target areas helps HCDD direct funding and activities to certain areas of the City to enhance these areas while also preserving affordability. The basis for choosing target areas for investment is to consolidate scarce funding to create major improvements in selected areas of the City in need. Areas of Community Reinvestment and Complete Communities Pilot Neighborhoods have been selected based on recent data and future public involvement. Funding for code enforcement activities will be geographically targeted to only Areas for Community Reinvestment. Other entitlement funds, mostly related to housing, economic development, and public facility improvements will be prioritized in these target areas because these are neighborhoods that have been historically under-resourced.

Discussion

The Planning and Development Department has performed extensive outreach in each of the Complete Communities to civic leaders and residents, which includes small group meetings and local events as well as large public meetings. In consultation with the public, the department is developing neighborhood-specific actions plans for each of the five neighborhoods expected to be finalized in the spring of 2018. These action plans will be implemented soon after with the help of various partners and funding sources.

Affordable Housing

AP-55 Affordable Housing - 91.220(g)

Introduction

There is a lack of decent, affordable housing choice in Houston. HCDD employs a variety of approaches to maintain, create, and improve the quality of affordable housing in the city. In PY 2018, HCDD will administer the following programs to preserve and increase access to affordable housing

- Multifamily Housing Program – new construction and rehabilitation
- Single Family Programs – development, repair, and homebuyer assistance
- CHDO – supporting community organizations to develop affordable housing
- HOPWA – TBRA and STRMU assistance
- ESG – housing relocation and rapid re-housing

The following tables estimate the annual goals for affordable housing for the next year. Please note that the categories in the tables are not mutually exclusive, for example, a homeless household may also be a special needs household.

Table 12 - One Year Goals for Affordable Housing by Support Requirement

| One Year Goals for the Number of Households to be Supported | |
|---|-------|
| Homeless | 100 |
| Non-Homeless | 709 |
| Special-Needs | 1,360 |
| Total | 2,169 |

Table 13 - One Year Goals for Affordable Housing by Support Type

| One Year Goals for the Number of Households Supported Through | |
|---|-------|
| Rental Assistance | 1,375 |
| The Production of New Units | 174 |
| Rehab of Existing Units | 570 |
| Acquisition of Existing Units | 50 |
| Total | 2,169 |

Discussion

When HCDD issues a request for proposals for the development of housing, HCDD staff hosts an information session providing an overview of expectations and regulations for all interested development teams, including discussion about Section 3, Davis Bacon, accessibility, fair housing, and MBE/SBE. When HCDD partners with developers to build or rehabilitate affordable housing with entitlement funds, developer contracts include requirements to comply with both the Federal Fair Housing Act and Section 504 of the Rehabilitation act of 1973. In addition, HCDD staff review selected sites to ensure compliance to site and neighborhood standards, when using HOME funds, and environmental requirements. Affordable housing continues to be among HCDD's highest priorities. In the past few years, CDBG-DR funding for Hurricane Ike and the 2015 and 2016 flood events has increased the resources available to assist low- and moderate-income families in the City of Houston. HCDD continues to build capacity, remain flexible, and increase efficiency to best serve citizens. To address a variety of home rehabilitation needs in PY 2018, HCDD will continue to use Tax Increment Reinvestment Zone (TIRZ) Affordable Housing Set-Aside, CDBG-DR-15 and CDBG funding for single family home rehabilitation activities, which may include emergency home repairs. Hurricane Harvey has greatly increased the need for affordable housing in Houston. In PY 2018, HCDD will be focused on constructing and rehabilitating single family homes as a main priority and will utilize CDBG and HOME funds for expanding the supply of affordable single family homes. In PY 2018, HOME funds will be used for TBRA to help families remain in or obtain rental housing.

AP-60 Public Housing - 91.200(h)

Introduction

The Houston Housing Authority (HHA) is the public housing authority that operates within, and directly outside, the City limits of Houston. HHA provides affordable homes and services to more than 60,000 low-income Houstonians, including over 17,000 families housed through the Housing Choice Voucher Program. HHA and its affiliates own and operate 25 housing communities with more than 5,500 units for families, elderly, persons with disabilities, and other residents. HHA also administers the nation's third largest voucher program exclusively serving homeless veterans.

The following highlights HHA's program accomplishments occurring in calendar year 2017.

- **Put Residents to Work** - HHA enrolled over 132 participants in the JOBS Plus and Section 3 program resulting in 51 becoming gainfully employed.
- **Housed More Families** - Utilized 100% of its voucher authority. Received 112 additional vouchers from HUD.
- **Helped Homeless Veterans** - Provided housing assistance to about 1,100 homeless veterans through the VASH program each month.
- **New Development**- Began construction on 154 units of new affordable housing in Independence Heights.
- **Improved Energy Efficiency**- Completed portfolio-wide energy efficiency improvements that are planned to result in more 25% less energy usage and more than \$2M in energy savings per year.,

In 2018, HHA plans to reinvest in Houston communities with the acquisition of new units. Additionally, HHA public housing suffered damage to over 400 units, and HHA will repair the substantial majority of its portfolio to pre-Hurricane Harvey status. HHA will also dispose of two properties (Clayton Homes and Kelly Village (partial)) planned for eminent domain acquisition by TXDOT; TXDOT plans will require demolition of the units. HHA also plans to demolish one flood damaged building at Irvington Village that is in the floodway.

The information in this section, AP-60, was provided by the Houston Housing Authority.

Actions planned during the next year to address the needs to public housing

Years of federal disinvestment in the Public Housing Capital Fund Program (CFP), coupled with damage caused by Hurricane Ike and Harvey, have created a significant backlog of capital needs across the HHA's portfolio. A recently completed Physical Needs Assessment values the current capital backlog at approximately \$50 million.

While HHA will expend its CFP allocation of \$4 million to address the most severe capital needs, without additional funding, HHA will remain significantly short of the support required to adequately address the portfolio's documented capital needs. Additionally, as the need to rehabilitate existing housing stock grows, so too does the need for affordable housing, with over 19,000 families currently on HHA's waiting list for a public housing unit.

The following are major capital improvements that will take place through 2018.

At Allen Parkway Village, eight buildings will undergo parapet wall removal replacement of interior and exterior wall components, and roofing project completion. Portfolio wide continuation of appliance replacement, and repair of fire and flood damaged units

HHA is considering applying for the Rental Assistance Demonstration (RAD) Program for the following properties:

- Allen Parkway Village
- Historic Oaks of Allen Parkway Village
- Historic Rental Initiative
- Victory Place

HHA will pursue transfer of assistance under the Public Housing Program for the current existing properties

- Mansions at Turkey Creek
- Villa's at Winkler

HHA may pursue a Choice Neighborhoods Planning or Implementation grant for Grants and Promise Zones for the following properties

- Cuney Homes
- Kelly Village

HHA will pursue a number of new mixed finance development activities using CDBG-DR2 funds and Capital Funds including acquisition of sites for new housing development at:

- Crosstimbers and N. Main
- North Shepherd
- Lyons Avenue in the 5th Ward
- 2640 Fountain View or other sites in high opportunity areas

Actions to encourage public housing residents to become more involved in management and participate in homeownership

Resident Involvement. HHA employs various strategies to promote public housing resident involvement in HHA's policy development and strategic decision-making processes. HHA encourages residents to become involved through participation in Resident Councils, which operate at most public housing developments. Staff from HHA's Client Services Department provides technical assistance to Resident Council members and helps to ensure that third party (League of Women Voters) oversight is in place for the annual election of officers. Each Resident Council meets on a monthly basis to address general and property-specific issues.

Resident Council officers meet as a group with HHA staff quarterly. These meetings provide an opportunity for resident leaders to hear updates on major issues taking place at HHA and within the affordable housing industry nationally. Time is allotted for resident leaders to raise issues or ask questions, which often become the basis for further dialogue. Discussions typically cover issues related to resident participation in governance, safety and security, community service requirements, summer programs, development plans, and job readiness. Also, residents and Resident Council officers actively participate in the PHA planning process to annually review and revise management processes.

Participation in Homeownership. HHA staff work to promote the Family Self-Sufficiency (FSS) Program to public housing and voucher participants. The FSS Program allows participants to establish an interest-bearing escrow account during the five-year program which includes job training, employment counseling, case management services, household skill training, and homeownership counseling. Upon fulfillment of the program, families receive the funds in the escrow account which may be used to purchase housing through the Housing Choice Voucher Homeownership Program.

The Housing Choice Voucher Homeownership Program is a federally funded program that allows families with a Housing Choice Voucher to use the voucher as part of their monthly mortgage payment to purchase a home rather than rent. The Homeownership Program is for first time homebuyers, a person who does not own a home or has not owned a home during the past three years.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

HHA does not have a troubled designation; therefore, it is not necessary for HCDD to provide financial or other assistance to HHA to remove such a designation during the next year.

Discussion

HHA is responsible for adopting a payment standard schedule that establishes voucher payment standard amounts for each Fair Market Rent (FMR) area in HHA's jurisdiction. HHA staff will pursue an additional payment standard increase for high opportunity neighborhoods. The 2018 payment standards are currently 115% of the FMRs, the mid-range percentage is between 105% of the FMRs and the low range is 95% of the FMRs.

AP-65 Homeless and Other Special Needs Activities - 91.200(i)**Introduction**

The City of Houston works closely with the Coalition for the Homeless of Houston/Harris County (Coalition) and with other members of the Houston/Harris County Continuum of Care (CoC), to align priorities and funding to address the needs of residents experiencing or at risk of homelessness. The CoC has updated their strategic plan to outline the goals and strategies for the coming years.

Goal 1: End Chronic Homelessness by 2018**Goal 2: Maintain Steady State on Veteran Homelessness****Goal 3: End Family Homelessness by 2020****Goal 4: End Youth Homelessness by 2020****Goal 4: Set a Path to End All Homelessness**

The Way Home Continuum of Care's (CoC) most recent Action Plan details activities and goals for the coming year. The goals for the next year focus on strategies to end family homelessness by continuing to utilize and expand Rapid Re-housing (RRH) programs. Additional activities include managing and expanding the Income Now Initiative, which is a collaborative effort to provide mainstream competitive employment to persons and families who are homeless, reviewing RRH outcomes, reviewing system-wide performance expectations, and developing a response to youth homelessness, particularly underage/runaway youth, as well as youth aging out of foster care. Major activities are outlined below.

Continued oversight and creation of 183 units of Permanent Housing, including RRH, and other targeted affordable housing development linked to system-wide homeless prevention and diversion activities

- Host a pipeline committee comprised of major funders to coordinate funding and guide new development and turnover of existing PSH units
- Prioritize public and private capital, operating, and service resources to support PSH development and targeted affordable housing development, including SRO or pay by day facilities and inclusion of restricted 30% AMI units in mainstream affordable housing development
- Increase capital investments for targeted affordable housing development in support of diversion and prevention activities, particularly for non-chronically homeless single individuals

Continued implementation of the integrated care service delivery model for PSH units in the pipeline

- Nurture new partnerships between Federally Qualified Health Centers, Mental Healthcare Providers, and Homeless Service Providers
- Manage a pilot project with State Medicaid and Managed Care Organizations to fund supportive housing services
- Connect integrated care teams to new and existing PSH units as they become operational

Implement a single coordinated intake, assessment, triage, and central referral system for all homeless housing interventions

- Manage the Coordinated Access system including income triage, assessment and referral
- Make Coordinated Access available via a call center across the CoC
- Connect the Coordinated Access system to shelter, transitional housing, and other diversion and prevention programs

Standardize and expand Rapid Re-housing (RRH)

- Combine federal, state, and local resources to create a single standardized RRH model across the CoC and ensure maintenance and scaling
- Continue to prioritize resources for RRH and linked prevention activities to build a safety net system for homeless and at-risk families

Implement Phase II of the Income Now Initiative

- Transition all Income Now workforce referrals to Workforce Solutions

- Monitor data and performance for workforce outcomes
- Increase SOAR system capacity to handle 350 referrals annually by adding 3-5 additional system dedicated disability workers
- Design and implement a self-sustaining Supported Employment Intervention and create partnerships with Vocational Rehabilitation Services and Ticket to Work

Implement performance expectations for the entire CoC

- Apply performance standards to all programs operating in the CoC and use performance to determine future funding awards and possible reallocation or repurposing of existing resources in support of the CoC strategic plan

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including (narrative for the following 1-4):

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

HCDD provides ESG and CDBG funding to social service organizations to assess the needs of homeless persons and will continue to support organizations to create a more robust social service system to address unmet needs.

The Coalition collaborates with service agencies and others in the public sector to analyze existing needs to identify and address funding gaps. The Homeless Point-In-Time (PIT) Count and the Community Needs Assessment, organized by the Coalition, annually assess the characteristics of the homeless population in and around Houston. This is important data used by the Coalition and its stakeholders to track the changing needs of the homeless. In PY 2017, HCDD will continue to financially support the Coalition's preparation for the 2018 PIT Count. Additionally, the CoC hosts a minimum of two Consumer Input Forums annually to obtain input on the action plan from current and formerly homeless individuals and families.

In 2014, the CoC implemented a coordinated assessment system ensuring standardized assessment for any homeless individual at a variety of access points. This system functions to triage, assess, match and refer homeless individuals to the most appropriate permanent housing option across the continuum. This is now the primary referral method for most homeless beds and functions as the sole referral source for PSH. This system expanded in 2016 and is optimizing access for both sheltered and unsheltered homeless individuals seeking support across the City. Outreach workers are trained as assessors and navigators ensuring unsheltered homeless individuals full access to all housing opportunities and services. The coordinated assessment system now has the capability to refer families or singles to rapid re-housing services.

Addressing the emergency shelter and transitional housing needs of homeless persons

HCDD continues to fund social service agencies providing emergency shelter for homeless individuals and families. Services will include case management, direct rent or utility assistance, and operations costs associated with overnight shelter. HCDD's ESG funding will address emergency shelter needs as discussed later in the section. As part of the planning process for community-wide coordination of ESG implementation and the restructuring of the CoC funding process, the CoC is collaborating with local ESG recipients to right-size the system of emergency shelter, transitional housing, and rapid re-housing ultimately shifting resources toward permanent housing options. This coupled with enhanced diversion and prevention resources will dramatically reduce demand for emergency shelter and ultimately allow the system to reach equilibrium and end homelessness.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

HCDD is completing the homeless initiative and is close to completing its goal of 2,500 permanent supportive housing units. HCDD's Multifamily Housing program continues to encourage the creation of Permanent Supportive Housing.

HCDD is also committed to blending federal, state, and local resources in partnership with Harris County and the CoC to fund rapid re-housing for families with children, veterans, and unaccompanied youth. Rapid re-housing assists households to rapidly return to permanent housing by offering short-term case management and financial assistance. This intervention has proven to be more than 90% effective in returning families to housing stabilization. A system mapping exercise performed under HUD technical assistance revealed that approximately 30% of Houston's homeless population will require rapid re-housing to stabilize.

The coordinated assessment system, described previously in this section, will act as the process for identifying people who are homeless and most in need of PSH or rapid re-housing, which include people who are chronically homeless, families with children, veterans, persons fleeing domestic violence, and unaccompanied youth.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

HCDD will fund several agencies that deliver homelessness prevention assistance from various federal and state grants, providing

- Short-term subsidies to defray rent and utility arrearages for families that have received eviction or utility termination notices or are experiencing a hardship that may lead to homelessness
- Security deposits and first month's rent to permit homeless families to move into their own apartment
- Mortgage payments

Preventing homelessness, especially family homelessness, is a priority for the City and its partner, the Coalition. The Coalition assists Houston's homeless service providers, many of whom are CDBG and/or ESG subrecipients, to help families implement strategies that keep them stabilized and resolve their financial issues before being identified as "homeless."

As part of the planning process for community-wide coordination of ESG and CoC funding process implementation, the Coalition is collaborating with local ESG recipients. The CoC plans to develop a homelessness prevention eligibility standard to target those most at-risk of becoming literally homeless. This standard will be developed using HUD's ESG eligibility criteria and local data regarding characteristics common among people who are literally homeless. Depending on the level of need of potential clients, the agency initially conducting intake will either admit the person to their program or will conduct a warm hand-off to another homelessness prevention provider in the system that is skilled in meeting the person's needs for housing. A warm hand-off is an approach in which a staff member of the initial intake agency provides a face-to-face introduction of a homeless person to another provider to which the person is being referred.

The CoC continues to execute memorandum of understanding (MOU) with mainstream and other homeless service providers on the behalf of the homelessness prevention system in order to help clients link to mainstream and homeless supportive services outside the ESG programs. The purpose of developing MOUs is to help clients easily access mainstream services that might have a cumbersome application process or lengthy waitlist. This includes developing protocols for warm-handoffs to United Way's THRIVE programs to enhance family self-sufficiency and financial mobility. Mainstream services will include those listed in 24 CFR 576.400 (c), as well as those in the SOAR program, and locally-funded programs to assist with increasing income and improving health.

The Coalition, along with local public funding jurisdictions and publicly funded institutions and systems of care that discharge people into homelessness, will create or modify discharge plans to prevent discharging into homelessness by

- Identifying local discharge plans or practices that are leading to homelessness
- Engaging each system and discussing data and alternatives
- Utilizing data to inform broader strategic planning processes

The CoC has several discharge policies to coordinate community-wide assistance to address youths aging out of foster care, persons exiting health care and mental health institutions, and persons discharged from correctional institutions. With the introduction of the coordinated placement system, these institutions are being invited to coordinate discharge planning activities to prevent homelessness. Protocols have been developed to connect with Harris County Jail and several emergency rooms and hospitals across the jurisdiction.

Discussion

HCDD has established a contractual relationship with the Coalition for the Homeless of Houston/Harris County (Coalition) to manage efforts related to addressing chronic and family homelessness. The City continues to support the Coalition's efforts by providing HCDD staff assistance and CDBG, ESG, HHSP, and Homeless and Housing Bond funding for

- Implementing and operating the Homeless Management Information System (HMIS)
- Developing and implementing a Strategic Plan to End Chronic Homelessness
- Planning and prevention activities
- Homeless Count and Survey
- TX-700 Continuum of Care (CoC) Collaborative Grant Application for Houston/Harris/Fort Bend and Montgomery Counties
- Performance measurement of CoC funded programs and projects
- Managing Coordinated Access for the CoC system
- CoC Steering Committee and workgroups

The Coalition continues to administer HMIS for contributing homeless organizations within CoC. Since its initial implementation in 2004, HMIS has grown to become the major repository of homeless assistance and homelessness prevention data in the community. An increasing number of federal and local funders now require HMIS participation, and its data is regularly used for various reports and analyses.

At the end of 2017, there were 253 programs and 696 individual users actively participating in the HMIS. The database consisted of 233,034 unique client records. Most clients served before 2010 were literally homeless individuals, but a growing segment now represents at-risk clients assisted by homelessness prevention programs, including those funded by VA SSVF, ESG, HOPWA, and local food banks. Of the 51,807 clients enrolled in any HMIS programs during 2017, there were 27,906 literally homeless individuals - a decrease of about 400 literally homeless from 2016. This most significant event during 2017 was implementation and tracking of numbers due to Hurricane Harvey. Over 1000 clients were enrolled in one of 3 Disaster Recovery programs, some of these in more than one. Approximately 500 households were housed directly from local Red Cross shelters. The Coalition HMIS team

set up these programs in HMIS in less than 24 hours after Harvey and once the need became apparent. Weekly reports to funders continue today.

The Coordinated Access system continues to be a key component of the HMIS. All HUD-funded Permanent Supportive Housing and Rapid-Rehousing projects are now required to accept new clients through Coordinated Access referrals. Between the Coordinated Access system's launch in early 2014 and the end of 2017, 11,544 clients were assessed for housing, of which 2,047 were placed in PSH and 3,250 persons were placed in rapid re-housing. Through the Income Now initiative, 1,139 clients were referred to Workforce Solutions for employment and income services.

HMIS software ClientTrack is provided by Eccovia Solutions, a privately held company. The Coalition's HMIS support team includes six staff members. As part of their daily activities, the support team continues to implement a number of proactive data quality measures and it monitors the HMIS to ensure completeness, accuracy, and standardization of the data collection processes. Support specialists work with the CoC agencies by offering ongoing training and technical assistance, both individually and in groups. Site visits to partners are conducted on an annual basis to confirm bed inventory for the homeless count as well as to provide scoring for the NOFA. The team also issues monthly newsletters and hosts quarterly HMIS forums with the provider community, to discuss recent activities, ongoing data quality issues, and future developments.

AP-70 HOPWA Goals

Table 14 - HOPWA Goals

| One year goals for the number of households to be provided housing through the use of HOPWA for: | |
|--|--------------|
| Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family | 800 |
| Tenant-based rental assistance | 475 |
| Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds | 245 |
| Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds | 55 |
| Total | 1,575 |

AP-75 Barriers to affordable housing - 91.220(j)**Introduction**

As listed in the 2015-2019 Consolidated Plan, the most critical barriers to the production and preservation of affordable housing include the following.

Current market conditions

Over the past several years, the residential market in Houston has become one of the most sought after in the nation. As the market has boomed, affordable housing development has become more expensive to produce. According to the Houston Association of Realtors, the Houston real estate market set new records in 2017, despite the effects of Hurricane Harvey. Home prices have continued to climb, as have land and construction costs. The supply or inventory of homes in the region has also shrunk as residents affected by Hurricane Harvey have sought replacement housing for their damaged homes. Consequently, market conditions have tightened and reduced the number of units that can be created with limited funds.

Deterioration of housing stock

Houston's housing stock is aging. Many properties built before 1978 may have environmental hazards such as lead-based paint. Due to remediation and other rehabilitation work required to bring units to current code standards, repairs and rehabilitation work may be prohibitively expensive for homeowners or owners of rental properties.

Insufficient availability of current financial resources to address affordable housing needs

As identified by the public during the development of the 2015-2019 Consolidated Plan, a scarcity of resources was named the greatest barrier for residents finding and maintaining housing and was the greatest barrier to fair housing choice in Houston. Over the past year, wage growth has been very modest. In addition, federal funding will likely remain steady or decrease over the next few years, which may limit future development that could address these needs.

Lack of communication between government and residents

Resident feedback from the development of the 2015-2019 Consolidated Plan noted that the most significant challenge faced by the City to promote fair housing is communication with residents about housing discrimination, fair housing laws and rights, and the City programs available to them. Opening more avenues of communication between residents and the City will help address impediments such as a lack of understanding and promotion of fair housing. The importance of this lack of communication also is reflected in one of the 12 core strategies from the Houston General Plan: communicate clearly and with transparency.

Lack of regulation

The lack of some regulations limits the ways in which the City could potentially achieve decent, safe, affordable housing. One example of how the lack of regulation may affect quality affordable housing supply is having no regulatory development tools, such as inclusionary zoning, that require new developments in certain qualifying neighborhoods to have a percentage of units affordable to low- and moderate-income person. Instead, Houston's greatest tool to promote affordable housing is through the use of incentives.

Regulation

Municipal, State and Federal regulations may, in some instances, increase the cost of or the time to develop affordable housing. While some of HUD's regulations relating to noise, environmental, or site and neighborhood standards are needed to protect future affordable housing residents and existing neighborhoods, these additional requirements add costs to affordable housing developers that developers in the private market do not have to share. Some could view these additional regulations as barriers to developing affordable housing.

NIMBY

Sometimes residents oppose affordable housing developments based on the location. This is known as NIMBY (Not In My Backyard) resistance. Additional information about actions addressing NIMBY is located in the Affirmatively Furthering Fair Housing section in the appendix.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The proposed actions to address barriers in PY 2018 are described below, and other actions to overcome impediments to fair housing are located in the Appendix and titled *Affirmatively Furthering Fair Housing*.

Address housing market conditions that inhibit low- and moderate-income persons from obtaining decent housing

- Increase affordable housing supply by funding acquisition, rehabilitation, and new construction of affordable rental and homeowner housing
- Assist low- and moderate-income families achieve homeownership by providing them with downpayment and closing cost assistance
- Expand housing repair activities, using multiple funding sources to lower the cost of home maintenance and improve housing stock
- Work with lending institutions to provide housing for underserved populations

Invest in building code enforcement and lead hazard remediation to abate deterioration of housing stock

- Improve housing stock for low- and moderate-income homeowners through the repair of single family homes, using entitlement and non-entitlement funding sources
- Reduce lead-based paint hazards in low- and moderate-income housing units by partnering with HHD and providing matching funding for federal grants
- Engage in code enforcement activities carried out by the City's Department of Neighborhoods to address code violations
- Continue to lead an interdepartmental team addressing substandard rental housing

Strengthen intergovernmental relationships to resolve regulatory issues

- Inform and communicate with the Texas Department of Housing and Community Affairs (TDHCA) about updates to the Qualified Allocation Plan (QAP)
- Coordinate with local HUD officials and request waivers to certain HUD standards, as needed.
- Continually improve HCDD's monitoring and compliance function to detect and address inconsistencies or conflicts among federal, state, and local grant and regulatory requirements
- Continue to provide technical assistance to nonprofit and for-profit affordable housing developers and public service agencies regarding new or changing requirements
- Continue to refer fair housing complaints to substantially equivalent agencies and the regional HUD office, which are equipped and trained to manage such complaints effectively and efficiently

Use education to encourage policy decisions and public support that positively impact affordable housing

- Educate city officials and staff about fair housing issues to improve the understanding and impact of municipal laws and regulations on affordable housing through presentations and meetings organized by HCDD staff
- Prepare information and materials about impediments impacting affordable housing, for use in presentations and meetings organized by or with HCDD staff, for stakeholders and community groups
- Engage fair housing and affordable housing advocates to elevate affordable housing issues in the public

- Create marketing materials, such as videos, to promote the importance of providing safe, affordable housing, through HCDD's activities

Discussion

HCDD will continue to pursue innovative partnerships, locate additional funding sources, and engage in comprehensive planning efforts with regional entities to reduce and remove barriers to affordable housing and fair housing choice. HCDD will continue to use partnerships to reach out to citizens for input and to pursue other funding to benefit citizens. Also, HCDD will foster collaboration with housing and service providers to enhance existing strategies and implement new strategies to address affordable housing barriers. The City of Houston is committed to improving communities by performing research and analysis, collecting citizen input, and exploring best practices to inform programs and activities and to remove barriers to affordable housing.

AP-85 Other Actions

Introduction

The following describes the planned actions or strategies that the City of Houston will pursue in the next year to

- Address obstacles to meeting underserved needs
- Foster and maintain affordable housing
- Reduce lead-based paint hazards
- Reduce the number of poverty-level families
- Develop institutional structure
- Enhance coordination

Actions planned to address obstacles to meeting underserved needs

The underserved are defined as LMI households that have a member that is elderly, is a child, has a disability, or has a quality of life-limiting medical condition. The underserved also include individuals experiencing homelessness or are victims of domestic violence. Characteristics of the underserved population may include fixed incomes, unemployment or underemployment, living in aging housing stock, language barriers, and physical limitations to access services.

In PY 2018, HCDD will strive to overcome the three main obstacles of the underserved by

Leveraging its resources

- HCDD will continue to implement programs through special grants and to support funding applications for various non-profit agencies. HCDD will continue partnering with housing and service organizations to create permanent supportive housing units for the homeless.
- HCDD will continue to work with HHA to efficiently utilize entitlement funds to provide housing for extremely low- and low-income residents.
- HCDD staff will continue to research, apply for, and manage competitive and non-competitive grant opportunities to fund and enhance community development activities in Houston.
- HCDD will research ways in which funded activities can be paired with other funding sources or programs to lower costs for residents or make services more available.
- The request for proposals for public services, public facilities, and multifamily development may prioritize projects that leverage other funding sources with entitlement funds.
- HCDD will continue to seek partnerships with entities in the private sector, such as banks, realtors, builders, and non-profits to fund trainings and utilize volunteered resources.

Assisting households increase their income and assets

- HCDD will continue to fund public services including job training and other assistance programs, like childcare, to help individuals secure a job to increase their family income.
- HCDD's Compliance Division facilitates training and monitors routinely for contractual compliance to ensure that contractors are adhering to Section 3 guidelines to provide job training and employment and contract opportunities to low-income residents. This Division will also enforce the Davis Bacon Act to ensure contractors and sub-contractors pay the prevailing wage rates to employees.
- The Homebuyer Assistance Program provides financial assistance to income eligible households that otherwise could not afford to sustain a home purchase due to limited savings.
- HCDD will continue to look for new ways to create job opportunities for low- and moderate-income persons through existing funding resources, like Section 108 and EDI funds.
- HCDD will continue to work with local initiatives helping families build wealth such as BankOn Houston and the United Way's THRIVE.

Making housing and services available for the underserved

- HCDD will prioritize housing and services to those in most need including populations with special needs. Rapid re-housing activities using ESG funds will target homeless individuals and those who are victims of domestic violence.
- HCDD will continue to address the rental housing needs of the underserved by giving preference to developments for underserved populations in the selection process. Housing developments assisted with entitlement funds will continue to comply with Section 504 requirements to make housing available for persons with disabilities.

Advertising available services to the underserved

- HCDD will continue to develop translated materials to reach non-English speaking residents regarding available programming and general entitlement grant information.
- HCDD will explore different methods of outreach to enhance communication with residents who have a disability.
- HCDD will strive to hold public hearings in low-income neighborhoods and conduct meetings at agencies that serve special needs populations.

Actions planned to foster and maintain affordable housing

As of February 2018, HCDD's multifamily compliance portfolio includes 14,191 housing units in over 86 developments, and as a result of federal and local funding sources, 6,552 of these units are income-restricted. Effective relationships with developers of affordable housing, potential buyers of at-risk housing, advocacy groups, lenders, community groups, and other stakeholders help to ensure that the number of restricted units is maintained. The City will continue to lead an effort to develop permanent supportive housing to help end chronic, veterans, family, and youth homelessness in Houston. By providing analysis of homeless needs, coordinating with other agencies and funding this effort, the City will continue to encourage affordable housing development with supportive services. The City will continue to collaborate and partner with public and private housing developers, builders, and finance agencies to foster decent, safe, and affordable housing.

HCDD will continue to solicit and finance new housing developments that maximize the use of available funds through leveraging, to not only create new affordable rental housing, but also to ensure the preservation of existing rental housing. Leveraging of HCDD's funds will become increasingly important as HCDD's allocation of federal funds continues to decline.

During PY 2018, HCDD will fund the rehabilitation of rental units to maintain affordability and livability of existing affordable units. This includes funding renovations for Woodland Christian Tower (127 total units), Cleme Manor (284 total units), and Pointe at Crestmont (192 units). The Light Rail Lofts development (48 total units) is an existing office building that will be converted into affordable housing mostly targeted to veterans. Of the above 651 units, these renovation projects will collectively contribute 420 City of Houston income-restricted, affordable units in PY 2018 or later.

HCDD will also create new affordable housing opportunities in Houston. HCDD expects three new affordable housing developments to be completed next year. Watercrest at Kingwood (174 units) will include 28 new City of Houston income-restricted, affordable units for seniors. Independence Heights (200 units) will include 77 new City of Houston income-restricted, affordable units for families, while Sunrise Orchard (52 units) will have 19 new City of Houston income-restricted, affordable units for youth aging out of foster care. In addition, HCDD will continue to fund several other new affordable home developments that will be completed after PY 2018, including Fenix Estates (200 units). Of the above 626 units, these new housing developments will contribute 174 City of Houston income-restricted, affordable units to the city.

During PY 2017, approximately 600 units nearing the end of the affordability period have had their affordability periods increased because of HCDD staff's proactive approach to contact appropriate developers. In PY 2018, HCDD staff will continue to reach out to developers who are nearing the end of the affordability period on their income-restricted units to offer various possibilities to increase or renew the properties' affordability period.

HCDD will also preserve and expand the supply of affordable single family housing in PY 2018 through the rehabilitation of 150 single family homes and the construction of 50 new single family homes. Staff updated the Home Repair Program guidelines, increased capacity by hiring intake counselors and streamlined the application process. Staff has also revised the HOME CHDO program with updated procedures, CHDO selection processes, and increased affordability periods. New recapture guidelines have also been designed to maintain the long-term affordability of the house. There is a concerted focus at HCDD on gearing up single family new development with CDBG funds in the upcoming year. Hurricane Harvey has negatively impacted the supply of affordable housing in Houston and has created an urgent need for new homeowner housing development and rehabilitation of existing homes damaged by flood events over the past three years. In PY 2018, HCDD plans to continue to increase efficiencies in the program and channel local, federal and disaster recovery funds towards single family rehabilitation and development.

Actions planned to reduce lead-based paint hazards

During PY 2018, HCDD will expend CDBG funds for lead-based paint related programs through the Lead-Based Paint Hazard Control Program managed by the Houston Health Department (HHD) and through home repair activities. A description of the activities follows.

Lead-Based Paint Hazard Control Program (LBPHC). HCDD and HHD's Bureau of Community and Children's Environmental Health (BCCEH) work closely together to reduce lead hazards. Beginning in 1996, HHD has received federal funding from the U.S. Department of Housing and Urban Development (HUD) to reduce lead-based paint hazards and establish Healthy Homes principles in low- and moderate-income housing units within the City of Houston. The BCCEH's Lead Program is funded by federal grants, including the Lead Hazard Reduction Demonstration (LHRD) Grant.

HHD uses HCDD's funding as match dollars in support of the LHRD grant. In PY 2015, HCDD changed its method of funding lead related programs through HHD. Previously, HCDD funded the match for each grant in three equal payments over three years. Since then, HCDD provides one lump sum of match dollars, intending to make the accounting for the program more transparent and easily understood. The last notice of funding availability for the LHRD grant was published in the spring of 2017. With the help of CDBG funds as match, BCCEH performs lead hazard reduction and remediation on approximately 95 units annually.

Home Repair Program Lead Activities. For home repair activities, HCDD staff presumes that all homes built before 1978 have lead hazards. HCDD staff members have been certified as Lead Supervisors and ensure that lead hazards are addressed according to regulations and requirements. HCDD staff ensures the delivery of repair services will be provided in a timely and most efficient and healthful way possible.

Actions planned to reduce the number of poverty-level families

HCDD provides many services intended to help reduce the number of persons in poverty. HCDD will carry the following strategies and actions over the next year to help families achieve financial stability.

Increasing income

- Fund job training and educational programs to increase a person's potential income.
- Work with businesses to increase the number of jobs available, especially higher waged jobs, for low- and moderate-income persons.

Building Savings

- Work with other local organizations, such as BankOn Houston, to increase financial education, homebuyer counseling, and general financial literacy concerns.
- Provide home repair assistance to households that do not currently have enough in savings to make necessarily repairs.

Acquiring Assets

- Provide direct homeownership assistance to potential homebuyers to help increase a household's assets.
- Continue to work to create a community land trust organization in Houston.

Actions planned to develop institutional structure

Internally, HCDD is comprised of four major functions: Program Areas (Multifamily/Commercial, Single Family/Retail, Neighborhood Facilities and Improvements, and Public Services), Financial Services and Administration, Planning and Grants Management, and Compliance Divisions. The current structure highlights HCDD's commitment to ensuring that all functions perform in a concerted manner to guarantee an efficient use of public and private resources with maximum output in the form of accomplishments. Underlying this effort is the recognized need to maintain a high level of coordination on projects involving other City departments and/or outside agencies.

HCDD will address gaps and improve institutional structure using the following strategies

- Reduce and/or alleviate any gaps in services and expedite the delivery of housing and community development improvements (primarily affordable housing) to eligible residents.
 - The Special Assistant to the Mayor for Homeless Initiatives will continue to lead planning efforts related to ending homelessness and homeless housing initiatives.
 - HCDD staff expects to implement new guidelines for single family home repair activities to upgrade its building standards and create greater efficiencies.
 - The Homebuyer Assistance Program expects to continue to aggressively market the updated program in the community.
 - The Multifamily/Commercial program area is also creating greater efficiencies by revising underwriting methods for current projects and preparing to increase its capacity by hiring staff.
- Use a high level of communication and project coordination among City departments and support the City's efforts to revitalize and/or stabilize low- and moderate-income neighborhoods.
 - HCDD is currently in partnership with the Health, Library, Parks and Recreation, and Houston Public Works departments, as well as the Mayor's Office on a variety of community improvement projects in low- and moderate-income neighborhoods. HCDD will further refine ways to communicate regulations to other City departments which may include additional meetings and desk reviews.
 - HCDD will work to communicate reimbursement process for other City Departments with LOAs. Staff at HCDD will focus on relationship management and improved communication with City departments and streamline LOA procedures.
 - HCDD will continue to host interdepartmental meetings on fair housing to enhance the communication between City departments.
- Work with and financially support various community housing development organizations (CHDOs) operating in low- and moderate-income neighborhoods to build affordable housing for the elderly, veterans, and other special needs populations.
 - HCDD will continue to provide technical assistance to local housing development organizations and walk organizations through the application process to become CHDO certified.
 - HCDD will fund CHDO certified organization to develop affordable single family homes. A new RFP for single family home development with the HOME CHDO set-aside funds is expected to be issued in late PY 2017.

- Use established partnerships to identify opportunities for joint ventures with agencies that have sources of funding to construct or operate affordable housing.
 - HCDD and HHA will continue to plan rehabilitation of housing units supported by HHA.
- Continue to cultivate strong working relationships with local financial institutions to ensure the availability of private funding for housing and other projects and low- and moderate- income homebuyers.
 - HCDD will continue to work with the Houston Housing Finance Corporation to develop future housing financing opportunities.
 - HCDD will continue to reach out to realtors associations and banks, including those representing minorities, and realtors working in low-income areas of the city to advertise HCDD's Homebuyer Assistance Program.
 - HCDD will work with finance agencies to further economic development initiatives.
 - HCDD will continue to work with banks such as Capital One to fund trainings for CHDOs to construct affordable single family homes.

Actions planned to enhance coordination between public and private housing and social service agencies

As the lead agency in the Annual Action Plan (Plan) development process, HCDD continues to share a common vision with its partners in the public and private housing and social service sectors. That vision promotes community development and the leveraging of resources to maximize program outcomes.

HCDD will continue to enhance coordination efforts between housing and social service agencies. Some of these efforts are described in the following section

- *Coalition for the Homeless Houston/Harris County.* HCDD funds HMIS, the primary data system maintained by the Coalition used to track information related to the region's homeless population. Such data informs efforts to address the needs of the chronically homeless in the region in cooperation with agencies across several counties. HCDD will support the Coalition's efforts to analyze HMIS data to enhance coordination between service providers and homeless housing providers, through the continued development of a coordinated access system. Recently added to the HMIS system is the HOPWA Program, which has helped to coordinate housing and services between homeless and HOPWA providers. In addition, HCDD financially supports the Coalition's administrative efforts including the coordination of the Point-In-Time Count each year, which serves as a basis for federal homeless funding allocations for the CoC.
- *Continuum of Care (CoC).* The CoC brings together local units of government, housing providers, and service providers to strategize and plan for future activities to address homelessness in the Houston area. As a member of the CoC Steering Committee, and various CoC workgroups, HCDD will continue the implementation of the integrated care service delivery model for permanent supportive housing units, by partnering with federally qualified health centers, mental healthcare providers, and homeless service providers.
- *Addressing Homelessness.* HCDD will work to enhance coordination between housing and service providers while leading the effort to implement strategies to end chronic and other forms of homelessness.
- *Economic Development.* HCDD will explore new partnerships with agencies, like the Houston Redevelopment Authority and Houston Business Development Inc. (HBDI), to create new opportunities for businesses to provide services in low-income neighborhoods. HCDD will continue to fund the Houston Area Urban League, a Community Based Development Organization (CBDO), to carry out employment training. HCDD will continue to look for economic development opportunities that will best utilize Section 108/EDI funding to create jobs and enhance businesses.
- *Community Development Advisory Council (CDAC).* HCDD will enhance coordination between housing and social service providers by hosting members from a variety of public and private housing and social service agencies to discuss ways to most effectively carryout the strategies in the consolidated planning process.
- *City Departments.* HCDD will continue to work with other Departments to implement actions that will affirmatively further fair housing.

The activities and partnerships with some of the aforementioned organizations span multiple counties (Harris, Fort Bend) and cities (Missouri City, Pasadena). More details about the organizations consulted throughout the planning process are included in the Consultation section of this Plan.

Discussion

The City of Houston is continuously refining its strategies to foster affordable housing, reduce lead-based paint hazards, reduce the number of families in poverty, develop institutional structure, and enhance coordination. By enhancing coordination and developing greater collaboration, the City will work to create an environment in which affordable housing, including permanent supportive housing, is sustained and encouraged.

Program Specific Requirements

AP-90 Program Specific Requirements - 91.220(l)(1,2,4)

Introduction

The following addresses the program specific requirements for the Annual Action Plan. It includes required information for CDBG, HOME, HOPWA, and ESG.

Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220.(l)(1)

Projects planned with CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

Table 15 – CDBG Program Income

| | |
|--|----------------|
| 1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed | 156,500 |
| 2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan. | 0 |
| 3. The amount of surplus funds from urban renewal settlements | 0 |
| 4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan | 0 |
| 5. The amount of income from float-funded activities | 0 |
| Total Program Income: | 156,500 |

Other CDBG Requirements

Table 16 – CDBG Requirements

| | |
|--|--------|
| 1. The amount of urgent need activities | 0 |
| 2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan. | 90.00% |

**HOME Investment Partnership Program (HOME)
Reference 24 CFR 91.220(I)(2)**

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

The City of Houston does not use other forms of investment beyond those identified in Section 92.205.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

In PY 2018, HCDD will not utilize HOME to fund homebuyer assistance activities, but will utilize a recapture requirement for homeownership activities for HOME assisted units constructed as a part of the CHDO Demonstration Single Family Home Development Program.

The following bullets outline the required minimum affordability period for homebuyers receiving a direct HOME subsidy through HCDD program

- Direct HOME subsidy under \$15,000 has a minimum period of affordability of 5 years
- Direct HOME subsidy between \$15,000 and \$25,999 has a minimum period of affordability of 10 years
- Direct HOME subsidy between \$26,000 and \$39,999 has a minimum period of affordability of 15 years
- Direct HOME subsidy over \$40,000 has a minimum period of affordability of 20 years

The direct HOME subsidy is the amount of HOME assistance that enabled the homebuyer to buy the unit and may include downpayment, closing cost, interest subsidies, settlement charges, or other direct subsidy that reduced the purchase price from fair market value to an affordable price.

According to the recapture requirements of the CHDO Demonstration Single Family Home Development Program, assistance, which is a direct HOME subsidy is provided as a deferred forgivable loan. The assisted homebuyer must occupy the property as his/her principal residence through the affordability period. Once the affordability period ends, no recapture restrictions will apply. If the property is sold, is no longer the owner's principal residency, or is otherwise non-compliant with the recapture requirements during the affordability period, HCDD will recapture a portion of the direct HOME subsidy provided to the homebuyer before the homebuyer receives a return on the sale. The recapture amount will be reduced, on a pro rata basis, by the amount of direct HOME subsidy due at sale. The homebuyer may sell to any homebuyer. HCDD's recapture amount is limited to the net proceeds available from the sale. Net proceeds are defined as the sales price minus superior loan repayment (other than HOME funds) and any closing costs.

If the homebuyer is non-compliant with the recapture requirements during the affordability period, the full amount of the direct HOME subsidy will be immediately due and payable to the City, unless the homebuyer re-establishes his/her actual residency pursuant to evidence acceptable to the Director.

In the case of default during the affordability period, the City may pursue all remedies available to the City under the loan promissory note, the deed of trust, or other program loan documents. In the development of home purchase agreements, HCDD will utilize the recapture provision, as discussed above: recapture of a portion of the direct HOME subsidy (see HOME Program regulations at 24 CFR 92.254(a)(5)(ii)).

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

As mentioned in the previous section, the period of affordability is stated in a written agreement between the homebuyer and the homebuyer through loan and program documents, which could include, but not limited to the Loan Note, the Lien, the Statement of Terms and Conditions, and Land Use Restriction.

Recapture is triggered when a homebuyer becomes non-compliant, or a default, occurs. Each of the following constitutes a default as defined by the loan documents

- Homeowner fails to owner-occupy the property as their principle residence.
- Homeowner transfers any interest in the property through a voluntary or involuntary sale.
- Homeowner fails to follow terms of loan agreements.

Annually, HCDD confirms homeowner compliance by requiring each homeowner to confirm their primary occupancy of the home purchased with federal funds that are still within the affordability period.

The default and recapture process is initiated when residency cannot be established.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

HCDD does not expect to use HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds during PY 2018. Although not likely, this is an eligible HOME expense in accordance with 24 CFR 92.206(b) and 24 CFR 91.220(c). If at a later date, HCDD uses HOME funds in this way, the following guidelines would apply.

Applicants must demonstrate that

- Rehabilitation is the primary eligible activity
 - A rehabilitation project in which HOME funds are used to reduce any dollars in the capital structure, is considered rehabilitation and refinancing
 - Applicants can demonstrate compliance by reporting a minimum of \$5,000 of rehabilitation per unit
- Property will meet the extended 15-year affordability period
- The project, based on the included feasibility analysis, can reasonably service the targeted population over the affordability period
- The rehabilitated property will have at least 5 percent of its units designated as disability accessible and 2 percent designated, as appropriate, for use by the visually and hearing impaired
- The new investment being made
 - Maintains current affordable units; creates additional affordable units, or both
 - Funds a project which lies within the limits of the City of Houston
 - Is on a site and within a neighborhood suitable from the standpoint of facilitating and furthering full compliance with the applicable provisions of Title VI of the Civil Rights Act of 1964, the Fair Housing Act, Executive Order 11063, and implementing HUD regulations

A rehabilitation project in which all HOME funds are used for construction costs is not considered a refinancing. HOME funds cannot be used to refinance multifamily loans made or insured by any federal program, including CDBG.

Housing Opportunities for Persons with HIV/AIDS (HOPWA)

Goals

HCDD solicits proposals for HOPWA sponsors through an RFP process that usually occurs every two years. Due to Hurricane Harvey that solicitation was postponed for one year. Selected sponsors are contracted for a one-year period and may include a one-year extension, if all contract requirements are met. The next RFP will occur in spring of 2017, where several agencies will apply and eventually be selected as project sponsors for PY 2018 and 2019 allocations.

In PY 2018, HCDD will allocate

- \$2,042,000 for operating costs to provide a minimum of 300 households with permanent and transitional facility-based housing
- \$2,804,000 for supportive services serving a minimum of 1,500 households
- \$2,243,000 for project or tenant-based rental assistance for a minimum of 475 households
- \$2,361,444 for short-term rent, mortgage and utility subsidies for a minimum of 800 households
- \$25,000 for resource /housing information and referral for a minimum of 1,600 households

Discussion

HOPWA activities in PY 2018 are expected to serve 4,775 households with a person living with or is affected by HIV/AIDS. Services will be located in the Houston Eligible Metropolitan Statistical Area (EMSA). HCDD continues to serve as a member of the Ryan White Planning Council (Ryan White), and an HCDD staff member also serves as a member of the Priorities and Allocation Committee under the Planning Council. In addition to receiving critical community health needs information from Ryan White, HCDD staff also meet with HOPWA providers at least twice a year to receive information about the implementation and needs of the current providers. When HCDD receives information from stakeholders like Ryan White and from HOPWA providers, HCDD can adjust future funding decisions. For instance, with information from HOPWA providers, HCDD has previously targeted funding more towards tenant-based rental assistance and less on short-term rent, mortgage and utility assistance. This is fluid and is reevaluated annually. Additionally, after conversations with the community in 2016, HOPWA funds are no longer being utilized to fund operations for transitional housing that targets homeless individuals living with HIV who are in need of respite care.

Since the summer of 2014, HCDD's HOPWA program has been utilizing HUD's Homeless Management Information System (HMIS), the client database for HOPWA programming in the region. This has allowed HOPWA programming to be part of the coordinated access system.

Using HMIS, HCDD has changed the way the weeks for STRMU assistance are counted from four weeks for a client (regardless of the amount of rent received, i.e. full month or half a month) to fractions based on whether a client received rent for a full month, half month, or a quarter of a month. HCDD defines a year based on a particular participants' year (one year from the day the participant begins receiving assistance).

The HOPWA Program moved from using Fair Market Rent as the rent standard to adopting the public housing authority's rent standard. The HOPWA Program continues to explore the possibility of utilizing TBRA as part of the Rapid Re-housing program.

Emergency Solutions Grant (ESG)

1. Include written standards for providing ESG assistance (may include as attachment)

Written standards for providing ESG assistance are included in the Appendix and were last updated March 2015.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

The Continuum of Care (CoC) in the Houston area has established a centralized and coordinated assessment system that meets HUD requirements and is in the process of fully implementing the system. In January 2014, a soft roll out or Phase One of this system was implemented. The system began full implementation January 2015. In February 2013, the four local jurisdictions, the Coalition, and the Corporation for Supportive Housing gathered together to discuss strategies around the ESG program and coordinated access integration. Plans continue to develop around implementation of coordinated access and specifically the utilization of rapid re-housing.

Although the CoC's full-scale coordinated assessment system is beginning in stages, ESG homelessness prevention and rapid re-housing programs use common assessments and eligibility criteria, and clients may access homelessness prevention or rapid re-housing services at any point in the system. In addition to HUD's eligibility criteria and in order to target the system's limited homelessness prevention resources to those most at-risk of homelessness, local risk factors for homelessness were used to develop a common assessment. In order to monitor the tool's effectiveness, the CoC tracks clients who are deemed ineligible for homelessness prevention services to see if they access shelter or homeless services. Rapid re-housing programs target four high need population groups that would benefit from the model. Currently, coordinated assessment is fully implemented, all ESG recipients and subrecipients have been integrated and a special planning initiative is underway with domestic violence providers.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

HCDD selects projects for funding based on the greatest community need, as well as the effectiveness of the organizations assisting the community. The City of Houston last awarded funding through a competitive request for proposal process (RFP) in 2014. Awards resulted in a 12-month contract with a renewal option with several private non-profit organizations. Allocations for the renewals were determined based on productivity of the program, utilization rate, and need for service. The City of Houston, despite cuts in funding, continues to allocate more resources to rapid re-housing. The next RFP was expected to be issued during the fall of 2017 to select the next administrative agent for ESG funds but agencies were focused on Harvey rescue and recovery activities and were unable to submit applications. The RFP is now expected to be issued in late PY 2017 or early PY 2018.

Approximately 15% of ESG resources may go to organizations located outside the City limits of Houston. Funds will be used for activities that benefit residents of the City of Houston and may include emergency shelter, homeless prevention, and rapid re-housing, specifically related to domestic violence.

HMIS services continue to be funded out of the ESG program, and the Coalition continues to receive this funding from Houston and other local jurisdictions, for the management of the local HMIS.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The City of Houston meets the homeless participation requirement. The CoC consults with the four ESG jurisdictions bi-monthly. As a part of the planning role of the group, funding recommendations are taken from providers in the CoC's Homelessness Prevention and Rapid Re-housing Planning and Service Coordination workgroup, Consumer

Advisory Council, CoC's Action Plan, and data analysis from HMIS. With this information, ESG jurisdictions, including HCDD, form strategies for funding program types and certain priorities. The Coalition for the Homeless' Consumer Advisory Council is comprised of people who are currently or formerly homeless. In addition, two places on the CoC Steering Committee, which consists of 17 members, are reserved for consumer representatives, individuals who have experienced homelessness. Also, the Coalition's Board of Directors, which the City of Houston is a part of, is required to have a representative from the Homeless Services Coordinating Council.

5. Describe performance standards for evaluating ESG.

In consultation with the Coalition and other Emergency Solutions Grants grantees within the CoC, HCDD has developed program standards for Street Outreach, Emergency Shelters, Homelessness Prevention, Rapid Re-housing, and HMIS activities.

For these standards, the initial data collection will be used to create baseline data. Grantees within the CoC have been working to establish community wide standards, so that the community as a whole will have common goals. The CoC will measure progress toward those goals at the community level, as well as an individual grantee level. HCDD will use the data collected on these outcomes over the following program years to analyze effective programs and establish more measurable standards. The progress toward outcomes will later be used to establish the community standard for achieving those outcomes. The City has completed four years of funding with the established ESG Written Standards. We are committed to more conversation and a thorough examination of performance and outcomes.

ESG standards for performance continue to be based on the outcomes below.

Number and percentage of people exiting to a known place

This outcome will be measured by evaluating HMIS data for client exit. The measure will help improve data quality and provide better information on client outcomes for shelter programs. The goal of this standard is to help the CoC measure and reduce the time people spend homeless by providing a big picture of which program models are successful in reducing and eliminating returns to homelessness for clients. The data will also help the community to better target resources to clients who are returning to homelessness and have the highest needs.

Number and percentage of people assisted in overcoming a specific barrier to obtaining housing

This outcome will be measured by detailing the types of barriers addressed and the steps to decreasing barriers so that clients using emergency shelter have more opportunities to access permanent and transitional housing and rapid re-housing services. HCDD endeavors to reduce the number of people living both on the street and in emergency shelters by reducing barriers. In addition, reducing barriers will increase the likelihood of positive housing outcomes for clients that are accessing emergency shelter.

Number and percent of people who increase income from entry to exit in emergency housing programs

This outcome will help the community measure the reduction in barriers to housing for clients in emergency shelters. For homelessness prevention and rapid re-housing activities, the CoC will use the same performance standards to help measure the success of these interventions for the community. HCDD recognizes that clients who receive rapid re-housing assistance will have a separate set of needs and likely higher barriers to maintaining permanent housing, so the outcome goals will be different, even though the measures for both programs are the same.

Discussion

The City of Houston continues to work together with the CoC and other ESG grantees to review and revise standards of performance and service delivery, as needed. As Houston has continued to work with community partners to implement activities under the ESG regulations, HCDD has faced a few challenges, specifically related to rapid re-housing. These challenges include

- Lack of affordable housing within the City of Houston
- Multiple barriers to housing for HCDD's clients, i.e. eviction history, lack of income, criminal history

The ESG funders workgroup is committed to addressing these challenges and plan to explore best practices in the areas mentioned above.

Appendices

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Appendix # 1: Affirmatively Further Fair Housing

Affirmatively Further Fair Housing

Fair housing and equal opportunity are fundamental principles to creating and sustaining communities in Houston. One way that the City demonstrates its commitment to these principles is by completing an Analysis of Impediments to Fair Housing Choice (AI). The AI is a document containing a review of obstacles that can impede fair housing choice and a list of actions to remove or decrease these identified barriers. The development of the City's 2015 AI coincided with the 2015-2019 Consolidated Plan and built upon previous analyses that were completed in 2005, 2010, and 2014 (the amendment to the 2010 AI).

The 2015 AI listed 14 impediments found to be barriers to fair housing choice in Houston. Although not exhaustive, this list is an attempt to outline impediments that were found during the development of the 2015 AI, using various data sources and extensive community input. At the suggestion of several community groups, impediments have been categorized under four fair housing and neighborhood rights that incorporate affirmatively furthering fair housing principles.

The right to choose: All Houstonians have a right to live in a decent home in a neighborhood of their choice, free from discrimination.

1. Discrimination in Housing
2. Lack of Knowledge about Fair Housing
3. Lack of Affordable Housing Options
4. Lack of Accessible Housing for Persons with Disabilities
5. Lack of Income/Lack of Funding
6. Segregated Housing Patterns Based on Race, Ethnicity, and Economic Status

The right to stay: Transitioning neighborhoods in Houston should be revitalized for the benefit also of existing residents without replacement.

7. Affordability
8. Lack of Financial Education

The right to equal treatment: Houston will work to end discrimination and disinvestment in low-income, minority concentrated neighborhoods and ensure that infrastructure, public services and facilities, and other public resources are provided equitably to all neighborhoods

9. Imbalanced distribution of Amenities, Services, and Infrastructure Between Neighborhoods
10. NIMBY Resistance
11. Lack of Transportation Options
12. Low Educational Attainment Among Minorities
13. Increased Health Hazard Exposure in Certain Neighborhoods

The right to have a say: All citizens have a right to be informed about, and have an input in, decisions that affect their communities.

14. Lack of Communication Between Government and Residents

HCDD created the "Summary of Recommended Actions and Fair Housing Implementation Plan" in the 2015 AI as a five year plan to address the identified impediments. The sixty-five identified fair housing actions are closely related to the strategies in the 2015-2019 Consolidated Plan and to proposed projects and activities in each subsequent Annual Action Plan.

Lack of Affordable Housing

One of the impediments identified in the 2015 AI indicated that there is a lack of affordable housing. The strategies and actions taken to address this impediment described in the 2018 Annual Action Plan are consistent with the 2015-2019 Consolidated Plan goals: *Goal 1 Preserve and expand the supply of affordable housing* and *Goal 2 Expand*

homeownership. HCDD expects to assist 90 households with lead abatement activities to preserve their home, construct 124 affordable rental units and 50 homeowner units, rehabilitate 420 rental units and 150 homeowner units, and provide financial assistance to 50 households to purchase a home and to at least 100 households with rental assistance.

Addressing Goals and Priority Needs

The projects and activities proposed in the 2018 Annual Action Plan address the goals and priority needs listed in the 2015-2019 Consolidated Plan’s Strategic Plan. The goals and priority needs listed in the Strategic Plan do not necessarily correlate to only one protected class, but instead address the needs of multiple or all protected classes. However, by regulation, HOPWA activities will only serve persons with HIV/AIDS, who are considered to be persons with a disability.

Measuring Progress

The proposed projects in the 2018 Annual Action Plan list projected quantifiable goals that will be used to measure progress of projects at the end of the program year. Information regarding various protected class traits is aggregated and recorded in IDIS or HMIS and will assist in determining how these projects helped address the needs of these protected classes. The data collected varies depending on the project, activity, and funding source. There is also information that should be collected that is not reported in IDIS, such as number of applicants. Quantifiable goals and other measures are included in the CAPER.

For instance, depending on the national objective and the beneficiary of the activity (persons, households, or presumed benefit), CDBG public service activities, and some public facility activities, collect information about a beneficiary’s race, Hispanic origin (which can serve as a proxy for color and national origin), and status as a female headed households (which can serve as a proxy for familial status). This information is reflected in IDIS. Economic development activities, which are also dependent on the nature of the CDBG activity, may collect information about the race and Hispanic origin of persons receiving assistance. In addition, information about residents within the assisted area, including race, ethnicity, sex familial status, and disability, may be collected for certain public facilities, economic development, and code enforcement activities that benefit an area, information about residents within the assisted area; although, this information is not required in IDIS.

HCDD’s Homebuyer Assistance Program and Home Repair Program applications collect information about an applicant’s race, Hispanic origin, sex, familial status, and household disability status. The Multifamily Housing Program collects information about initial tenants of rehabbed and newly constructed units, including race and Hispanic origin. Compliance includes verifying the number of Section 504 units in multifamily properties. In addition, as part of the annual compliance review of multifamily units in HCDD’s portfolio, information about race, Hispanic origin, and female headed households is collected for tenants residing in the City of Houston’s income-restricted units.

For ESG activities, information about beneficiaries’ race, Hispanic origin, gender identity, and special needs (including disability) is collected in HMIS. HOPWA activities, now also reporting in HMIS, collect information on race, ethnicity, gender, familial status, and disability.

Although projects and activities carried out in the next year will address needs of various protected classes and low-income populations in Houston, entitlement funding at current levels will not meet the needs of all Houstonians, including those of selected protected classes.

Addressing Impediments

Listed in the “Summary of Recommended Actions and Fair Housing Implementation Plan” are the actions HCDD plans to carry out during PY 2018 to address the identified impediments from the 2015 AI. Each action has one or more corresponding impediments it is intended to address. Many of these fair housing actions are directly related to

the projects in the 2018 Annual Action Plan. Other fair housing actions listed are not related to individual projects but instead, are general fair housing education and research activities.

Fair Housing Funds

HCDD set aside \$150,000 of administrative funding in the PY 2018 CDBG budget to assist in funding the salary of a full time employee and expenses related to carrying out some of the fair housing activities below. This full time employee is in HCDD's Planning and Grants Management Division and focuses on educating the public about matters involving landlord and tenant relations and fair housing issues and answering their questions via the Landlord/Tenant and Fair Housing Hotline. Other administrative funds will also be used to fund other HCDD employees that assist with a variety of activities supporting fair housing which include, but are not limited to: updating and creating fair housing materials, analyzing data, monitoring for Section 3 and Labor Standards requirements, and distributing information about the benefits of and the available opportunities for affordable housing in Houston and its neighborhoods. HCDD will also continue funding the SAY YES! Campaign during the next program year, which intends to expand the public's ideas about affordable homes and who lives in affordable units. As reflected in the AI action #21, HCDD will also identify and pursue other sources of future administrative funding as it relates to fair housing.

Summary of Recommended Actions and Fair Housing Implementation Plan

| Recommended Actions | Related Impediments | Possible Funding Source | Timeline (Based on Program Years) | 5 Year Measure for Success/Milestone | PY 2018 Anticipated Results |
|---|--|--|---|---|---|
| <p>1. Conduct housing discrimination testing and studies HCDD will contract with one or more qualified fair housing organization to conduct fair housing testing or studies which may be related to whether lenders are engaging in mortgage pricing practices unrelated to credit worthiness or engaging in mortgage redlining, whether insurance redlining is occurring, whether discrimination in real estate appraisals is taking place, whether real estate advertising is discriminatory, to what extent landlords refuse Housing Choice Voucher participants, or whether other discriminatory housing practices are occurring. This action will help to reveal actual discriminatory practices taking place in the community so that future resources can be better allocated to address discrimination.</p> <p style="text-align: right;">SN, D – Priority: High</p> | <p>1. Discrimination in Housing</p> | <ul style="list-style-type: none"> • CDBG • CDBG-Staff Time | <p>Start: 2015 Complete: 2018</p> | <ul style="list-style-type: none"> • Contract with qualified fair housing organizations • Conduct testing • Produce study or studies | <ul style="list-style-type: none"> • Continue work on RFP |
| <p>2. Provide counseling through the City’s Fair Housing Hotline The City’s Fair Housing Hotline provides a year-round, free resource to citizens who may have been discriminated against or have questions or concerns about various tenant and landlord issues. This action will continue to provide a resource to anyone living in, owning housing, or planning to move to the Houston area that may have a question or concern about their rights. The Fair Housing Hotline is one way to address several impediments by empowering citizens about their rights, giving citizens various ways to remedy possible discriminatory actions, and preventing future discrimination from occurring.</p> <p style="text-align: right;">D, H, SN – Priority: High</p> | <p>1. Discrimination in Housing</p> <p>2. Lack of Knowledge about Fair Housing</p> <p>14. Lack of Communication Between Government and Residents</p> | <ul style="list-style-type: none"> • CDBG-Staff Time • CDBG-Outreach Materials | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Assist 7,500 callers | <ul style="list-style-type: none"> • Assist 1,500 callers |
| <p>3. Provide fair housing education to housing industry professionals HCDD will provide fair housing education and outreach to 200 housing industry professionals, such as housing providers, by supplying housing materials to distribute with City of Houston contact information or information about complying with the Fair Housing Act which may include topics like providing reasonable accommodations. HCDD may also sponsor free training opportunities. This action will address discrimination by reducing the numbers of people impacted by covert and overt discriminatory practices due to housing providers being unaware or unfamiliar with fair housing laws.</p> <p style="text-align: right;">Priority: High</p> | <p>1. Discrimination in Housing</p> <p>2. Lack of Knowledge about Fair Housing</p> | <ul style="list-style-type: none"> • CDBG-Staff Time • CDBG-Outreach Materials | <p>Start: 2016 Complete: 2018</p> | <ul style="list-style-type: none"> • Reach 200 housing industry professionals | <ul style="list-style-type: none"> • Reach 40 housing industry professionals |
| <p>4. Provide fair housing information to HCDD stakeholders HCDD will provide education and outreach through trainings, presentations, informational brochures, and other methods to 200 HCDD stakeholders including subrecipients, contractors, developers, and nonprofit and for-profit partners. This action will address the impediment of lack of knowledge by providing fair housing training to educate and ensure stakeholder compliance under fair housing laws of agencies and subrecipients that partner with HCDD.</p> <p style="text-align: right;">H, SN – Priority: High</p> | <p>1. Discrimination in Housing</p> <p>2. Lack of Knowledge about Fair Housing</p> | <ul style="list-style-type: none"> • CDBG-Staff Time • CDBG-Outreach Materials | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Reach 200 HCDD stakeholders with information about fair housing | <ul style="list-style-type: none"> • Reach 40 HCDD stakeholders |

Summary of Recommended Actions and Fair Housing Implementation Plan

| Recommended Actions | Related Impediments | Possible Funding Source | Timeline (Based on Program Years) | 5 Year Measure for Success/Milestone | PY 2018 Anticipated Results |
|---|---|--|---|--|--|
| <p>5. Increase the fair housing knowledge of government staff HCDD will provide education and outreach to city staff through trainings, presentations, informational brochures, and other methods of outreach. HCDD may work with partners to create a fair housing training program to implement city-wide for executive city staff during the first 12 months of employment. This action will address the impediment of lack of knowledge by providing fair housing training and resources to educate city employees about federal requirements to comply with fair housing law within the city's policies and procedures.</p> <p style="text-align: right;">NH – Priority: High</p> | 1. Discrimination in Housing 2. Lack of Knowledge about Fair Housing | <ul style="list-style-type: none"> ● CDBG-Staff Time ● CDBG-Outreach Materials | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> ● Reach 1,000 staff members with fair housing training or information ● Seek approval to institute fair housing training for executive city staff | <ul style="list-style-type: none"> ● Reach 250 staff members |
| <p>7. Provide fair housing and HCDD housing program information to citizens HCDD will create a fair housing outreach plan to inform 500,000 citizens of their fair housing rights, the fair housing complaint process, and tenant and landlord relations. The outreach will likely consist of direct mailings to rental tenants and management companies about the City's Fair Housing Hotline, direct mailings to renters about the City's downpayment assistance program, and basic fair housing training to civic clubs and Super Neighborhoods. Education and outreach may be provided through trainings, presentations, informational brochures, posters, and other methods. This action will address the lack of knowledge of existing fair housing and housing resources by creating an array of targeted marketing for groups that have little or no knowledge of fair housing rights, fair housing laws, or HCDD housing programs.</p> <p style="text-align: right;">Priority: High</p> | 2. Lack of Knowledge about Fair Housing 8. Lack of Financial Education 14. Lack of Communication between government and residents | <ul style="list-style-type: none"> ● CDBG-Staff Time ● CDBG-Outreach Materials | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> ● Reach 500,000 citizens with information about fair housing | <ul style="list-style-type: none"> ● Reach 50,000 citizens |
| <p>8. Preserve affordable housing units HCDD will fund the preservation of at least 390 affordable housing rental units through the Multifamily Housing Development Program. This action will preserve the supply of existing affordable housing for low- and moderate-income households, addressing the lack of quality affordable housing options for members of protected classes.</p> <p style="text-align: right;">Priority: High</p> | 3. Lack of Affordable Housing Options | <ul style="list-style-type: none"> ● CDBG ● HOME ● TIRZ ● BOND | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> ● Preserve 390 affordable housing rental units | <ul style="list-style-type: none"> ● Preserve 420 affordable housing rental units |
| <p>9. Create affordable housing units HCDD will fund the creation of 404 new affordable housing rental units using entitlement funding. This action will expand the supply of affordable housing for low- and moderate income housing, addressing the lack of affordable housing options for members of protected classes.</p> <p style="text-align: right;">Priority: High</p> | 3. Lack of Affordable Housing Options | <ul style="list-style-type: none"> ● CDBG ● HOME ● TIRZ ● BOND | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> ● Create 404 affordable housing rental units | <ul style="list-style-type: none"> ● Create 124 affordable housing units |
| <p>10. Fund the creation or preservation accessible rental units Through HCDD's Multifamily Housing Development Program, rental developments must produce a minimum number of Section 504 accessible rental units. This action will increase the availability of quality accessible units for 50 low- and moderate-income households directly addressing the lack of accessible housing.</p> <p style="text-align: right;">D, SN – Priority: High</p> | 4. Lack of Accessible Housing for Persons with Disabilities | <ul style="list-style-type: none"> ● CDBG ● HOME | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> ● Fund creation or preservation of 50 Section 504 accessible rental units | <ul style="list-style-type: none"> ● Create or preserve 27 units |

Summary of Recommended Actions and Fair Housing Implementation Plan

| Recommended Actions | Related Impediments | Possible Funding Source | Timeline (Based on Program Years) | 5 Year Measure for Success/Milestone | PY 2018 Anticipated Results |
|---|--|--|---|--|--|
| <p>12. Fund public infrastructure and facility improvements in low- and moderate-income neighborhoods HCDD will provide funding for 20 infrastructure and facility improvements through its Public Facilities Program. This action will improve low- and moderate-income neighborhoods by creating new or improved amenities and services in these neighborhoods.</p> <p style="text-align: right;">MC, D, SN – Priority: High</p> | 9. Imbalanced Distribution of Amenities, Services, and Infrastructure Between Neighborhoods | <ul style="list-style-type: none"> • CDBG • TIRZ | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Fund 20 public infrastructure and public facility improvements in low- and moderate-income neighborhoods | <ul style="list-style-type: none"> • 6 public infrastructure and public facility improvements in low- and moderate-income neighborhoods |
| <p>13. Fund economic development activities to create 3 new or improved services benefitting low- and moderate-income neighborhoods HCDD will provide economic development funding to enhance services offered in low- and moderate-income neighborhoods. This action will improve low- and moderate-income neighborhoods by creating new or improved services in these neighborhoods that would otherwise be unavailable to residents.</p> <p style="text-align: right;">Priority: High</p> | 9. Imbalanced Distribution of Amenities, Services, and Infrastructure Between Neighborhoods | <ul style="list-style-type: none"> • CDBG • Section 108 | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Fund economic development activities creating 3 new or improved services | <ul style="list-style-type: none"> • Fund economic development activities creating new or improved services |
| <p>15. Offer economic incentives for development in CRAs HCDD will continue to address revitalization in CRAs by offering economic incentives for developers, businesses, bankers, and other interested entities that assist in the revitalization efforts. This action will incentivize private development in CRAs which will spur continued private investment revitalizing the community.</p> <p style="text-align: right;">MC – Priority: High</p> | 9. Imbalanced Distribution of Amenities, Services, and Infrastructure Between Neighborhoods | <ul style="list-style-type: none"> • CDBG-DR • CDBG • Section 108 | <p>Start: 2015 Complete: 2018</p> | <ul style="list-style-type: none"> • Fund economic incentives | <ul style="list-style-type: none"> • Fund economic development activities in CRAs or other communities designated for investment. |
| <p>16. Provide down payment assistance funds for 500 low- and moderate-income households to purchase a home HCDD's Down payment Assistance Program provides down payment assistance to eligible low- and moderate-income households to purchase a home anywhere in the city. This action will expand housing choice for low- and moderate-income households by allowing these households these households to seek housing in neighborhoods that may have more opportunity.</p> <p style="text-align: right;">Priority: High</p> | 5. Lack of Income/Funding | <ul style="list-style-type: none"> • CDBG • HOME | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Provide down payment assistance loans to 500 households | <ul style="list-style-type: none"> • 50 households (with entitlement funds) |
| <p>17. Provide home repair assistance for 250 low- and moderate-income households HCDD's Single Family Home Repair Program will assist qualified low- and moderate-income homeowners with needed home repairs or reconstruction to create a safe living environment. This action will address the lack of income of low- and moderate-income homeowners by assisting with home repair activities. In addition, this action helps to upgrade the housing stock in mostly low-income, minority areas.</p> <p style="text-align: right;">Priority: High</p> | 5. Lack of Income/Funding 9. Imbalanced Distribution of Amenities, Services, and Infrastructure Between Neighborhoods | <ul style="list-style-type: none"> • CDBG • TIRZ | <p>Start: 2016 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Provide housing repair assistance to 250 households | <ul style="list-style-type: none"> • Provide assistance to 150 households with emergency repairs or general rehab |

Summary of Recommended Actions and Fair Housing Implementation Plan

| Recommended Actions | Related Impediments | Possible Funding Source | Timeline (Based on Program Years) | 5 Year Measure for Success/Milestone | PY 2017 Anticipated Results |
|---|---|---|---|---|--|
| <p>18. Carry out economic development activities to create or retain jobs HCDD will continue to fund economic development activities such as businesses lending to create or retain 50 jobs. This action will address residents' lack of income by promoting ways for low- and moderate-income individuals of protected classes to become employed or retain employment.</p> <p style="text-align: right;">Priority: High</p> | 5. Lack of Income/Funding | <ul style="list-style-type: none"> • CDBG • Section 108 | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Create or retain 50 jobs | <ul style="list-style-type: none"> • Create or retain 5 jobs |
| <p>19. Prioritize affordable housing proposals near transit options in RFP HCDD will prioritize housing proposals near transportation options by giving priority to proposals through the RFP process. This action will address the lack of transportation options by creating greater access to transit opportunities by locating affordable housing near transit.</p> <p style="text-align: right;">Priority: High</p> | 11. Lack of transportation options | <ul style="list-style-type: none"> • None | <p>Start: 2015 Complete: 2019</p> | <ul style="list-style-type: none"> • 100% of RFPs will have priority for proximity to transit | <ul style="list-style-type: none"> • Produce 1 RFP |
| <p>20. Promote multifamily affordable housing development in high opportunity areas HCDD will prioritize housing proposals in high opportunity areas or CRAs by giving preference to proposals through the RFP process. This action will address patterns of segregation by locating affordable housing in areas with more opportunity.</p> <p style="text-align: right;">MC – Priority: High</p> | 6. Segregated Housing Patterns Based on Race, Ethnicity, and Economic Status | <ul style="list-style-type: none"> • None | <p>Start: 2015 Complete: 2019</p> | <ul style="list-style-type: none"> • 100% of RFPs will have priority for location | <ul style="list-style-type: none"> • Produce 1 RFP |
| <p>21. HCDD will pursue additional financial resources to support fair housing activities HCDD will pursue additional resources by applying for grants such as the FHIP to support its continued fair housing efforts. This action is intended to lead to an increase in fair housing funding which will help to implement these actions while the city continues to receive dwindling entitlement funding.</p> <p style="text-align: right;">Priority: High</p> | 5. Lack of Income/Funding | <ul style="list-style-type: none"> • CDBG-Staff Time | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Apply for 5 grants • Increase in funding available | <ul style="list-style-type: none"> • If applicable, apply for Fair Housing Initiatives Program (FHIP) funding |
| <p>22. Create education material, or electronic access to material, in support of other actions as a way to educate government staff and community residents in fair housing HCDD will create original educational material including posters, flyers, brochures, and presentations that can be easily dispersed or can be available on-demand on the city's website to government staff and/or the community. By creating unique fair housing materials, HCDD can better tailor its outreach efforts to reach different groups with specific information needed.</p> <p style="text-align: right;">Priority: High</p> | 2. Lack of Knowledge about Fair Housing 14. Lack of Communication Between Government and Residents | <ul style="list-style-type: none"> • CDBG-Outreach Material | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • 10 materials created • 10 materials updated | <ul style="list-style-type: none"> • Create 3 materials • Update 3 materials |

Summary of Recommended Actions and Fair Housing Implementation Plan

| Recommended Actions | Related Impediments | Possible Funding Source | Timeline (Based on Program Years) | 5 Year Measure for Success/Milestone | PY 2018 Anticipated Results |
|---|--|---|--|--|--|
| <p>23. Translate HCDD's public notices about the consolidated planning process and other documents, as needed, into languages other than English HCDD will continue to translate its planning and housing program information documents prioritizing the documents most requested. This action will address the lack of communication between government and residents by ensuring HCDD documents are accessible to non-English speakers.</p> <p style="text-align: right;">SN – Priority: High</p> | <p>2. Lack of Knowledge about Fair Housing</p> <p>14. Lack of Communication Between Government and Residents</p> | <ul style="list-style-type: none"> • CDBG • CDBG-Staff Time | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • 10 of documents translated per language | <ul style="list-style-type: none"> • Translate 2 documents |
| <p>24. Review fair housing impediments and strategies annually and report on the progress in the CAPER HCDD will continue to offer a transparent review for the public of the actions taken to further fair housing. The draft CAPER is open for public comment for at least 15 days before submission to HUD. This action provides a way for citizens to review and comment on the progress of furthering fair housing.</p> <p style="text-align: right;">Priority: High</p> | <p>14. Lack of Communication Between Government and Residents</p> | <ul style="list-style-type: none"> • CDBG-Staff Time | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Update CAPER annually | <ul style="list-style-type: none"> • Update 2017 CAPER |
| <p>26. Translate HHA documents into languages other than English HHA will continue to translate its documents prioritizing the documents requested. This action will address the lack of communication between government and residents by ensuring HHA documents are readable by non-English speakers.</p> <p style="text-align: right;">SN – Priority: High</p> | <p>14. Lack of Communication Between Government and Residents</p> | <ul style="list-style-type: none"> • HHA | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • 10 documents translated per language | <ul style="list-style-type: none"> • Translate 2 documents |
| <p>27. Update HHA's Language Assistance Plan annually HHA will continue to update its Language Assistance Plan yearly in the Annual Plan. This action will ensure that approaches to reach out to persons with limited English proficiency are analyzed and updated periodically and promote communication between HHA and LEP residents.</p> <p style="text-align: right;">SN – Priority: High</p> | <p>14. Lack of Communication Between Government and Residents</p> | <ul style="list-style-type: none"> • HHA | <p>Start: 2015 Complete: Annually</p> | <ul style="list-style-type: none"> • Update Language Assistance Plan annually | <ul style="list-style-type: none"> • Update Language Assistance Plan, if needed |
| <p>29. HHA will expand the Opportunity Center's activities HHA will pursue partnerships and/or financing to expand resources available at the HHA Opportunity Center which provides meaningful and extensive mobility counseling for its voucher program participants. This action will ensure that voucher participants understand opportunities for housing in areas outside of their neighborhood promoting desegregation and the deconcentration of poverty.</p> <p style="text-align: right;">MC – Priority: High</p> | <p>6. Segregated Housing Patterns Based on Race, Ethnicity, and Economic Status</p> | <ul style="list-style-type: none"> • No City Funding Needed | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Additional resources secured | <ul style="list-style-type: none"> • Continue all activities including book fairs and educational counselors • Plan to host a quarterly job fair |
| <p>30. HHA will affirmatively market housing programs to families least likely to be served HHA will affirmatively market HHA waiting lists to families that are least likely to be served and monitor site and central waiting lists to identify practices that positively and negatively impact affirmatively furthering fair housing. This action will help to integrate HHA's housing programs.</p> <p style="text-align: right;">Priority: High</p> | <p>6. Segregated Housing Patterns Based on Race, Ethnicity, and Economic Status</p> | <ul style="list-style-type: none"> • No City Funding Needed | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Change in waiting list demographics | <ul style="list-style-type: none"> • Plans to market heavily to demographics not represented |

Summary of Recommended Actions and Fair Housing Implementation Plan

| Recommended Actions | Related Impediments | Possible Funding Source | Timeline (Based on Program Years) | 5 Year Measure for Success/Milestone | PY 2018 Anticipated Results |
|---|--|---|--|--|---|
| <p>31. Monitor lending data HCDD will collect and analyze HMDA lending data to monitor lending trends for patterns of potential discrimination. This analysis may be shared with the community to promote understanding of fair housing needs in the city. This action will result in updated analysis that will be utilized to better allocate future resources to address and decrease private lending discrimination and educate the public about fair housing discrimination. Priority: Medium</p> | <p>1. Discrimination in Housing 2. Lack of Knowledge about Fair Housing</p> | <ul style="list-style-type: none"> • CDBG-Staff Time | <p>Start: 2015 Complete: Annually</p> | <ul style="list-style-type: none"> • Update and maintain database of longitudinal lending data | <ul style="list-style-type: none"> • Update lending data |
| <p>32. Monitor HUD Fair Housing Complaint Data HCDD will collect and analyze HUD fair housing complaint data to monitor trends for patterns of potential housing discrimination. This analysis may be shared with the community to promote understanding of fair housing needs in the city. This action will result in updated analysis that will be utilized to better allocate future resources to address and decrease housing discrimination and educate the public about fair housing discrimination. Priority: Medium</p> | <p>1. Discrimination in Housing 2. Lack of Knowledge about Fair Housing</p> | <ul style="list-style-type: none"> • CDBG-Staff Time | <p>Start: 2015 Complete: Annually</p> | <ul style="list-style-type: none"> • Update and maintain database of longitudinal complaint data | <ul style="list-style-type: none"> • Update complaint data |
| <p>33. Develop or update datasets to describe the local supply and demand for accessible housing units HCDD will work with partners to develop or update datasets regarding housing for persons with disabilities because there is little detailed data available regarding the supply of housing and the various needs of persons with disabilities at the community or neighborhood level. This action will help to develop data that will better describe local accessible housing supply and local needs of persons with disabilities. This data will then be used to more efficiently allocate resources to address the lack of accessible housing and to create more accessible housing options. D – Priority: Medium</p> | <p>4. Lack of Accessible Housing for Persons with Disabilities</p> | <ul style="list-style-type: none"> • CDBG-Staff Time | <p>Start: 2015 Complete: 2016</p> | <ul style="list-style-type: none"> • Update or collect 5 local datasets • Partner with 3 organizations | <ul style="list-style-type: none"> • Update 1 dataset • Partner with 1 organization |
| <p>35. Monitor code enforcement activities and address imbalances in implementation if needed HCDD will monitor code enforcement activities. This action will ensure that city services, specifically code enforcement, are not unfairly targeting housing occupied primarily by residents of various protected classes. Priority: Medium</p> | <p>1. Discrimination in Housing 9. Imbalanced Distribution of Amenities, Services, and Infrastructure Between Neighborhoods</p> | <ul style="list-style-type: none"> • CDBG-staff time | <p>Start: 2015 Complete: Annually updated</p> | <ul style="list-style-type: none"> • Update analysis annually • Meet with DON if any concerns found | <ul style="list-style-type: none"> • Continue annual analysis for monitoring |

Summary of Recommended Actions and Fair Housing Implementation Plan

| Recommended Actions | Related Impediments | Possible Funding Source | Timeline (Based on Program Years) | 5 Year Measure for Success/Milestone | PY 2018 Anticipated Results |
|--|--|---|---|---|---|
| <p>36. Conduct an analysis of Community Reinvestment Act funding in Houston and meet with banks to coordinate efforts for reinvesting in the community Banks are required by the Community Reinvestment Act to invest in certain communities. HCDD will research and analyze how banks have utilized funds to satisfy the Community Reinvestment Act's requirements. After research is completed, HCDD or other city staff will meet with banking institutions to discuss ways in which funding could be used to increase housing choice and opportunity, especially related to the city's efforts. Banking institutions have funding required to be reinvested in minority and low-income neighborhoods and this funding could be used to address imbalanced distribution of neighborhood assets while supporting housing affordability in all neighborhoods.</p> <p style="text-align: right;">Priority: Medium</p> | <p>5. Affordability</p> <p>6. Segregated Housing Patterns Based on Race, Ethnicity, and Economic Status</p> <p>9. Imbalanced distribution of amenities, services, and infrastructure between neighborhoods</p> | <ul style="list-style-type: none"> • CDBG-staff time | <p>Start: 2016 Complete: 2018</p> | <ul style="list-style-type: none"> • Analyze local use of Community Reinvestment Act funding • Increase quality of relationships with banks • Increase number of partnerships with banks | <ul style="list-style-type: none"> • Research funding distribution |
| <p>37. Meet with banking institutions to promote locating branches in minority areas HCDD will meet with banking institutions to discuss how they can better serve minority families by locating their services in minority neighborhoods. This action will promote a balance distribution of access to private financial services in the city.</p> <p style="text-align: right;">Priority: Medium</p> | <p>8. Lack of Financial Education</p> <p>9. Imbalanced Distribution of Amenities, Services, and Infrastructure Between Neighborhoods</p> | <ul style="list-style-type: none"> • CDBG-staff time | <p>Start: 2015 Complete: 2017</p> | <ul style="list-style-type: none"> • Meet with banking institutions • Increase number of branches and financial services available in minority areas | <ul style="list-style-type: none"> • Continue to partner with BankOn Houston |
| <p>38. Meet with developers to promote private development in minority areas HCDD will promote development in minority areas by meeting with business owners or residential or commercial developers. This action will encourage increased private investment in neighborhoods currently lacking private investment.</p> <p style="text-align: right;">MC – Priority: Medium</p> | <p>9. Imbalanced Distribution of Amenities, Services, and Infrastructure Between Neighborhoods</p> | <ul style="list-style-type: none"> • CDBG-staff time | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Increase private development in minority areas | <ul style="list-style-type: none"> • Meet with developers |
| <p>39. Host and work with the Fair Housing Interdepartmental Leadership Team HCDD will continue to host city departments at the Fair Housing Interdepartmental Leadership Team meetings to discuss AFFH and coordinate various fair housing efforts. These meetings will be held at least three times annually. By coordinating fair housing outreach efforts with other city departments, the city can more efficiently reach city staff and citizens with appropriate fair housing materials ultimately promoting fair housing knowledge as well as ensuring that the development of policies and programs citywide consider fair housing issues.</p> <p style="text-align: right;">NH – Priority: Medium</p> | <p>2. Lack of Knowledge about Fair Housing</p> | <ul style="list-style-type: none"> • CDBG-Staff Time • City Department-Staff Time | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Hold three meetings annually | <ul style="list-style-type: none"> • Host three meetings |
| <p>40. Provide outreach to about the MWSBE and Section 3 Programs HCDD will promote available job opportunities to low-income and minority persons through the MWSBE / Section 3 Program while conducting 50 outreach activities reaching 10,000 individuals. This action will address residents' lack of income by promoting ways for low- and moderate-income individuals of protected classes to become employed.</p> <p style="text-align: right;">NH – Priority: Medium</p> | <p>5. Lack of Income/Funding</p> | <ul style="list-style-type: none"> • CDBG-Staff Time | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Conduct 50 outreach activities • Reach 10,000 individuals | <ul style="list-style-type: none"> • Conduct 15 outreach activities • Reach 3,000 individuals |

Summary of Recommended Actions and Fair Housing Implementation Plan

| Recommended Actions | Related Impediments | Possible Funding Source | Timeline (Based on Program Years) | 5 Year Measure for Success/Milestone | PY 2018 Anticipated Results |
|--|---|--|---|--|--|
| <p>42. Attend events to provide information about HCDD and housing programs HCDD staff will attend city and non-city events to spread the word about the number of people HCDD assists and how HCDD and other affordable housing programs work. Priority: Medium</p> | <p>10. NIMBY Resistance 14. Lack of Communication Between Government and Residents</p> | <ul style="list-style-type: none"> • CDBG-Staff Time • CDBG-Outreach materials | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Attend 50 events | <ul style="list-style-type: none"> • Attend 15 events |
| <p>43. Encourage affordable housing developers to conduct community engagement activities HCDD will promote community engagement activities by suggesting housing developers funded by HCDD conduct outreach activities such as public meetings, charettes, open houses, or informational process during project development. These meetings would allow developers to discuss existing conditions and the future neighborhood vision. This action will directly engage communities to alleviate fears and address misconceptions about affordable housing. Priority: Medium</p> | <p>10. NIMBY Resistance 14. Lack of Communication Between Government and Residents</p> | <ul style="list-style-type: none"> • CDBG-Staff Time | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Create 100% of RFPs with community engagement notification | <ul style="list-style-type: none"> • Create RFP with community engagement notification |
| <p>45. Work to dispel misconceptions about assisted housing HCDD and HHA will work to dispel the perception that assisted housing is just for minorities by conducting outreach to inform the public on assisted housing opportunities. Non-minority households will be targeted. This action will target non-minority households to reduce NIMBY sentiment and misconceptions about assisted housing. Priority: Medium</p> | <p>10. NIMBY Resistance</p> | <p>CDBG-Staff Time CDBG-Outreach materials</p> | <p>Start: 2015 Complete: Ongoing</p> | <p>Continue to be involved in national education campaign Hold meetings</p> | <ul style="list-style-type: none"> • Continue to educate the public through the SAY YES! Campaign and other initiatives |
| <p>48. Fund youth enrichment and afterschool programs to children in low- and moderate-income areas HCDD will continue to fund youth enrichment and afterschool programs through its public services program for 34,750 children in low- and moderate-income areas of the city over the next five years. This action increases the number of activities available for youth in the city. NH – Priority: Medium</p> | <p>9. Imbalanced Distribution of Amenities, Services, and Infrastructure Between Neighborhoods 12. Low educational Attainment Among Minorities</p> | <ul style="list-style-type: none"> • CDBG | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Fund youth enrichment and education programs for 34,750 children | <ul style="list-style-type: none"> • Provide assistance to 5,638 children and youth |
| <p>49. Fund programs that provide job training to low- and moderate-income individuals and individuals from protected classes HCDD will continue to fund job training for 1,335 persons through its public services program for low- and moderate-income individuals and persons from protected classes. This action will help to improve the skills of residents enhancing their previous education while preparing them for the workforce. D, SN, NH – Priority: Medium</p> | <p>5. Lack of Income/Funding 12. Low educational Attainment Among Minorities</p> | <ul style="list-style-type: none"> • CDBG | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Support job training for 1,335 persons | <ul style="list-style-type: none"> • Support job training for 290 persons |

Summary of Recommended Actions and Fair Housing Implementation Plan

| Recommended Actions | Related Impediments | Possible Funding Source | Timeline (Based on Program Years) | 5 Year Measure for Success/Milestone | PY 2018 Anticipated Results |
|---|--|--|--|--|--|
| <p>50. Work with partners to explore ways to increase knowledge of health hazards HCDD will work with partners to disseminate fair housing and health hazard information, which may include making materials available in city facilities maintained by HHSD. This action will address health hazard exposure in certain areas by making citizens aware of their neighborhood's health conditions related to fair housing issues, such as communities that have historically and continually been exposed to poor air quality, lead-based paint hazards, and other hazardous conditions or poor infrastructure. NH – Priority: Medium</p> | 13. Increased Health Hazard Exposure in Certain Neighborhoods | <ul style="list-style-type: none"> • CDBG-Staff Time • CDBG-Outreach Materials • HHSD | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Reach 500 people | <ul style="list-style-type: none"> • Reach 100 people |
| <p>51. Provide lead-based paint information to families who might be at risk lead poisoning HCDD and HHSD will provide information about lead-based paint hazards to families who might be at risk. This action will help to educate residents, including those of certain protected classes, about possible health hazards in their community. NH – Priority: Medium</p> | 13. Increased Health Hazard Exposure in Certain Neighborhoods | <ul style="list-style-type: none"> • CDBG-Staff Time • CDBG-Outreach Materials • HHSD | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Reach 500 people | <ul style="list-style-type: none"> • Reach 200 people |
| <p>52. Expand where people look for housing by creating a long-term educational publicity campaign HCDD will partner with developers to provide a long-term educational publicity campaign to help broaden the locations where residents of various races and ethnicities think to look for housing. This action will encourage residents to look in more neighborhoods when seeking housing and this could decrease segregation and deconcentrate poverty. – Priority: Medium</p> | 6. Segregated Housing Patterns Based on Race, Ethnicity, and Economic Status | <ul style="list-style-type: none"> • CDBG-Staff Time • CDBG-Outreach Materials | <p>Start: 2017 Complete: Ongoing</p> | <ul style="list-style-type: none"> • Reach 2,000 people | <ul style="list-style-type: none"> • Research ways to expand housing choice |
| <p>53. HHA will prioritize capital improvements of public housing properties HHA will annually undertake a physical needs assessment to prioritize capital improvements at properties that would be designed to attract those residents least likely to apply. This action will attract more residents to apply for HHA's housing assistance which will help desegregate its housing programs. Priority: Medium</p> | 6. Segregated Housing Patterns Based on Race, Ethnicity, and Economic Status | <ul style="list-style-type: none"> • No City Funding Needed | <p>Start: 2015 Complete: Annually</p> | <ul style="list-style-type: none"> • Review assessment annually | <ul style="list-style-type: none"> • Review priorities identified in the Physical Needs assessments • Installed new appliance throughout our portfolio. • Completed portfolio wide energy efficiency improvements that will decrease resident energy/utility bills. |

| Recommended Actions | Related Impediments | Possible Funding Source | Timeline (Based on Program Years) | 5 Year Measure for Success/Milestone | PY 2018 Anticipated Results |
|--|--|--|--|---|---|
| <p>54. HHA will review market analysis to determine if payment standards need updating Conduct a market analysis of fair market rents by zip code and area of the community and evaluate the distribution of vouchers to determine if payment standards should be reevaluated. This action will ensure that HCV holders can access communities that are not traditionally leased by HCV holders.</p> <p style="text-align: right;">MC – Priority: Medium</p> | <p>6. Segregated Housing Patterns Based on Race, Ethnicity, and Economic Status</p> | <ul style="list-style-type: none"> No city funding needed | <p>Start: 2015 Complete: Annually</p> | <ul style="list-style-type: none"> Review analysis annually | <ul style="list-style-type: none"> Review analysis upon publication of the FMRs |
| <p>56. Monitor and comment on changes to public transportation related to fair housing HCDD will provide input on fair housing implications related to planned actions by METRO during appropriate public comment processes. This action will help to ensure fair housing was incorporated into any policy decisions made to public transportation in Houston.</p> <p style="text-align: right;">Priority: Low</p> | <p>11. Lack of Transportation Options</p> | <ul style="list-style-type: none"> CDBG-Staff Time | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> Submit 2 comments | <ul style="list-style-type: none"> Monitor comment period for opportunity to submit comment |
| <p>57. Conduct an analysis of infrastructure deficiencies The city will conduct an analysis of infrastructure to identify deficiencies in minority areas. This research and analysis action will help in the allocation of future infrastructure resources in minority neighborhoods.</p> <p style="text-align: right;">MC, NH – Priority: Low</p> | <p>9. Imbalanced Distribution of Amenities, Services, and Infrastructure Between Neighborhoods</p> | <ul style="list-style-type: none"> CDBG-staff time | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> Better understand infrastructure deficiencies in minority areas | <ul style="list-style-type: none"> Continue to compile information in support of future analysis |
| <p>59. Partner with 20 other organizations to promote asset building programs and financial literacy programs HCDD will partner with other agencies such as the United Way, City departments, local government counterparts, and housing counseling agencies by providing fair housing resources and information about the City's downpayment assistance program. This action will address the lack of income and the lack of financial literacy of residents by working with partners to direct families that are interested in the downpayment assistance program but aren't yet ready for homeownership to the resources available to improve their financial standing.</p> <p style="text-align: right;">NH – Priority: Low</p> | <p>5. Lack of Income/Funding 8. Lack of Financial Education</p> | <ul style="list-style-type: none"> CDBG-Staff Time | <p>Start: 2015 Complete: Ongoing</p> | <ul style="list-style-type: none"> Partner with 20 organizations | <ul style="list-style-type: none"> Partner with 4 organizations |
| <p>62. Encourage the addition of a scope of work for accessibility features for all residential permit approvals HCDD will meet with the Plan Review staff to encourage the inclusion of an accessibility features scope of work in the plan submittal for all residential permits. This action will address the lack of accessible housing for persons with disabilities by ensuring accessibility features are present in residential plans.</p> <p style="text-align: right;">Priority: Low</p> | <p>4. Lack of Accessible Housing for Persons with Disabilities</p> | <ul style="list-style-type: none"> CDBG-Staff Time | <p>Start: 2016 Complete: 2016</p> | <ul style="list-style-type: none"> Research ways to implement through the plan submittal process Meet with Planning and Development staff | <ul style="list-style-type: none"> Work with Public Works and Engineering Permitting Center |

Summary of Recommended Actions and Fair Housing Implementation Plan

| Recommended Actions | Related Impediments | Possible Funding Source | Timeline (Based on Program Years) | 5 Year Measure for Success/Milestone | PY 2017 Anticipated Results |
|--|--|---|--|--|--|
| <p>60. Research changes to integrate AFFH into subdivision process HCDD will research and recommend including the following in the City’s subdivision process:</p> <ul style="list-style-type: none"> • Developers should agree to produce print and Internet advertising targeted to certain racial/ethnic groups that are not represented in the community currently to receive subdivision approval • Developers and sales agents should give every client a brochure that identifies illegal discriminatory practices • All advertising should display fair housing logo <p>This action will decrease segregated housing patterns by encouraging private residential developers to AFFH and make housing opportunities known to racial/ethnic groups that are not represented currently in a specific community or neighborhood.</p> <p style="text-align: right;">Priority: Low</p> | <p>2. Lack of Knowledge about Fair Housing</p> <p>6. Segregated Housing Patterns Based on Race, Ethnicity, and Economic Status</p> | <ul style="list-style-type: none"> • CDBG-Staff Time | <p>Start: 2016 Complete: 2018</p> | <ul style="list-style-type: none"> • Recommend updates to subdivision process • Update subdivision process | <ul style="list-style-type: none"> • Work with Planning staff |

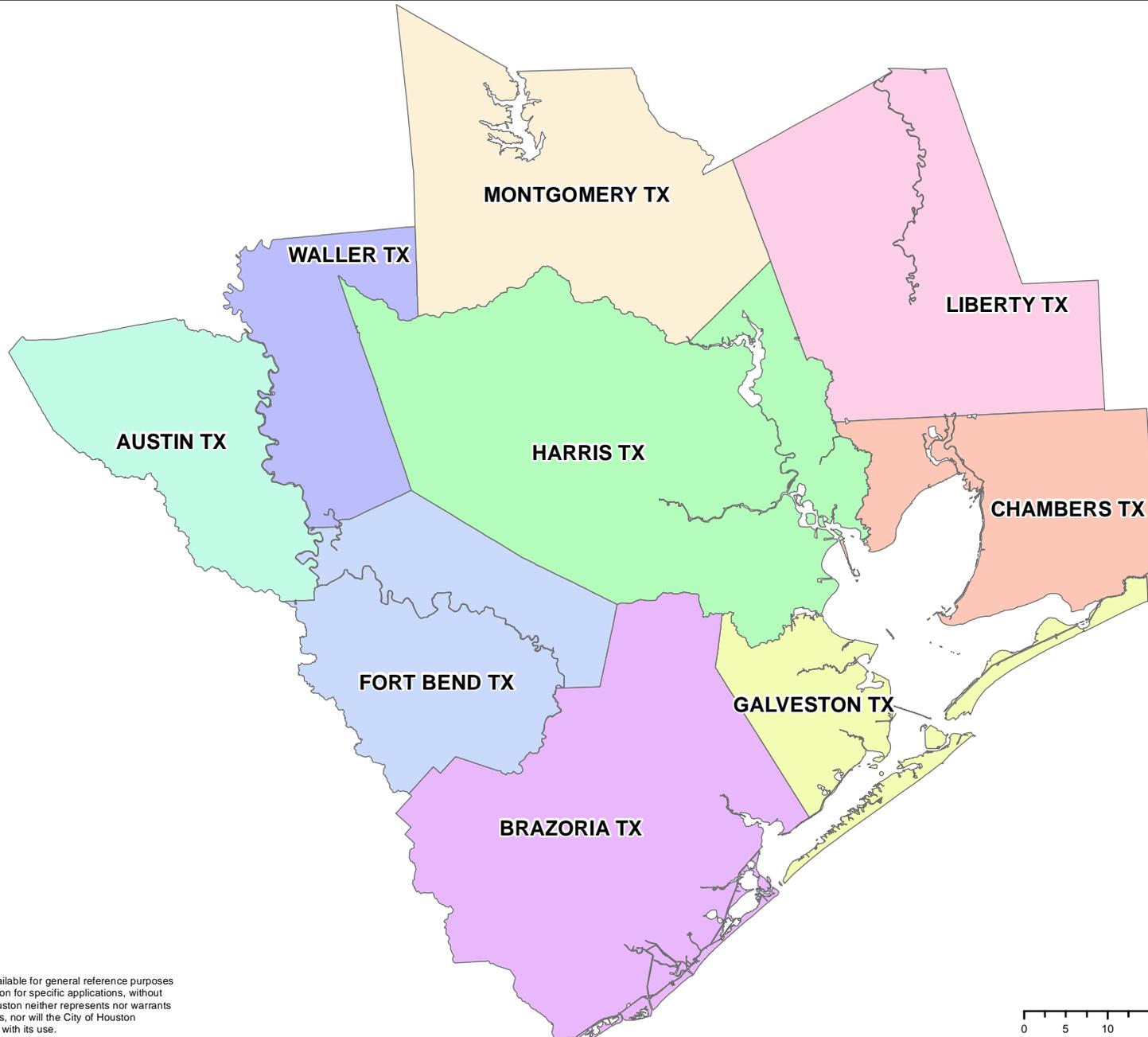
Acronyms – AFFH: Affirmatively Furthering Fair Housing, CRA: HCDD’s Community Reinvestment Area, DON: Department of Neighborhoods, GHFHC: Greater Houston Fair Housing Center, HCDD: Housing and Community Development Department, HCIL: Houston Center for Independent Living, HCV: Housing Choice Voucher, HHA: Houston Housing Authority, HHSD: Houston’s Health and Human Services Department, HUD: U.S. Department of Housing and Urban Development, HUD-FHEO: HUD’s Office of Fair Housing and Equal Opportunity. MOPD: Mayor’s Office Persons with Disabilities, Planning: Planning and Development Services Department, PRD: Parks and Recreation Department, HPW: Houston Public Works Department, SWMD: Solid Waste Management Department, TIRR: The Institute from Rehabilitation and Research

Houston FHEO Designation, if applicable: MC – Action associated with minority concentration, D – Action associated with disability issues, H – Action associated with homelessness, SN – Action associated with other special needs, and NH – if action is associated with non-housing needs.

Priority: Low, Medium, and High

Appendix # 2: Maps

Housing Opportunity for People with AIDS (HOPWA) Eligible Metropolitan Statistical Areas



Map Produced: 3.1.16

Sources: HCDD & COHGIS

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0 5 10 20 Miles



Areas for Community Reinvestment (ACR)



Housing & Community Development Department

Legend

Areas for Community Reinvestment (ACR)

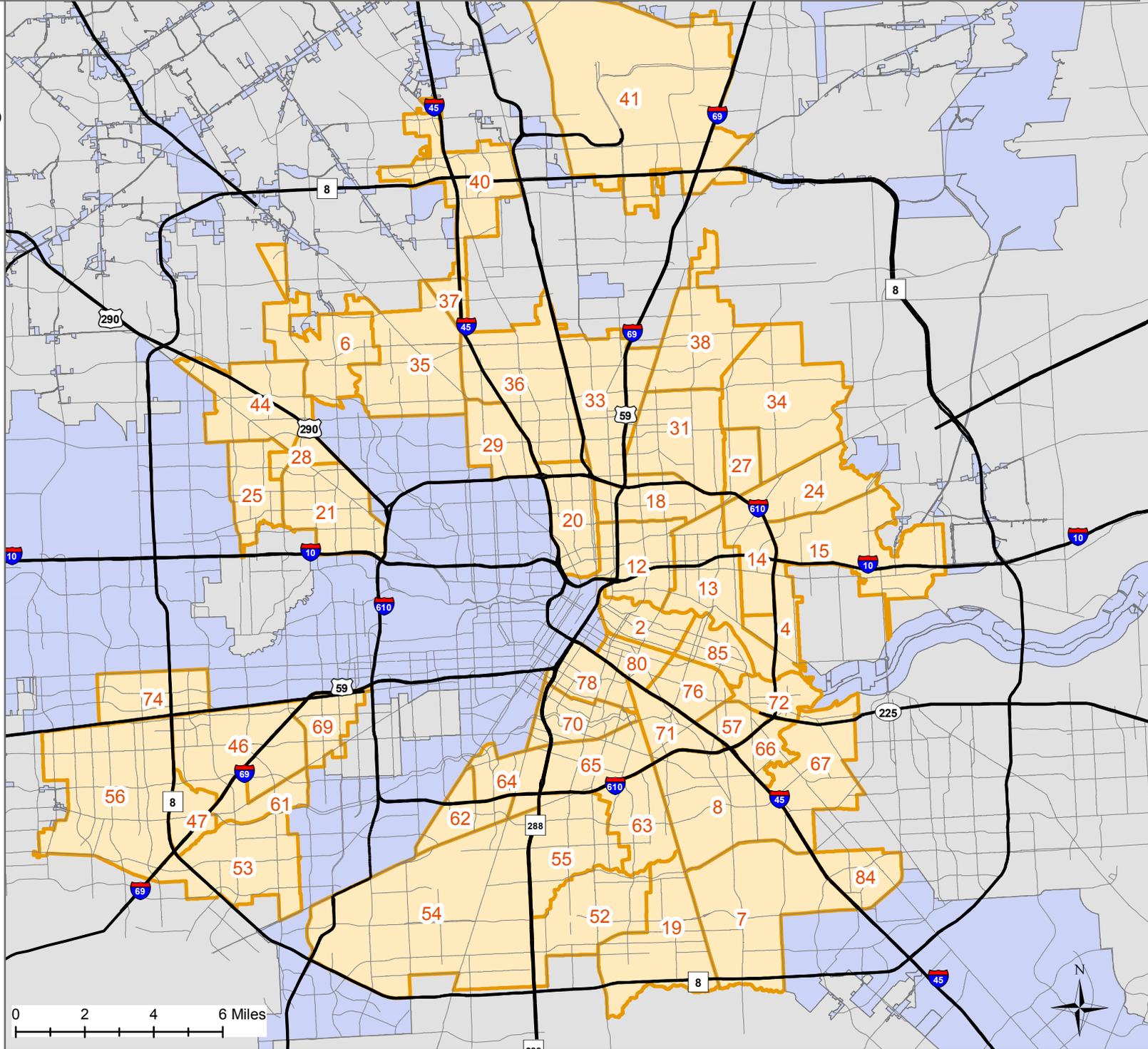
- 2, SECOND WARD
- 4, CLINTON PARK TRI-COMMUNITY
- 6, GREATER INWOOD
- 7, GREATER HOBBY AREA
- 8, GOLFCREST / BELLFORT / REVEILLE
- 12, GREATER FIFTH WARD
- 13, DENVER HARBOR / PORT HOUSTON
- 14, PLEASANTVILLE AREA
- 15, NORTHSHORE
- 18, KASHMERE GARDENS
- 19, MINNETEX
- 20, NEAR NORTHSIDE
- 21, SPRING BRANCH EAST
- 24, EL DORADO / OATES PRAIRIE
- 25, SPRING BRANCH CENTRAL
- 27, SETTECAST
- 28, LANGWOOD
- 29, INDEPENDENCE HEIGHTS
- 31, TRINITY / HOUSTON GARDENS
- 33, EASTEX - JENSEN AREA
- 34, EAST HOUSTON
- 35, ACRES HOME
- 36, NORTHSIDE/NORTHLINE
- 37, HIDDEN VALLEY
- 38, EAST LITTLE YORK / HOMESTEAD
- 40, GREATER GREENSPRING
- 41, IAH / AIRPORT AREA
- 44, FAIRBANKS / NORTHWEST CROSSING
- 46, SHARPSTOWN
- 47, WESTWOOD
- 52, SOUTH ACRES / CRESTMONT PARK
- 53, BRAYS OAKS
- 54, CENTRAL SOUTHWEST
- 55, SUNNYSIDE
- 56, ALIEF
- 57, PECAN PARK
- 61, BRAEBURN
- 62, SOUTH MAIN
- 63, SOUTH PARK
- 64, ASTRODOME AREA
- 65, GREATER OST / SOUTH UNION
- 66, PARK PLACE
- 67, MEADOWBROOK / ALLENDALE
- 69, GULFTON
- 70, MACGREGOR
- 71, GULFGATE RIVERVIEW / PINE VALLEY
- 72, HARRISBURG / MANCHESTER
- 74, WESTCHASE
- 76, LAWNDALE / WAYSIDE
- 78, GREATER THIRD WARD
- 80, GREATER EASTWOOD
- 84, EDGEBROOK AREA
- 85, MAGNOLIA PARK

- Highways
- Major Thoroughfares
- City limit

Draft Date: February 28, 2018

Sources: American Community Survey LMI Summary Data, City of Houston Housing and Community Development Department (HCDD), and City of Houston GIS (COHGIS)

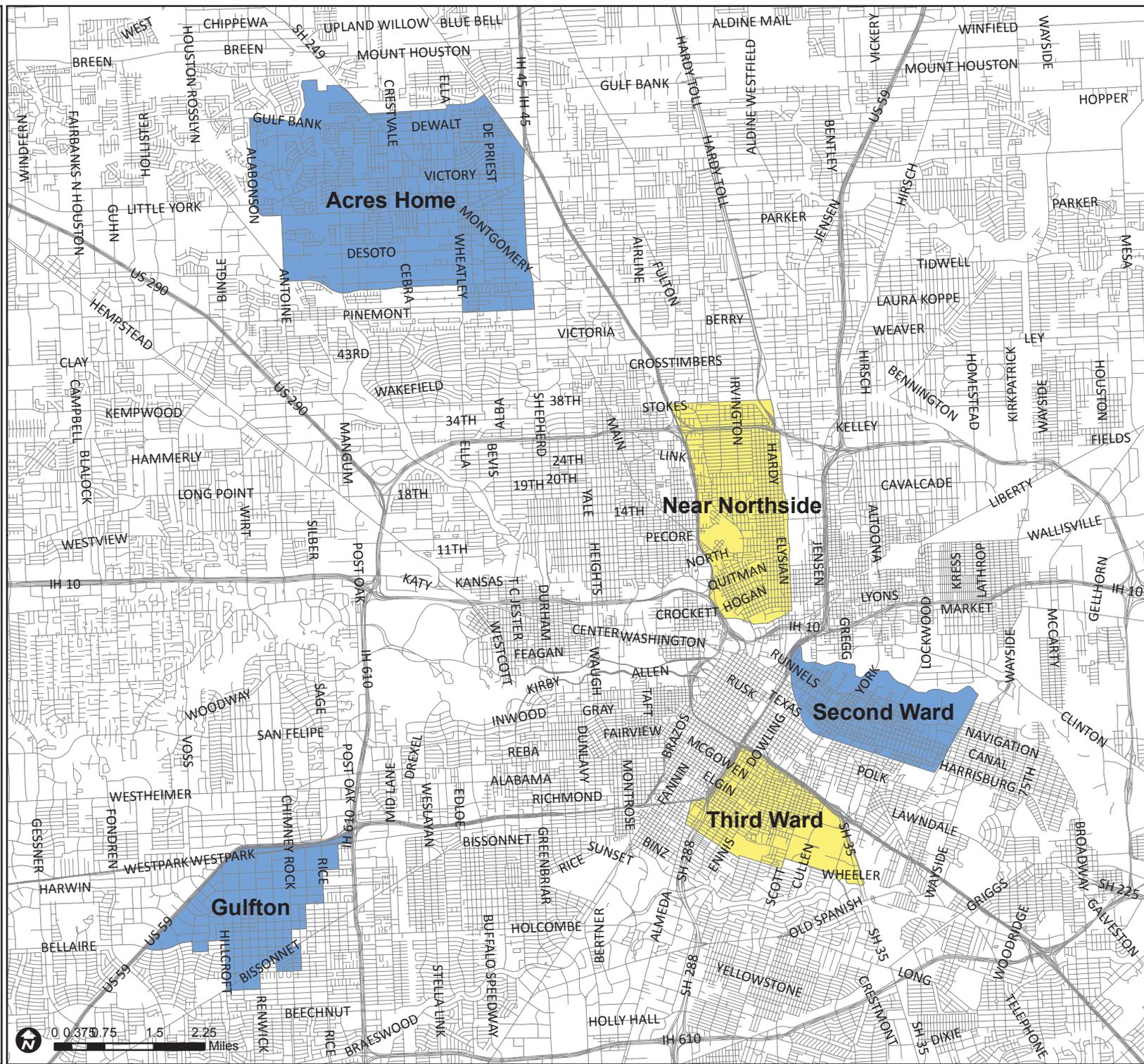
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Complete Communities

LEGEND

- City as Lead
- Managed by Others



Source: Planning & Development Dept
Date: 4/4/2017

Mapa este de Houston, Texas, muestra las comunidades completas designadas por el Departamento de Planeación y Desarrollo. Las comunidades completas son áreas que se han desarrollado de manera integral y que ofrecen una variedad de servicios y amenities. Este mapa es una herramienta útil para comprender la estructura de las comunidades completas en Houston.



PLANNING & DEVELOPMENT DEPARTMENT

Child Care Program - CDBG



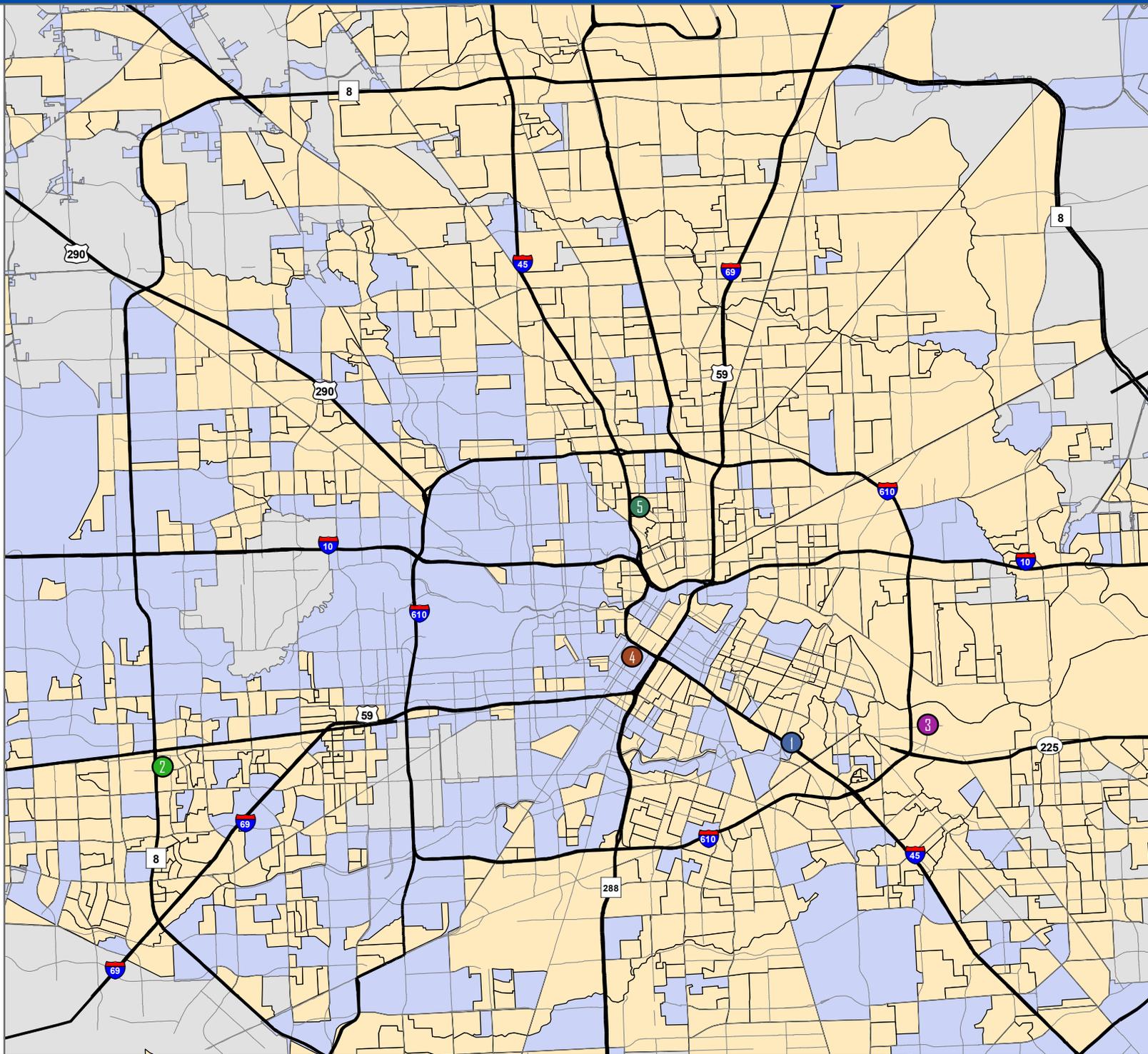
Housing & Community Development Department

LEGEND

Service Provider

- 1 Association for the Advancement of Mexican Americans
- 2 Chinese Community Center
- 3 Community Family Centers
- 4 SEARCH - Center for the Homeless
- 5 Wesley Community Center

- Highways
- City limit
- Low to Moderate Income
- Major Thoroughfares



Sources: American Community Survey LMI Summary Data, City of Houston Housing and Community Development Department (HCDD), and City of Houston GIS (COHGIS)

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0 0.75 1.5 3 Miles

Juvenile Delinquency Prevention Program - CDBG



Housing & Community Development Department

LEGEND

Service Provider

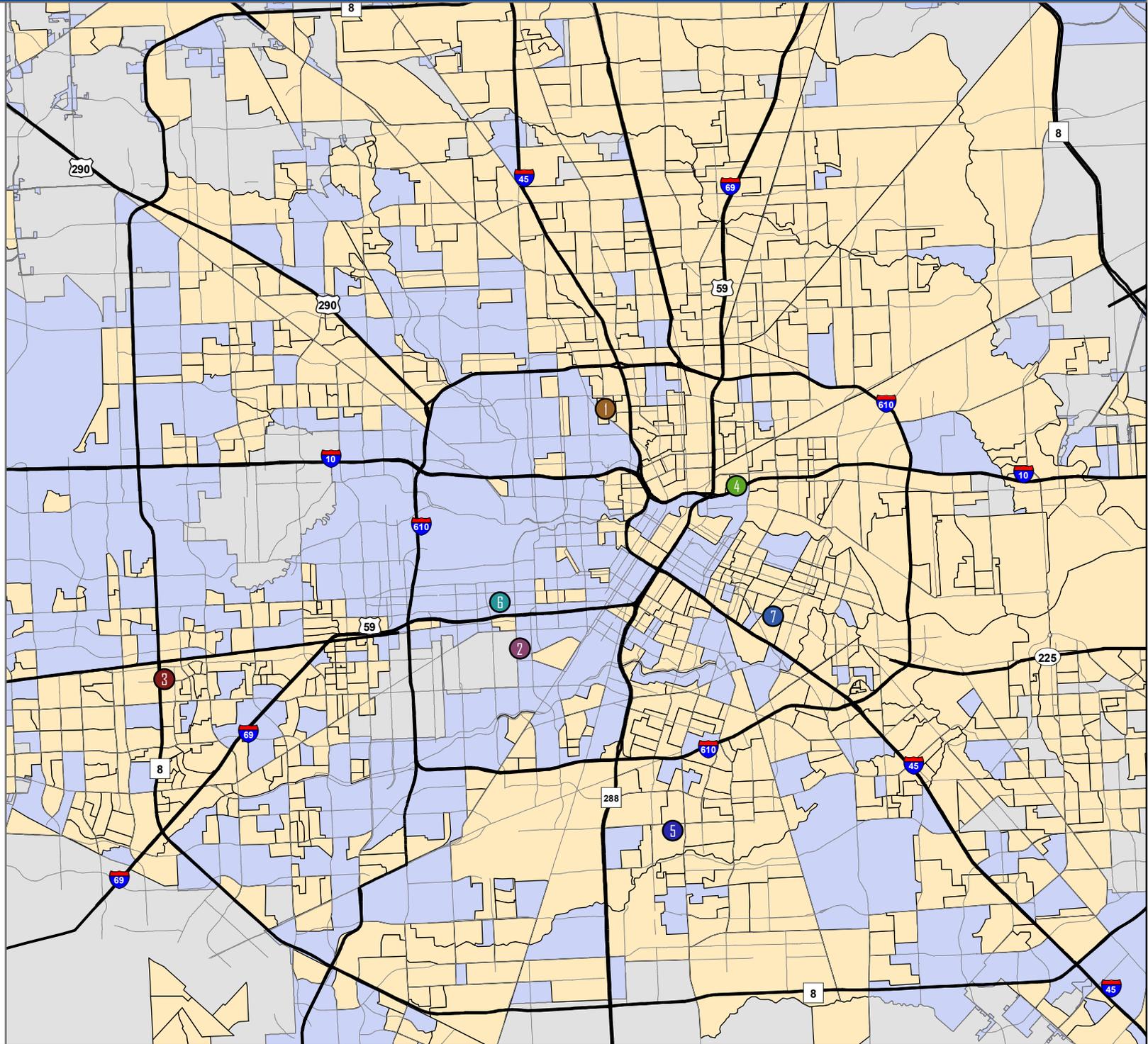
- Boys and Girls Club of Greater Houston
- Children's Assessment Center
- Chinese Community Center
- Fifth Ward Enrichment
- Pro-Vision, Inc.
- Project Grad
- Unlimited Visions Aftercare

Highways

City limit

Low to Moderate Income

Major Thoroughfares



Sources: American Community Survey LMI Summary Data, City of Houston Housing and Community Development Department (HCDD), and City of Houston GIS (COHGIS)

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0 0.75 1.5 3 Miles



Mayor's After-School Achievement Program - CDBG

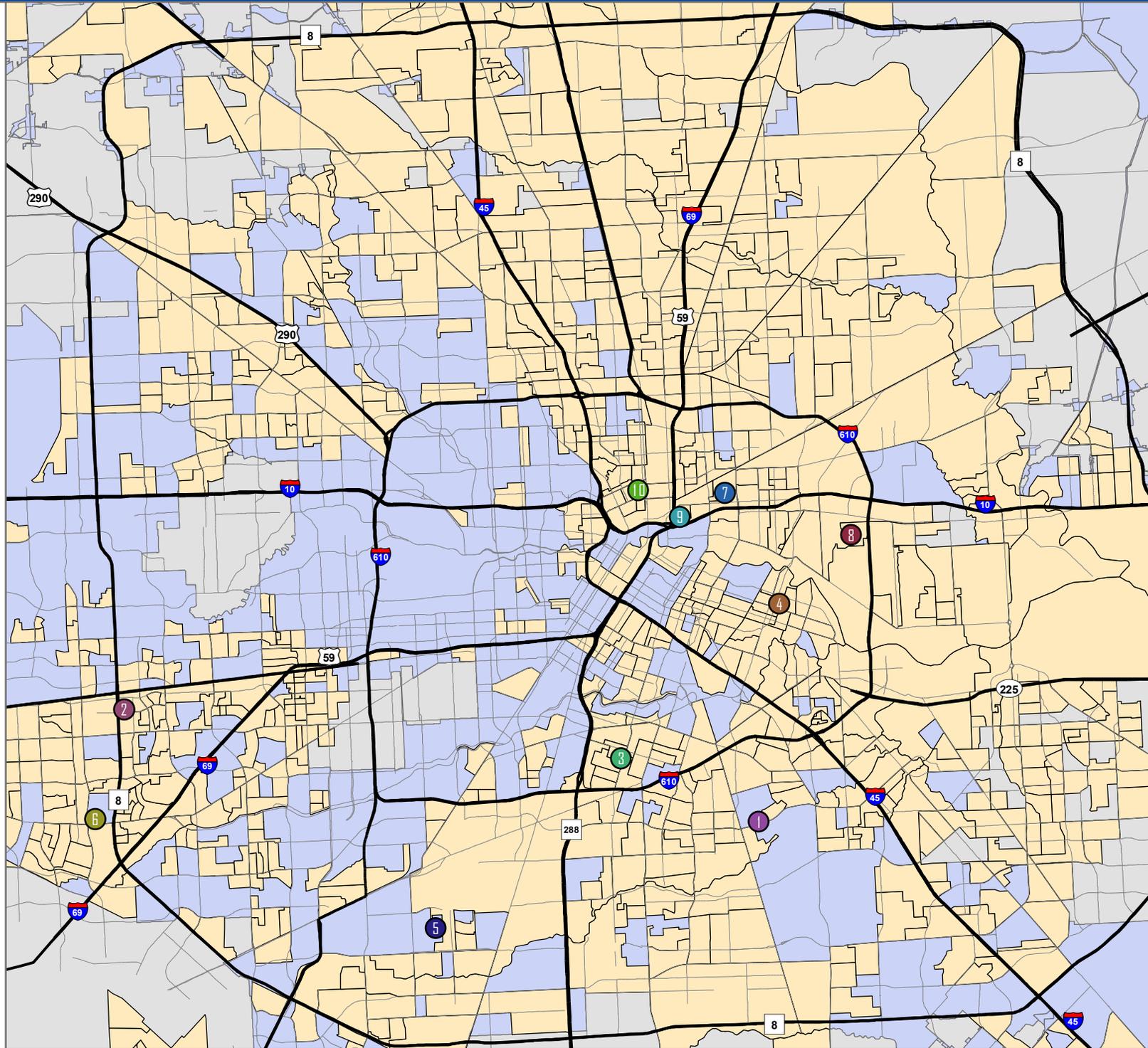


Housing & Community Development Department

LEGEND

Mayor's After-School Achievement Program

- 1 Academy of Accelerated Learning
- 2 Chinese Community Center
- 3 Cullen Middle School
- 4 Edison Middle School
- 5 Hobby Elementary
- 6 Horn Elementary
- 7 Julia C Hester House
- 8 Pleasantville Elementary
- 9 Solutions for Better Living
- 10 Wesley Community Center
- Highways
- City limit
- Low to Moderate Income
- Major Thoroughfares



Sources: American Community Survey LMI Summary Data, City of Houston Housing and Community Development Department (HCDD), and City of Houston GIS (COHGIS)

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0 0.75 1.5 3 Miles

Youth Enrichment Program - CDBG



Housing & Community Development Department

LEGEND

Service Provider

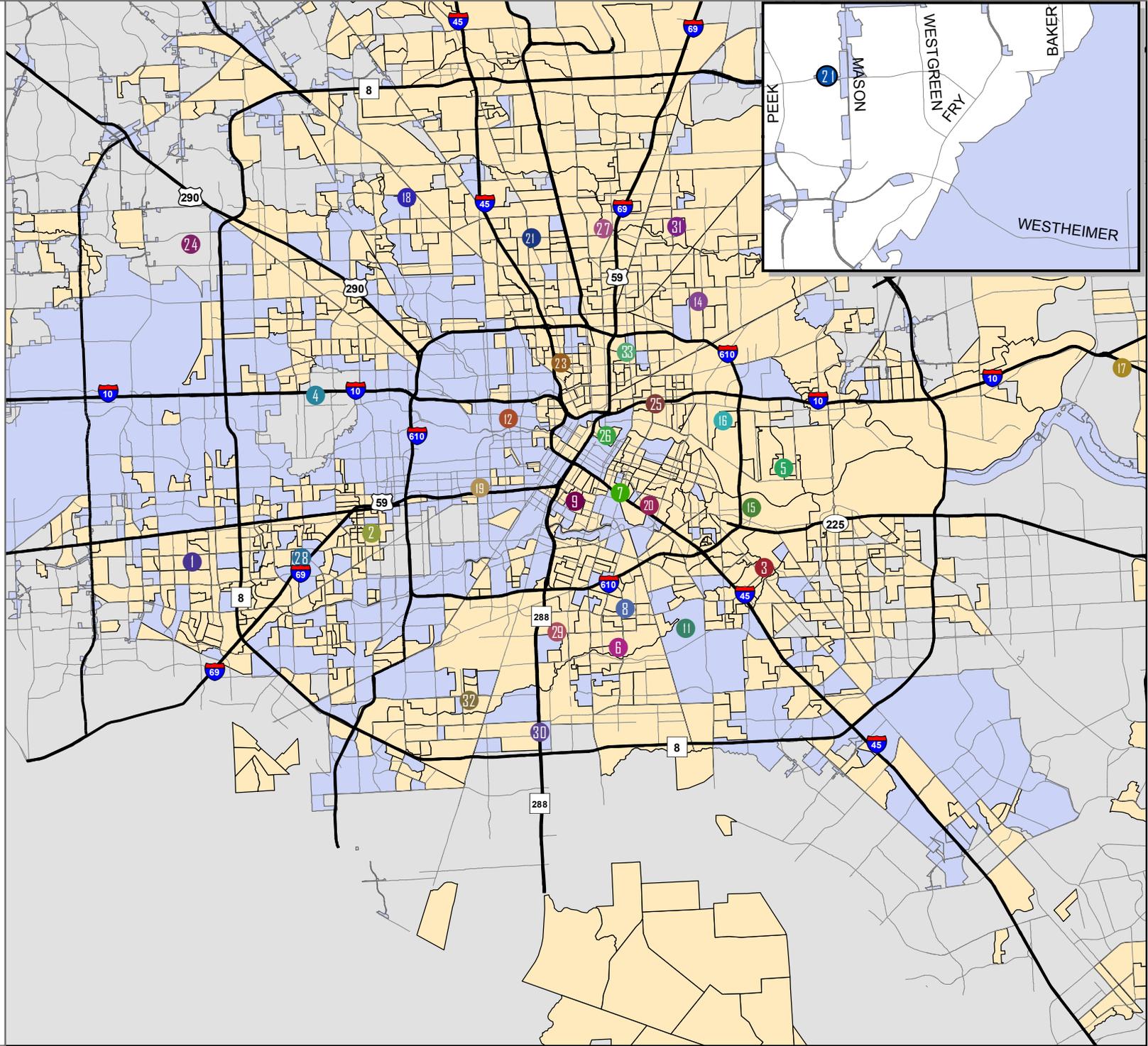
- 1 Alief
- 2 Burnett Bayland
- 3 Charlton
- 4 Clark
- 5 Clinton
- 6 Crestmont
- 7 Eastwood
- 8 Edgewood
- 9 Emancipation
- 10 Finnigan
- 11 Garden Villas
- 12 Hartman
- 13 Highland
- 14 Hobart Taylor
- 15 Ingrando
- 16 Judson Robinson Sr.
- 17 Lakewood
- 18 Lincoln
- 19 Marian
- 20 Mason
- 21 Melrose
- 22 Montie Beach
- 23 Moody
- 24 R L & Cora Johnson
- 25 Selena-Denver Harbor
- 26 Settegast
- 27 Shady Lane
- 28 Sharpstown
- 29 Sunnyside
- 30 Swindle - Cloverland
- 31 Tidwell
- 32 Townwood
- 33 Tuffly

- Highways
- Major Thoroughfares
- Low to Moderate Income
- City limit

Sources: American Community Survey LMI Summary Data, City of Houston Housing and Community Development Department (HCDD), and City of Houston GIS (COHGIS)

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0 1 2 4 Miles



Direct Funded Public Service Activities - CDBG



Housing & Community Development Department

LEGEND

Service Provider

- 1 Capital IDEA Houston
- 2 Coalition for the Homeless Houston/Harris County
- 3 Educational Programs Inspiring Communities
- 4 Goodwill Industries of Houston
- 5 Healthcare for the Homeless-Houston
- 6 SEARCH, Inc.
- 7 The Men's Center DBA Recenter
- 8 The Village Learning Center, Inc.
- 9 The Women's Home

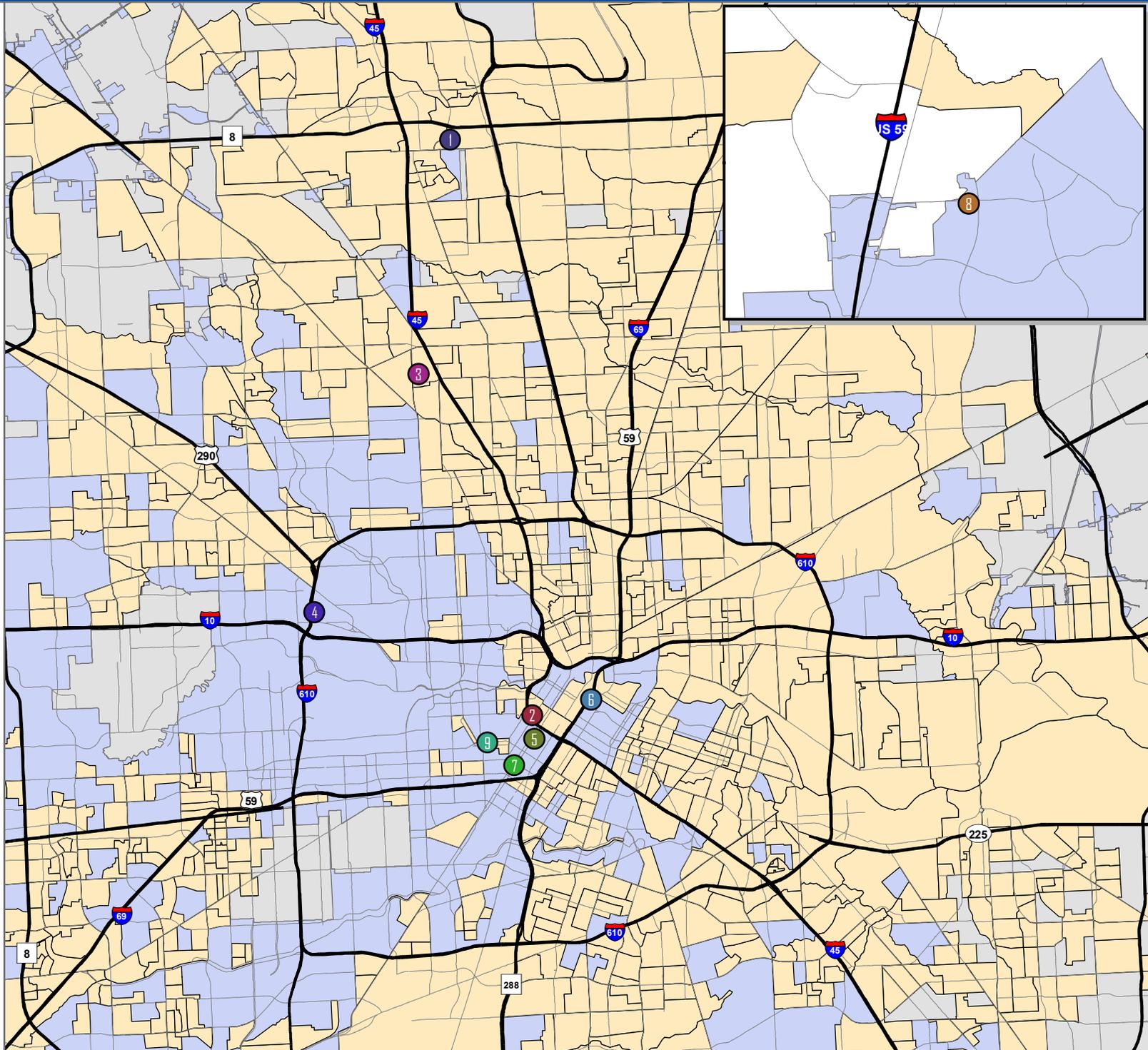
- Highways
- City limit
- Low to Moderate Income
- Major Thoroughfares

Sources: American Community Survey LMI Summary Data, City of Houston Housing and Community Development Department (HCDD), and City of Houston GIS (COHGIS)

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0 0.75 1.5 3 Miles



Homeless Activities - ESG



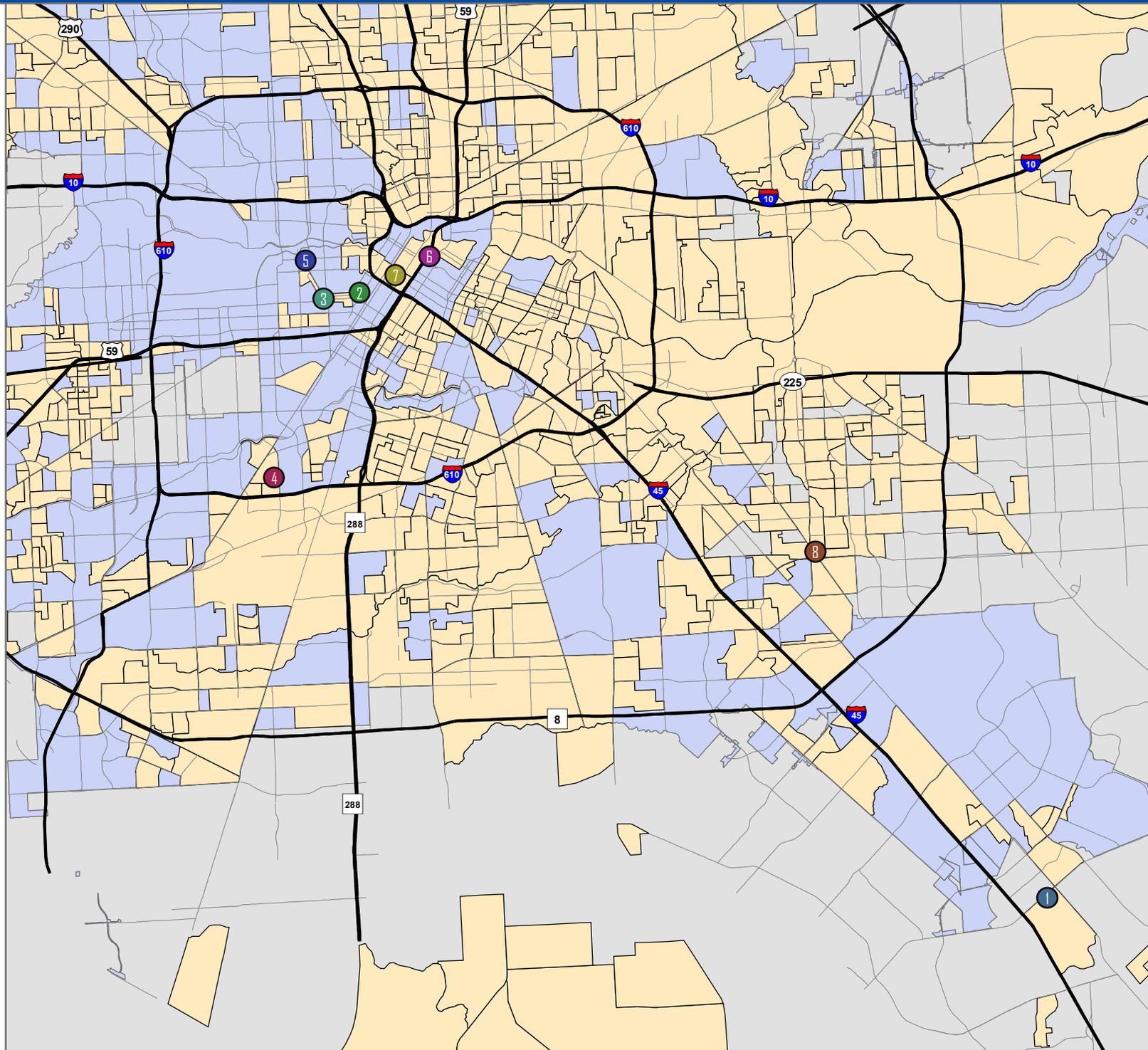
Housing & Community Development Department

LEGEND

Service Provider

- 1 Bay Area Turning Point
- 2 Catholic Charities
- 3 Covenant House Texas
- 4 Harris County
- 5 Houston Area Women's Center
- 6 SEARCH
- 7 Salvation Army
- 8 The Bridge Over Troubled Waters, Inc.

- Highways
- City limit
- Low to Moderate Income
- Major Thoroughfares



Sources: American Community Survey LMI Summary Data, City of Houston Housing and Community Development Department (HCDD), and City of Houston GIS (COHGIS)

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0 0.5 1 2 3 Miles

Multifamily Housing Investments - CDBG and HOME



Housing & Community Development Department

LEGEND

Multifamily Developments

- 1 Cleme Manor
- 2 Fenix Estate
- 3 Independence Heights
- 4 Light Rail Lofts
- 5 Pointe At Crestmont
- 6 Sunrise Orchard
- 7 Watercrest At Kingwood
- 8 Woodland Christian Tower

— Highways

City limit

Low to Moderate Income

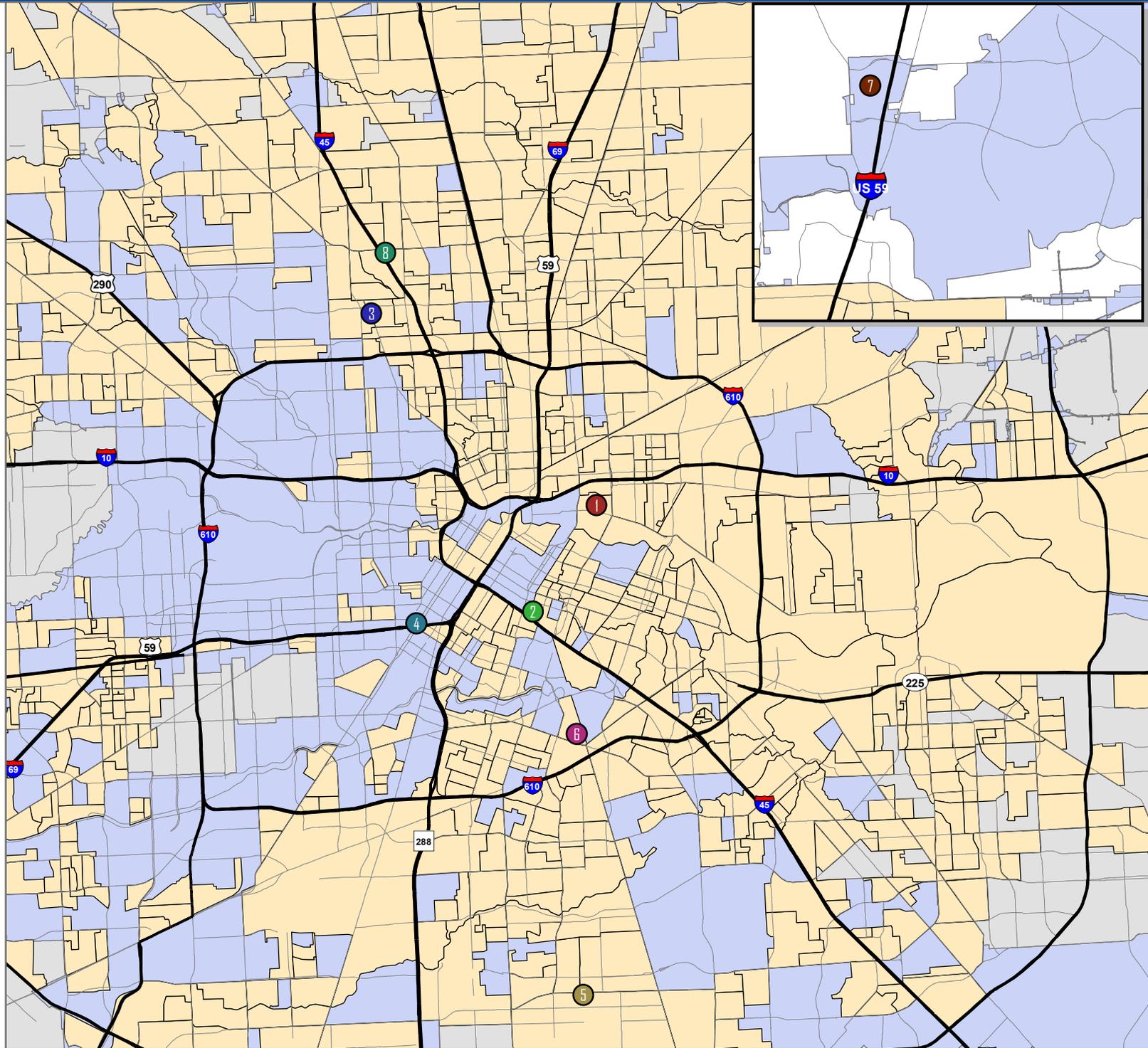
Major Thoroughfares

Sources: American Community Survey LMI Summary Data, City of Houston Housing and Community Development Department (HCDD), and City of Houston GIS (COHGIS)

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0 0.75 1.5 3 Miles



Public Facilities Investments - CDBG



Housing & Community Development Department

LEGEND

Public Facilities

- 1 Bering Omega Community Services (LMC) *
- 2 Children's Assessment Center Foundation
- 3 Chinese Community Center *
- 4 HRA - Houston Furniture Bank
- 5 Independence Heights Community Center
- 6 Lockhart Elementary *
- 7 Pro-Vision, Inc
- 8 SER-Jobs for Progress of Texas Gulf Coast, Inc*
- 9 SER-Ninos Charter School Library *
- 10 Swiney Community Center
- 11 The Women's Home WholeLife Service Center *

* Anticipated completion in PY2018

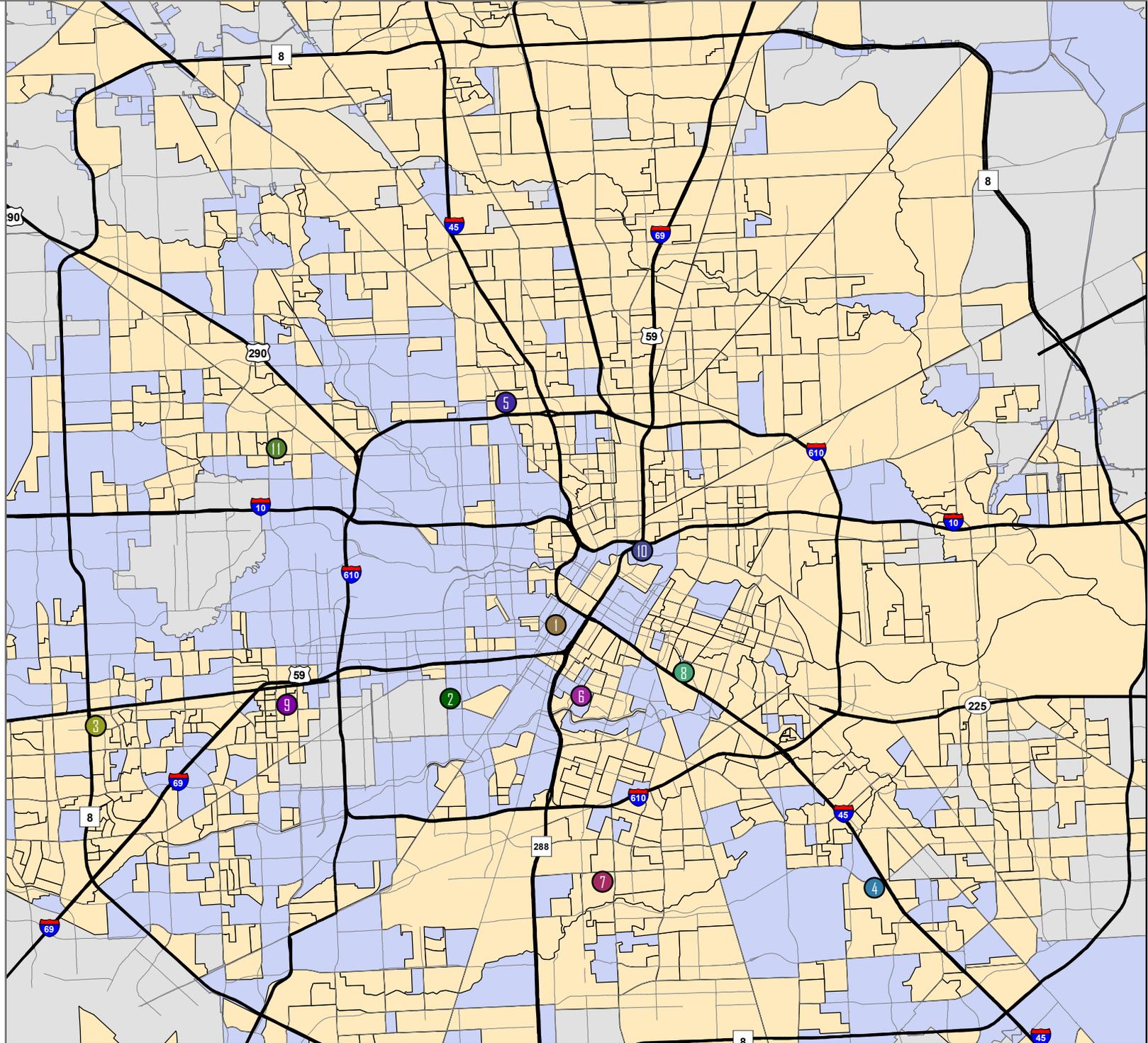
- Highways
- Major Thoroughfares
- Low to Moderate Income
- City limit

Sources: American Community Survey LMI Summary Data, City of Houston Housing and Community Development Department (HCDD), and City of Houston GIS (COHGIS)

COHGIS data is prepared and made available for general reference purposes only and should not be used or relied upon for specific applications without independent verification. The City of Houston neither represents nor warrants COHGIS data accuracy, or completeness, nor will the City of Houston accept liability of any kind in conjunction with its use.



0 0.75 1.5 3 Miles



Appendix # 3: Grant Applications – SF-424s

Application for Federal Assistance SF-424

* 1. Type of Submission:

- Preapplication
 Application
 Changed/Corrected Application

* 2. Type of Application:

- New
 Continuation
 Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

* 3. Date Received:

4. Applicant Identifier:

B18-MC48-0018

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name:

City of Houston

* b. Employer/Taxpayer Identification Number (EIN/TIN):

746001164

* c. Organizational DUNS:

8324319850000

d. Address:

* Street1:

601 Sawyer

Street2:

P.O. Box 1562

* City:

Houston

County/Parish:

* State:

TX: Texas

Province:

* Country:

USA: UNITED STATES

* Zip / Postal Code:

77251-1562

e. Organizational Unit:

Department Name:

Housing and Community Dev Dept

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

* First Name:

Tom

Middle Name:

* Last Name:

McCasland

Suffix:

Title:

Director

Organizational Affiliation:

* Telephone Number:

832-394-6282

Fax Number:

* Email:

Tom.McCasland@houstontx.gov

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.218

CFDA Title:

Community Development Block Grant

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

City of Houston

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

CDBG Grant Funds - PY 2018

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

| | |
|---------------------|--|
| * a. Federal | <input type="text" value="23,946,316.00"/> |
| * b. Applicant | <input type="text"/> |
| * c. State | <input type="text"/> |
| * d. Local | <input type="text"/> |
| * e. Other | <input type="text"/> |
| * f. Program Income | <input type="text" value="156,500.00"/> |
| * g. TOTAL | <input type="text" value="24,102,816.00"/> |

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes
- No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

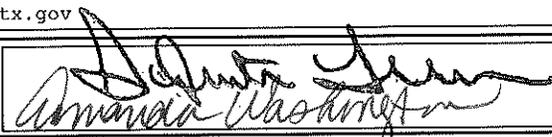
Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 01/31/2019

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

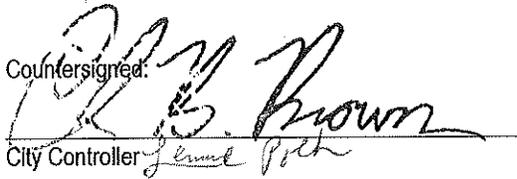
| | |
|---|----------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE |
|  | Mayor |
| APPLICANT ORGANIZATION | DATE SUBMITTED |
| City of Houston | 6-14-18 |

SF-424D (Rev. 7-97) Back

Attest/Seal:

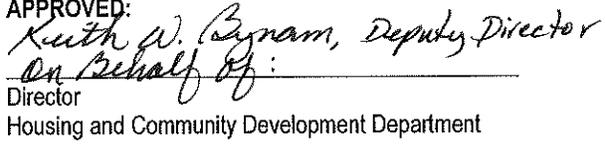

City Secretary

Countersigned:

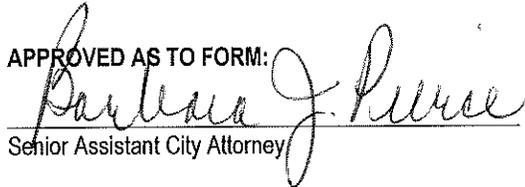

City Controller

DATE OF COUNTERSIGNATURE: 6-14, 2018

APPROVED:


on behalf of:
Director
Housing and Community Development Department

APPROVED AS TO FORM:


Senior Assistant City Attorney

CDBG Budget Page

| CDBG Funds | Estimated Allocation (PY 2018 / FY 2019) |
|---|---|
| Sources | |
| Projected CDBG Grant Award | \$ 23,946,316 |
| Projected Program Income | \$ 156,500 |
| Projected CDBG Funding | \$ 24,102,816 |
| Uses | |
| Program Administration* | \$ 4,820,563 |
| Public Services** | \$ 3,542,042 |
| ESG Match (1:1 - Includes In-Kind)** | \$ 500,000 |
| Public Facilities and Improvements (Public/Private) | \$ 409,600 |
| Single Family Home Repair | \$ 4,733,581 |
| Single-Family Home Development | \$ 5,288,800 |
| Homeownership Assistance | \$ 1,542,400 |
| Multifamily Housing | \$ 205,000 |
| Economic Development | \$ 205,000 |
| Code Enforcement | \$ 2,855,830 |
| Total | \$24,102,816 |

*Program Administration up to 20% of Grant Amount + Projected Program Income

**The City has been grandfathered with a rate of 16.77% instead of 15%

CDBG Estimated Program Income

| CDBG Estimated Program Income | Estimated Program Income (PY 2018 / FY 2019) |
|--|---|
| Sources | |
| Multifamily Housing Loan Repayments/Fees | \$ 156,500 |
| Projected CDBG Funding | \$ 156,500 |
| Uses | |
| Program Administration | \$ 31,300 |
| Public Services | \$ 26,245 |
| Single Family Home Repair | \$ 98,955 |

| Application for Federal Assistance SF-424 | | | | | | | | |
|---|--|--------------------------|---|--|--|--|--|--|
| * 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application | | | * 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision | | | * If Revision, select appropriate letter(s): _____ * Other (Specify): _____ | | |
| * 3. Date Received: _____ | | | 4. Applicant Identifier: HOME - M18-MC-48-0206 | | | | | |
| 5a. Federal Entity Identifier: _____ | | | 5b. Federal Award Identifier: _____ | | | | | |
| State Use Only: | | | | | | | | |
| 6. Date Received by State: _____ | | | 7. State Application Identifier: _____ | | | | | |
| 8. APPLICANT INFORMATION: | | | | | | | | |
| * a. Legal Name: City of Houston | | | | | | | | |
| * b. Employer/Taxpayer Identification Number (EIN/TIN): 746001164 | | | * c. Organizational DUNS: 8324319850000 | | | | | |
| d. Address: | | | | | | | | |
| * Street1: 601 Sawyer | | | | | | | | |
| Street2: P.O. Box 1562 | | | | | | | | |
| * City: Houston | | | | | | | | |
| County/Parish: _____ | | | | | | | | |
| * State: TX: Texas | | | | | | | | |
| Province: _____ | | | | | | | | |
| * Country: USA: UNITED STATES | | | | | | | | |
| * Zip / Postal Code: 77251-1562 | | | | | | | | |
| e. Organizational Unit: | | | | | | | | |
| Department Name: Housing and Community Dev Dept | | | Division Name: _____ | | | | | |
| f. Name and contact information of person to be contacted on matters involving this application: | | | | | | | | |
| Prefix: _____ | | * First Name: Tom | | | | | | |
| Middle Name: _____ | | | | | | | | |
| * Last Name: McCasland | | | | | | | | |
| Suffix: _____ | | | | | | | | |
| Title: Director | | | | | | | | |
| Organizational Affiliation: _____ | | | | | | | | |
| * Telephone Number: 832-394-6282 | | | Fax Number: _____ | | | | | |
| * Email: Tom.McCasland@houstontx.gov | | | | | | | | |

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.239

CFDA Title:

HOME Investment Partnerships Grant

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

City of Houston

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

HOME Grant Funds -- PY 2018

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

| | |
|---------------------|---|
| * a. Federal | <input type="text" value="9,810,603.00"/> |
| * b. Applicant | <input type="text"/> |
| * c. State | <input type="text"/> |
| * d. Local | <input type="text"/> |
| * e. Other | <input type="text"/> |
| * f. Program Income | <input type="text" value="94,943.00"/> |
| * g. TOTAL | <input type="text" value="9,905,546.00"/> |

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes
- No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 01/31/2019

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
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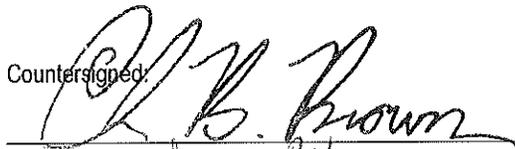
11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
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17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|---|----------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE |
|  | Mayor |
| APPLICANT ORGANIZATION | DATE SUBMITTED |
| City of Houston | 6-14-18 |

SF-424D (Rev. 7-97) Back

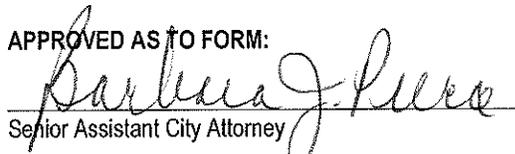
Attest/Seal:

City Secretary

Countersigned:

City Controller *Jerald Pich*

DATE OF COUNTERSIGNATURE: 6-14, 2018

APPROVED:
Ruth W. Bynam, Deputy Director
On Behalf of:
Director
Housing and Community Development Department

APPROVED AS TO FORM:

Senior Assistant City Attorney

HOME Budget Page

| HOME Funds | Estimated Allocation (PY 2018 / FY 2019) |
|-------------------------------|---|
| Sources | |
| Projected HOME Grant Award | \$ 9,810,603 |
| Projected Program Income | \$ 94,943 |
| Projected HOME Funding | \$ 9,905,546 |
| Uses | |
| Planning and Administration* | \$ 990,554 |
| Multifamily Development | \$ 7,161,710 |
| Single Family Development** | \$ 1,753,282 |
| Total | \$ 9,905,546 |

**Planning and Administration up to 10% of Grant Amount + Projected Program Income*

***15% CHDO set aside included*

HOME Estimated Program Income

| HOME Estimated Program Income | Estimated Program Income (PY 2017 / FY 2018) |
|--|---|
| Sources | |
| Multifamily Housing Loan Repayments/Fees | \$ 94,943 |
| Projected HOME Funding | \$ 94,943 |
| Uses | |
| Program Administration | \$ 9,494 |
| Multifamily Development | \$ 85,449 |

| Application for Federal Assistance SF-424 | |
|---|--|
| * 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application | |
| * 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision | |
| * If Revision, select appropriate letter(s): _____ * Other (Specify): _____ | |
| * 3. Date Received: _____ | 4. Applicant Identifier: HOPWA - TXH18F003 |
| 5a. Federal Entity Identifier: _____ | 5b. Federal Award Identifier: _____ |
| State Use Only: | |
| 6. Date Received by State: _____ | 7. State Application Identifier: _____ |
| 8. APPLICANT INFORMATION: | |
| * a. Legal Name: City of Houston | |
| * b. Employer/Taxpayer Identification Number (EIN/TIN): 746001164 | * c. Organizational DUNS: 8324319850000 |
| d. Address: | |
| * Street1: 601 Sawyer | _____ |
| Street2: P.O. Box 1562 | _____ |
| * City: Houston | _____ |
| County/Parish: | _____ |
| * State: | TX: Texas |
| Province: | _____ |
| * Country: | USA: UNITED STATES |
| * Zip / Postal Code: 77251-1562 | _____ |
| e. Organizational Unit: | |
| Department Name: Housing and Community Dev Dept | Division Name: _____ |
| f. Name and contact information of person to be contacted on matters involving this application: | |
| Prefix: _____ | * First Name: Tom |
| Middle Name: _____ | _____ |
| * Last Name: McCasland | _____ |
| Suffix: _____ | _____ |
| Title: Director | _____ |
| Organizational Affiliation: _____ | |
| * Telephone Number: 832-394-6282 | Fax Number: _____ |
| * Email: Tom.McCasland@houstontx.gov | |

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.241

CFDA Title:

Housing Opportunities for Persons with AIDS

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

City of Houston

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

HOPWA Grant Funds - PY 2018

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

| | |
|---|---|
| Application for Federal Assistance SF-424 | |
| 16. Congressional Districts Of: | |
| * a. Applicant <input type="text" value="2,7,8,9,10,18,22,29,36"/> | * b. Program/Project <input type="text" value="2,7,8,9,10,18,22,29,36"/> |
| Attach an additional list of Program/Project Congressional Districts if needed. | |
| <input type="text"/> | <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> |
| 17. Proposed Project: | |
| * a. Start Date: <input type="text" value="07/01/2018"/> | * b. End Date: <input type="text" value="06/30/2019"/> |
| 18. Estimated Funding (\$): | |
| * a. Federal | <input type="text" value="9,738,444.00"/> |
| * b. Applicant | <input type="text"/> |
| * c. State | <input type="text"/> |
| * d. Local | <input type="text"/> |
| * e. Other | <input type="text"/> |
| * f. Program Income | <input type="text"/> |
| * g. TOTAL | <input type="text" value="9,738,444.00"/> |
| * 19. Is Application Subject to Review By State Under Executive Order 12372 Process? | |
| <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> . | |
| <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. | |
| <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372. | |
| * 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) | |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| If "Yes", provide explanation and attach | |
| <input type="text"/> | <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> |
| 21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001) | |
| <input checked="" type="checkbox"/> ** I AGREE | |
| ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions. | |
| Authorized Representative: | |
| Prefix: <input type="text"/> | * First Name: <input type="text" value="Sylvester"/> |
| Middle Name: <input type="text"/> | |
| * Last Name: <input type="text" value="Turner"/> | |
| Suffix: <input type="text"/> | |
| * Title: <input type="text" value="Mayor"/> | |
| * Telephone Number: <input type="text" value="832-393-1011"/> | Fax Number: <input type="text"/> |
| * Email: <input type="text" value="sylvester.turner@houstontx.gov"/> | |
| * Signature of Authorized Representative:  | * Date Signed: <input type="text" value="6-14-2018"/> |

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 01/31/2019

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

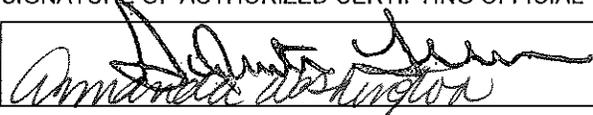
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

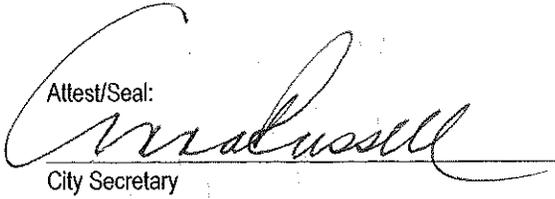
As the duly authorized representative of the applicant, I certify that the applicant:

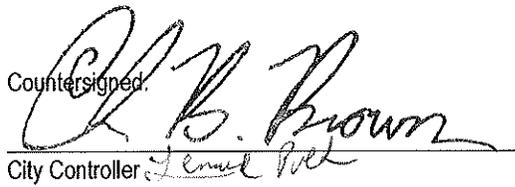
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|--|---------------------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL  | TITLE Mayor |
| APPLICANT ORGANIZATION City of Houston | DATE SUBMITTED 6-14-18 |

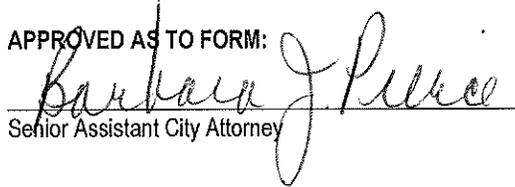
SF-424D (Rev. 7-97) Back

Attest/Seal:

City Secretary

Countersigned:

City Controller *Lenore Hill*

DATE OF COUNTERSIGNATURE: 6-14, 2018

APPROVED:
Keith W. Bynam, Deputy Director
On Behalf of:
Director
Housing and Community Development Department

APPROVED AS TO FORM:

Senior Assistant City Attorney

HOPWA Budget Page

| HOPWA Funds | Estimated Allocation (PY 2018 / FY 2019) |
|--|---|
| Sources | |
| Projected HOPWA Grant Award | \$ 9,738,444 |
| Uses | |
| Operating Costs | \$ 2,042,000 |
| Supportive Services | \$ 2,804,000 |
| Project or Tenant Based Rental Assistance | \$ 2,243,000 |
| Short-term Rent, Mortgage, & Utility Subsidies | \$ 2,361,444 |
| Grantee Administration – HCDD | \$ 288,000 |
| Total | \$ 9,738,444 |

| Application for Federal Assistance SF-424 | | |
|--|--|--|
| * 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application | * 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision | * If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/> |
| * 3. Date Received: <input type="text"/> | 4. Applicant Identifier: <input type="text" value="E18-MC48-0018"/> | |
| 5a. Federal Entity Identifier: <input type="text"/> | | 5b. Federal Award Identifier: <input type="text"/> |
| State Use Only: | | |
| 6. Date Received by State: <input type="text"/> | 7. State Application Identifier: <input type="text"/> | |
| 8. APPLICANT INFORMATION: | | |
| * a. Legal Name: <input type="text" value="City of Houston"/> | | |
| * b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="746001164"/> | * c. Organizational DUNS: <input type="text" value="8324319850000"/> | |
| d. Address: | | |
| * Street1: <input type="text" value="601 Sawyer"/> | Street2: <input type="text" value="P.O. Box 1562"/> | |
| * City: <input type="text" value="Houston"/> | County/Parish: <input type="text"/> | |
| * State: <input type="text" value="TX: Texas"/> | Province: <input type="text"/> | |
| * Country: <input type="text" value="USA: UNITED STATES"/> | * Zip / Postal Code: <input type="text" value="77251-1562"/> | |
| e. Organizational Unit: | | |
| Department Name: <input type="text" value="Housing and Community Dev Dept"/> | Division Name: <input type="text"/> | |
| f. Name and contact information of person to be contacted on matters involving this application: | | |
| Prefix: <input type="text"/> | * First Name: <input type="text" value="Tom"/> | |
| Middle Name: <input type="text"/> | * Last Name: <input type="text" value="McCasland"/> | |
| Suffix: <input type="text"/> | Title: <input type="text" value="Director"/> | |
| Organizational Affiliation: <input type="text"/> | | |
| * Telephone Number: <input type="text" value="832-394-6282"/> | Fax Number: <input type="text"/> | |
| * Email: <input type="text" value="Tom.McCasland@houstontx.gov"/> | | |

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.231

CFDA Title:

Emergency Solutions Grant

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

City of Houston

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

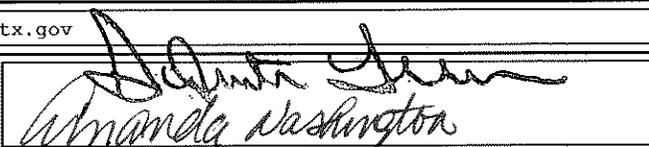
ESG Grant Funds - PY 2018

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

| | |
|---|---|
| Application for Federal Assistance SF-424 | |
| 16. Congressional Districts Of: | |
| * a. Applicant <input type="text" value="2,7,8,9,10,18,22,29,36"/> | * b. Program/Project <input type="text" value="2,7,8,9,10,18,22,29,36"/> |
| Attach an additional list of Program/Project Congressional Districts if needed. | |
| <input type="text"/> | <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> |
| 17. Proposed Project: | |
| * a. Start Date: <input type="text" value="07/01/2018"/> | * b. End Date: <input type="text" value="06/30/2019"/> |
| 18. Estimated Funding (\$): | |
| * a. Federal | <input type="text" value="1,968,996.00"/> |
| * b. Applicant | <input type="text"/> |
| * c. State | <input type="text"/> |
| * d. Local | <input type="text"/> |
| * e. Other | <input type="text"/> |
| * f. Program Income | <input type="text"/> |
| * g. TOTAL | <input type="text" value="1,968,996.00"/> |
| * 19. Is Application Subject to Review By State Under Executive Order 12372 Process? | |
| <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> | |
| <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. | |
| <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372. | |
| * 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) | |
| <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| If "Yes", provide explanation and attach | |
| <input type="text"/> | <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> |
| 21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001) | |
| <input checked="" type="checkbox"/> ** I AGREE | |
| ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions. | |
| Authorized Representative: | |
| Prefix: <input type="text"/> | * First Name: <input type="text" value="Sylvester"/> |
| Middle Name: <input type="text"/> | |
| * Last Name: <input type="text" value="Turner"/> | |
| Suffix: <input type="text"/> | |
| * Title: <input type="text" value="Mayor"/> | |
| * Telephone Number: <input type="text" value="832-393-1011"/> | Fax Number: <input type="text"/> |
| * Email: <input type="text" value="sylvester.turner@houstontx.gov"/> | |
| * Signature of Authorized Representative:  | * Date Signed: <input type="text" value="6-14-18"/> |

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 01/31/2019

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
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7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|--|---------------------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL  | TITLE Mayor |
| APPLICANT ORGANIZATION City of Houston | DATE SUBMITTED 6-14-18 |

SF-424D (Rev. 7-97) Back

Attest/Seal:

M. Russell

City Secretary

Countersigned:

R. B. Brown
Geniel Bell

City Controller

DATE OF COUNTERSIGNATURE: 6-14, 2018

APPROVED:

Keith W. Bynum, Deputy Director
On Behalf of:

Director
Housing and Community Development Department

APPROVED AS TO FORM:

Barbara J. Pierce

Senior Assistant City Attorney

ESG Budget Page

| ESG Funds | Estimated Allocation (PY 2018 / FY 2019) |
|---|---|
| Sources | |
| Projected ESG Grant Award | \$ 1,968,996 |
| Uses | |
| Homeless Management Information System (HMIS) | \$ 79,200 |
| Emergency Shelter | \$ 655,000 |
| Homeless Prevention | \$ 395,000 |
| Rapid Rehousing | \$ 692,122 |
| Administration | \$ 147,674 |
| Total | \$ 1,968,996 |

Appendix # 4: Certifications

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

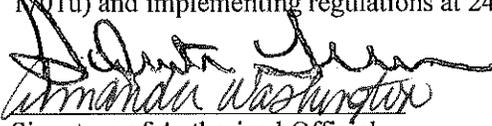
Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.


Signature of Authorized Official

6-14-18
Date

MAYOR
Title

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2017, 2018, 2019 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws.


Signature of Authorized Official

6-14-18
Date

Mayor
Title

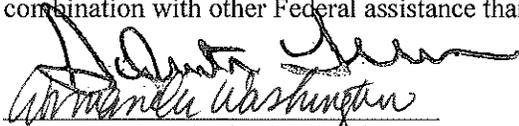
Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;


Signature of Authorized Official

6-14-18
Date

MAYOR
Title

Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

Major rehabilitation/conversion/renovation – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for these individuals.

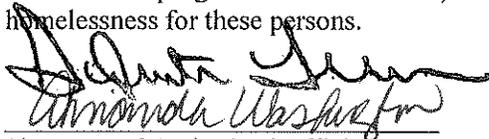
Matching Funds – The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

Discharge Policy – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.



Signature of Authorized Official

6-14-18

Date

Mayor

Title

Housing Opportunities for Persons With AIDS Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.



Signature of Authorized Official

6-14-18
Date

MAYOR
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Appendix # 5: ESG Guidelines

CITY OF HOUSTON

EMERGENCY SOLUTIONS GRANTS PROGRAM

WRITTEN STANDARDS

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INTRODUCTION

City of Houston has developed the following standards for providing assistance with Emergency Solutions Grants (ESG) funds as required by 24 CFR 576.400 (e). These standards were created in coordination with the Houston/Harris County Continuum of Care which includes Harris County, the City of Houston, Fort Bend County and the City of Pasadena, and Texas Department of Housing and Community Affairs funding within the CoC geographic area. They are in accordance with the interim rule for the Emergency Solutions Grants Program released by the U.S. Department of Housing and Urban Development on December 4, 2011 and the final rule for the definition of homelessness also released by the U.S. Department of Housing and Urban Development on December 4, 2011.

City of Houston expects that the standards will adjust as the City of Houston gains more experience with and collects more data from services provided with the Emergency Solutions Grants program. The Standards serve as the guiding principles for funding programs. The Business Rules outline the operations and process for carrying out each program component.

STANDARDS APPLICABLE TO ALL PROGRAM COMPONENTS

ELIGIBLE ESG PROGRAM COMPONENTS

There are four (4) ESG Program Components:

1. Rapid Re-Housing,
2. Emergency Shelter,
3. Homelessness Prevention and
4. Street Outreach.

Funds for ESG can be used to support any of the eligible components. The CoC gives priority to funding that supports securing housing options for homeless households and to support the expansion of rapid re-housing.

COORDINATING ASSESSMENT & SERVICES AMONG PROVIDERS

- City of Houston expects that all providers participate in the coordinated assessment system. Coordinated assessment uses a common housing assessment and triage tool to ensure that all homeless individuals are referred to the appropriate housing intervention. Coordinated assessment will be used as each housing intervention supported by ESG is fully integrated into the system referral process. Prior to full implementation of coordinate assessment, agencies can continue to accept direct referrals from individuals and other agencies.

Designate staff members for CoC Provider Input forum: Each agency will assign three representatives to the input forum, where at least one member has decision making capacity for the program. CoC Provider Input Forums will meet quarterly, or more often as required by current CoC policies, where providers give and receive information regarding CoC strategies and policies.

Participate in any standardized training as designated by ESG funders and offered through CoC. The CoC will provide a vetted and standardize training curriculum for all housing stability case managers that will be available for all agencies providing case management for housing based services. The curriculum and standards will be developed as a part of and in partnership with the Continuum of Care Technical Assistance from the Department of Housing and Urban Development. This will focus on the requirements of maintaining stable housing and ensure access to mainstream resources that will provide ongoing, continued and necessary support for households to gain and maintain stable housing.

COORDINATING WITH MAINSTREAM AND TARGETED HOMELESS PROVIDERS

City of Houston expects that every agency that is funded through ESG will coordinate with and access mainstream and other targeted homeless resources. City of Houston will evaluate performance of each provider based on the outcomes achieved toward housing models adopted through the CoC Steering committee. These outcome measures will be used to evaluate program success annually. City of Houston will use this and other performance metrics to guide funding decisions for ESG funded programs. Required outcomes for each intervention around accessing mainstream resources will match the outcomes approved by the CoC Steering Committee annually.

STANDARDS SPECIFIC TO EMERGENCY SHELTER

ELIGIBILITY: HOMELESS STATUS

Homeless clients entering into the shelter system must meet the HUD criteria for homelessness as either literally homeless (Homeless Category 1), at imminent risk of homelessness (Homeless Category 2), homeless under another federal statute (Homeless Category 3), or fleeing/attempting to flee domestic violence (Homeless Category 4).

For additional details related to the HUD definition of Homeless and applicability to each program component, see Appendix A and Appendix C.

ELIGIBILITY: INTAKE AND ASSESSMENT

As already indicated above under Coordinating Assessment & Services, case managers will use the Continuum wide assessment tool to review client situation, understand eligibility, and begin the process of determining length of assistance. The tool will include an assessment form for diversion that providers are currently testing. Once testing has been finalized, the diversion assessment will be required for all providers, including DV providers.

Specific to Emergency Shelter,

- Any new client entering into shelter must also undergo a complete assessment to understand client needs and barriers and match the client to the most appropriate services provider.
- Clients will be prioritized within the emergency shelter system based on need, available resources and geographic area.

ELIGIBILITY: PRIORITIZATION & REFERRAL POLICIES

Emergency shelters will prioritize individuals/families that:

- Cannot be diverted; and
- Are literally homeless; and
- Can be safely accommodated in the shelter; and
- Not in need of emergency medical or psychiatric services or danger to self or others.

Also note the following:

- Emergency Shelters cannot discriminate per HUD regulations.
- There are no requirements related to ID, income or employment;
- Transgender placement based on gender self-identification.

STANDARDS SPECIFIC TO HOMELESSNESS PREVENTION AND RAPID RE-HOUSING

ELIGIBILITY: STATUS AS HOMELESS OR AT-RISK OF HOMELESSNESS

HOMELESSNESS PREVENTION

Individuals/families, who meet the HUD criteria for the following definitions, are eligible for Homelessness Prevention assistance:

- At Risk of Homelessness
- Homeless Category 2: Imminently at-risk of homelessness

- Homeless Category 3: Homeless under other federal statute and
- Homeless Category 4: Fleeing/attempting to flee DV (as long as the individuals/families fleeing or attempting to flee DV are **not** also literally homeless. If the individuals/families are also literally homeless they would actually qualify for rapid re-housing instead. See below.)

Additional eligibility requirements related to Homelessness Prevention include:

- **Proof of residence** within the City of Houston service area. A map for reference is included on page 21.
- **Total household income below 30 percent of Area Family Income (AFI)** for the area at initial assessment. Clients must provide documentation of household income, including documentation of unemployment and zero income affidavit for clients without income.

RAPID RE-HOUSING

Individuals/families, who meet the HUD criteria for the following definitions, are eligible for Rapid Re-Housing assistance:

- Homeless Category 1: Literally homeless
- Homeless Category 4: Fleeing/attempting to flee DV (as long as the individuals/families fleeing or attempting to flee DV are **also** literally homeless).

For additional details related to the HUD definition of Homeless and At Risk of Homelessness and applicability of these definitions to each program component, see Appendix A, B and C.

ELIGIBILITY: INTAKE AND ASSESSMENT

Once coordinated access is available for all housing interventions, all clients must have an initial eligibility assessment and triage for appropriate housing by a specially trained housing assessor. All clients come through coordinated access and are assessed using housing triage tool in HMIS. Housing triage will identify, based on the standard assessment, individuals best suited for rapid re-housing. The standard assessment accounts for length and frequency of homelessness, physical and mental health status, criminal history, veteran status, domestic violence experience, substance abuse conditions and employment history.

TARGETED POPULATIONS: CLIENT PRIORITIZATION

HOMELESSNESS PREVENTION

Note that all targeted individuals and families described below have to meet the minimum HUD requirements for eligibility to Homeless Prevention.

City of Houston will use a shared assessment form that will target those clients with the most barriers to housing. Each barrier will have an allotment of points, and the higher score (and more barriers) the more likely the client will receive services. The assessment of barriers is based on an objective review of each client's current situation using the tool rather than the subjective opinion of a case manager assessing each client's needs. All clients must have a minimum score of 20 points to receive assistance. See Appendix D for a copy of the assessment form.

Additionally, City of Houston prioritizes clients who are currently in their own housing, especially families with young children who have limited housing options but high needs for homelessness prevention funding.

RAPID RE-HOUSING

Coordinated access will prioritize individuals who are currently homeless but not in need of permanent supportive housing as eligible for rapid re-housing. This can include, but is not limited to individuals and households who,

- are first time homeless;
- have few recent episodes of homelessness; or
- are part of a family that is homeless.

It should be noted, rapid re-housing funds are directed to individuals with income or work history and skills that indicate employability.

FINANCIAL ASSISTANCE

DURATION AND AMOUNT OF ASSISTANCE

City of Houston, as part of the Houston/Harris County/Ft. Bend County CoC, has adopted the CoC approved Housing Models to measure community outcomes for all housing interventions. The CoC requires that all subrecipients for ESG Rapid Re-Housing funds use the CoC-wide assessment tools to determine the duration and amount of assistance. The tools do not dictate the amount of assistance that each household receives, but guides the case manager and client to determine the appropriate amount of assistance for each household.

- All clients are assessed to determine initial need and create a budget to outline planned need for assistance.
- Agencies cannot set organizational maximums or minimums but must rely on the CoC wide tools to determine household need.

- Through case management, client files are reviewed monthly to ensure that planned expenditures for the month validate financial assistance request.
- City of Houston expects that households will receive the minimum amount of assistance necessary to stabilize in housing.

Clients cannot exceed 24 months of assistance in a 36 month period. The Rapid Re-Housing Business Rules outline the processes that require supervisory approval.

PARTICIPANT SHARE

Participant share will be determined by use of common assessment and budgeting tools approved through the Continuum of Care. These tools will determine the monthly assistance amount and client contribution. Clients will participate in the development of their individual housing plan with a case manager based on client goals and shared goals for achieving housing stability. Case managers will use the housing plan to determine the client contribution based on monthly income. Clients are expected to contribute a portion of their income based on budgeting to ensure housing stability. Financial assistance is available for households with zero income. Details of when clients are terminated or redirected to a more appropriate intervention are outlined in the business rules.

HOUSING STABILIZATION AND RELOCATION RELATED ASSISTANCE AND SERVICES

REQUIRED SERVICES: CASE MANAGEMENT & CASE LOADS

The Continuum of Care requires that all clients are referred to a case manager through the coordinated assessment system. Coordinated assessment will triage homeless clients to rapid re-housing that are in need of short to medium term assistance based on individual experience and vulnerability. Coordinated Access Assessors will then directly refer to a rapid re-housing case manager based on client preference and program availability and vacancy. Case managers will perform an individual assessment to create a housing plan using the common assessment tools. This begins the process to rapidly re-house the homeless household as quickly and efficiently as possible.

Homelessness prevention clients must have an initial home visit when first approved for assistance and subsequent house visits with each recertification every three months. It is expected that case managers will conduct office visits with homelessness prevention clients between home visits, at least once per month. Case managers and program managers are encouraged to provide more than the minimum required services through case management.

Rapid re-housing case managers should maintain an average case load of 35 clients. This will allow case managers to provide quality case management and ensure that services are targeted to individuals most likely to be successful with rapid re-housing assistance. As the rapid re-housing program for the continuum expands, this number may increase.

Case management includes home and office visits determined by client need and supported by the housing plan.

As required by the Continuum of Care Housing Models, case managers are expected to follow up with clients that have successfully exited rapid re-housing case management at a minimum of 30 days after exiting the program. Clients who remain in housing for 90 days after exiting rapid re-housing, identified through HMIS, are categorized as stably housed.

Case management staff must have an updated copy of the Rental Assistance Agreement and ensure that the fiscal agent is informed of any changes to the participant rent share as indicated in the Housing Stabilization Plan.

REQUIRED SERVICES: HOUSING LOCATION SERVICES

Any subrecipient of ESG assistance must also have a dedicated housing navigation and location specialist for households receiving rapid re-housing, rather than the expectation that clients must navigate the system on their own. This specialized position will be dedicated to finding appropriate housing and developing relationships with affordable housing providers. This process facilitated by this position ensures ESG clients have greater housing choice. Housing navigators for rapid re-housing may have expertise based on location and type of housing.

REQUIRED SERVICES: INSPECTION AND LANDLORD AGREEMENT

Any unit that receives financial assistance through rapid re-housing must pass a Housing Quality Standards Inspection as outlined in the ESG regulations. The inspections will be conducted by a qualified agency with expertise in inspection. The process for scheduling and conducting an inspection is outlined in the rapid re-housing business rules.

Any unit that receives rental assistance payments through rapid re-housing must have an agreement in place between the financial assistance fiscal intermediary and the property. The rental assistance agreement details the terms under which rental assistance will be provided. A copy of the rental assistance template is included in the rapid re-housing business rules and outlines the requirements for rental payment as well as any notice to vacate or eviction by the owner.

INELIGIBLE SERVICES: CREDIT REPAIR AND LEGAL SERVICES

City of Houston will not allow ESG funds to be used for credit repair and legal services as eligible activities. City of Houston has found limited access to this resource by clients and providers and will instead encourage the use mainstream service providers and establish them as part of the system of providers with formal relationship.

OPTIONAL SERVICES: SECURITY/UTILITY DEPOSITS

Rental and utility deposits can be included in housing stabilization services as dictated by the housing stabilization plan. Rental and utility deposits can be included in lieu of or in combination with rental assistance for a unit. Requirements for inspections and rental assistance agreements for units with only security deposits are outlined in the rapid re-housing business rules.

- Security deposits can cover up to two months of rent.

OPTIONAL SERVICES: RENTAL APPLICATION FEES

City of Houston expects that rapid re-housing navigation and location specialists will work closely with housing providers and establish trusting relationships among landlords in a way that will encourage property owners and managers to waive application fees for rental properties. To that end, application fees can only be provided for one application at a time; but note that this only limits the number of applications that require application fees. Case managers and housing specialists can and should work with clients and landlords to process as many free applications as possible.

ELIGIBILITY: PERIODIC RE-CERTIFICATION

All case managers are required to re-certify clients based on the following schedule. At that time, a case manager may decide to extend, decrease or discontinue providing assistance.

| Program Component | Schedule | Re-certification Criteria |
|--------------------------------|----------------|--|
| Homelessness Prevention | Every 3 months | For both HP and RRH, to continue to receive assistance, clients must <ul style="list-style-type: none"> • be at or below 30% AFI AND • lack sufficient resources and support networks necessary to retain housing without ESG assistance. Families are required to provide information on income, assets greater than \$5,000, deductions, and family composition during the annual recertification process. |
| Rapid Re-Housing | Annually | |

APPENDIX A: HUD DEFINITION FOR HOMELESS

| | | | |
|---|-------------------|---------------------------------------|--|
| HUD CRITERIA FOR DEFINING HOMELESS | Category 1 | Literally Homeless | <p>Individual or family who lacks a fixed, regular, and adequate nighttime residence, meaning:</p> <ul style="list-style-type: none"> • Has a primary nighttime residence that is a public or private place not meant for human habitation; • Is living in a publicly or privately operated shelter designated to provide temporary living arrangements (including congregate shelters, transitional housing, and hotels and motels paid for by charitable organizations or by federal, state and local government programs); <u>or</u> • Is exiting an institution where (s)he has resided for 90 days or less <u>and</u> who resided in an emergency shelter or place not meant for human habitation immediately before entering that institution |
| | Category 2 | Imminent Risk of Homelessness | <p>Individual or family who will imminently lose their primary nighttime residence, provided that:</p> <ul style="list-style-type: none"> • Residence will be lost within 14 days of the date of application for homeless assistance; • No subsequent residence has been identified; <u>and</u> • The individual or family lacks the resources or support networks needed to obtain other permanent housing |
| | Category 3 | Homeless under other Federal statutes | <p>Unaccompanied youth under 25 years of age, or families with children and youth, who do not otherwise qualify as homeless under this definition, but who:</p> <ul style="list-style-type: none"> • Are defined as homeless under the other listed federal statutes; • Have not had a lease, ownership interest, or occupancy agreement in permanent housing during the 60 days prior to the homeless assistance application; • Have experienced persistent instability as measured by two moves or more during in the preceding 60 days; <u>and</u> • Can be expected to continue in such status for an extended period of time due to special needs or barriers |
| | Category 4 | Fleeing/ Attempting to Flee DV | <p>Any individual or family who:</p> <ul style="list-style-type: none"> • Is fleeing, or is attempting to flee, domestic violence; • Has no other residence; <u>and</u> • Lacks the resources or support networks to obtain other permanent housing |

APPENDIX B: HUD DEFINITION FOR AT RISK OF HOMELESSNESS

| | | | |
|--|-------------------|----------------------------------|--|
| HUD CRITERIA FOR DEFINING AT RISK OF HOMELESSNESS | Category 1 | Individuals and Families | <p>An individual or family who:</p> <ul style="list-style-type: none"> (i) Has an annual income below <u>30%</u> of median family income for the area; <u>AND</u> (ii) Does not have sufficient resources or support networks immediately available to prevent them from moving to an emergency shelter or another place defined in Category 1 of the “homeless” definition; <u>AND</u> (iii) Meets one of the following conditions: <ul style="list-style-type: none"> A. Has moved because of economic reasons 2 or more times during the 60 days immediately preceding the application for assistance; <u>OR</u> B. Is living in the home of another because of economic hardship; <u>OR</u> C. Has been notified that their right to occupy their current housing or living situation will be terminated within 21 days after the date of application for assistance; <u>OR</u> D. Lives in a hotel or motel and the cost is not paid for by charitable organizations or by Federal, State, or local government programs for low-income individuals; <u>OR</u> E. Lives in an SRO or efficiency apartment unit in which there reside more than 2 persons or lives in a larger housing unit in which there reside more than one and a half persons per room; <u>OR</u> F. Is exiting a publicly funded institution or system of care; <u>OR</u> G. Otherwise lives in housing that has characteristics associated with instability and an increased risk of homelessness, as identified in the recipient’s approved Con Plan |
| | Category 2 | Unaccompanied Children and Youth | A child or youth who does not qualify as homeless under the homeless definition, but qualifies as homeless under another Federal statute |
| | Category 3 | Families with Children and Youth | An unaccompanied youth who does not qualify as homeless under the homeless definition, but qualifies as homeless under section 725(2) of the McKinney-Vento Homeless Assistance Act, and the parent(s) or guardian(s) or that child or youth if living with him or her. |

APPENDIX C: CROSS WALK OF HUD HOMELESS AND AT RISK DEFINITIONS AND ELIGIBILITY TO ESG PROGRAM COMPONENTS

| | | |
|---------------------------------|--------------------------------|---|
| Eligibility by Component | Emergency Shelter | <p>Individuals and families defined as Homeless under the following categories are eligible for assistance in ES projects:</p> <ul style="list-style-type: none"> • Category 1: Literally Homeless • Category 2: Imminent Risk of Homeless • Category 3: Homeless Under Other Federal Statutes • Category 4: Fleeing/Attempting to Flee DV |
| | Rapid Re-Housing | <p>Individuals and families defined as Homeless under the following categories are eligible for assistance in RRH projects:</p> <ul style="list-style-type: none"> • Category 1: Literally Homeless • Category 4: Fleeing/Attempting to Flee DV (if the individual or family is also literally homeless) |
| | Homelessness Prevention | <p>Individuals and families defined as Homeless under the following categories are eligible for assistance in HP projects:</p> <ul style="list-style-type: none"> • Category 2: Imminent Risk of Homeless • Category 3: Homeless Under Other Federal Statutes • Category 4: Fleeing/Attempting to Flee DV (if the individual or family is NOT also literally homeless) <p>Individuals and families who are defined as At Risk of Homelessness</p> <p>Additionally, HP projects must only serve individuals and families that have an annual income BELOW 30% AMI</p> |

APPENDIX D: ELIGIBILITY CRITERIA AND PRIORITIZATION TOOL FOR HOMELESSNESS PREVENTION SYSTEM

Eligibility Requirements

All potential clients will be screened for the following:

Income – Only households with income below 30% of the Area Median Income are eligible for Homelessness Prevention services (see Attachment A for income limits)

PLUS

Trigger Crisis – An event has occurred which is expected to result in housing loss within 30 days due to one of the listed reasons (see Attachment B for qualifying trigger crises)

PLUS

No resources or support network to prevent homelessness –No other options are possible for resolving this crisis. “But for this assistance” this household would become literally homeless—staying in a shelter, a car, or another place not meant for human habitation

OR

Unaccompanied children and youth who qualify as homeless under another Federal statute – See Runaway and Homeless Youth Act definition or Documentation for school district certification of homelessness (see Attachment C for other definitions of homelessness)

OR

Families with children or youth who qualify as homeless under another Federal statute –See Runaway and Homeless Youth Act definition or Documentation for school district certification of homelessness (see Attachment C for other definitions of homelessness)

PLUS

Score of at least 20 points—or 15 – 19 points with override sign-off (see Attachment D for score sheet)

Attachment A

30% Area Median Income (2014)

| | | |
|--------------------|----------|-----------------|
| 1 Person Household | \$14,000 | (\$1,167/month) |
| 2 Person Household | \$16,000 | (\$1,333/month) |
| 3 Person Household | \$18,000 | (\$1,500/month) |
| 4 Person Household | \$20,000 | (\$1,667/month) |
| 5 Person Household | \$21,600 | (\$1,800/month) |
| 6 Person Household | \$23,200 | (\$1,933/month) |
| 7 Person Household | \$24,800 | (\$2,067/month) |
| 8 Person Household | \$26,400 | (\$2,200/month) |

<http://www.huduser.org/portal/datasets/il/il2014/2014summary.odn>

Attachment B

Trigger Crisis

Will lose housing within 30 days due to one of the following:

- Moved twice or more in the past 60 days
- Living in the home of another person because of economic hardship
- Notified that right to occupy their current housing or living situation will be terminated within 21 days after date of application
- Living in hotel or motel and cost is not paid for by charitable organization or government program for low-income people
- Living in SRO or efficiency where more than 2 people live; or in a larger housing unit with more than 2 people per room
- Exiting a publicly funded institution or system of care
- Exiting a publicly or privately funded inpatient substance abuse treatment program or transitional housing program
- Living in rental housing that is being condemned by a government agency and tenants are being forced to move out

Attachment C

Other Definitions of Homelessness

- **Runaway and Homeless Youth Act (42 U.S.C 5701 et seq.)**

Runaway and Homeless Youth funding is administered by the Family and Youth Services Bureau within the Administration for Children & Families (ACF) of the U.S. Department of Health and Human Services (HHS). Information about Runaway and Homeless Youth program grantees is available online at <http://www2.ncfy.com/locate/index.htm>.

- **Head Start Act (42 U.S.C. 9831 et seq.)**

Head Start funding is administered by the Office of Head Start (OHS) within ACF/HHS. A listing of Head Start programs, centers, and grantees is available online at <http://eclkc.ohs.acf.hhs.gov/hslc/HeadStartOffices>

- **Violence Against Women Act of 1994; subtitle N (42 U.S.C. 14043e et seq.)**

Violence Against Women Act established the Office on Violence Against Women (OVW) within the U.S. Department of Justice (DOJ). OVW administers financial and technical assistance to communities across the country that are developing programs, policies, and practices aimed at ending domestic violence, dating violence, sexual assault, and stalking. Currently, OVW administers one formula grant program and eleven discretionary grant programs, all of which were established under VAWA and subsequent legislation. More information about OVW is available online at <http://www.ovw.usdoj.gov/>.

- **Public Health Service Act; section 330 (42 U.S.C. 254b)**

The Public Health Service Act authorized the Health Center Program, which is administered by the Bureau of Primary Health Care within the Health Resources and Services Administration (HRSA) of HHS. Information about local Health Centers can be found online at <http://bphc.hrsa.gov/index.html>

- **Food and Nutrition Act of 2008 (7 U.S.C. 2011 et seq.)**

Food and Nutrition Act of 2008 relates to the Supplemental Nutrition Assistance Program (SNAP), formerly known as Food Stamps. SNAP is administered by the U.S. Department of Agriculture (USDA). More information about SNAP can be found online at <http://www.fns.usda.gov/snap/>

- **Child Nutrition Act of 1966; section 17 (42 U.S.C. 1786)**

Child Nutrition Act of 1966 authorized numerous programs related to school lunches and breakfasts and funds for meals for needy students. For more information about these programs, contact the local School Department.

- **McKinney-Vento Act; subtitle B of title VII (42 U.S.C. 11431 et seq.)**

McKinney-Vento Act authorized the McKinney-Vento Education for Homeless Children and Youths Program, which is administered via the Office of Elementary and Secondary Education within the U.S. Department of Education. More information about this program is available online at <http://www2.ed.gov/programs/homeless/index.html>. Also, contact the local School Department.

Attachment D

Prioritization Scoring

Income Scoring

- ___ Rent burden at 66 – 80% of income.... 5 points
- ___ Income at or below 15% AMI.... 20 points OR
- ___ Income 16 – 29% AMI.... 10 points

15% Area Median Income (2012)

| | | |
|--------------------|----------|-----------------|
| 1 Person Household | \$7,250 | (\$604/month) |
| 2 Person Household | \$8,025 | (\$669/month) |
| 3 Person Household | \$9,025 | (\$752/month) |
| 4 Person Household | \$10,025 | (\$835/month) |
| 5 Person Household | \$10,850 | (\$904/month) |
| 6 Person Household | \$11,650 | (\$970/month) |
| 7 Person Household | \$12,450 | (\$1,038/month) |
| 8 Person Household | \$13,250 | (\$1,104/month) |

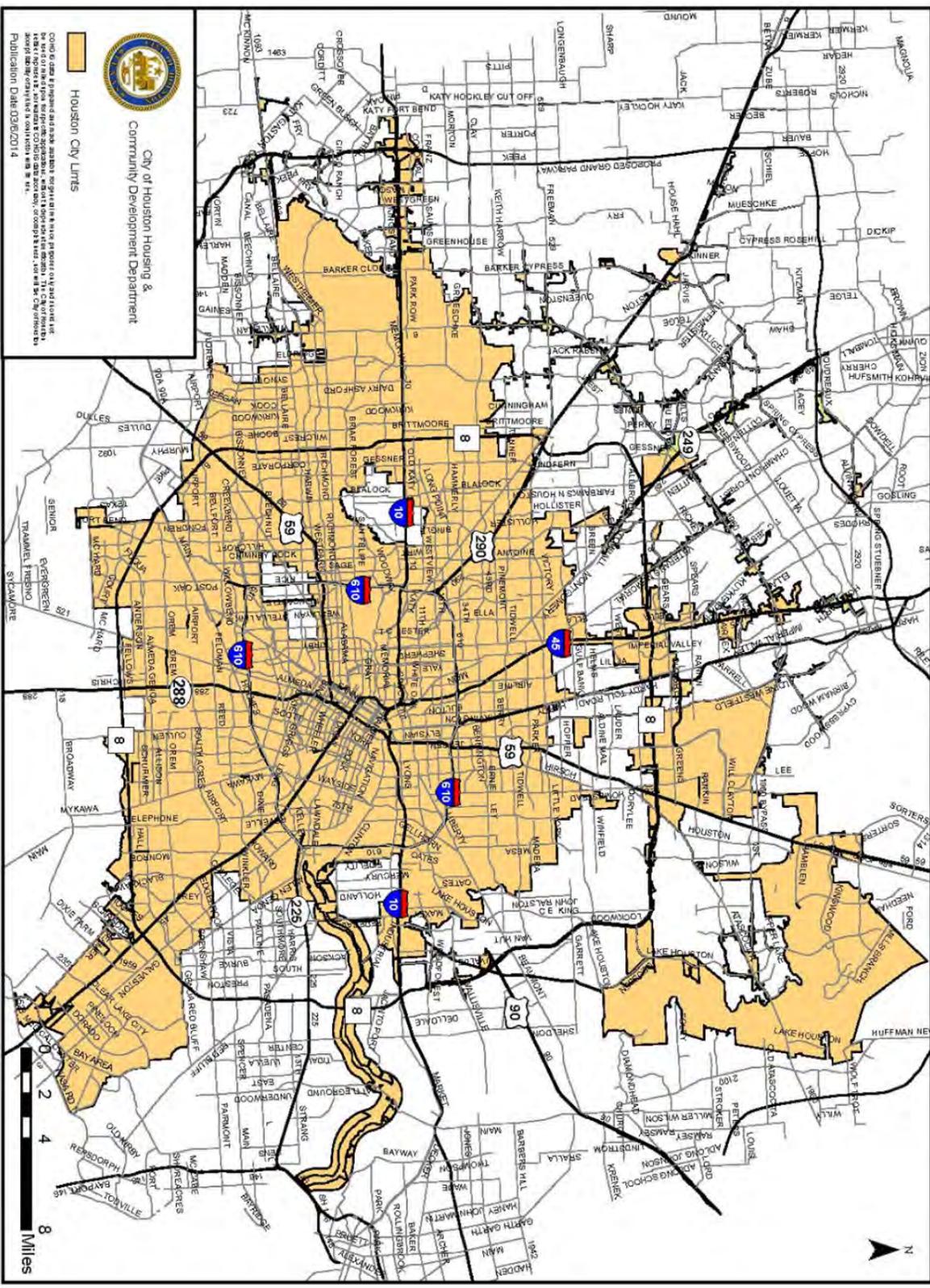
Tenant Barriers/Risk Factors Scoring

| Tally | Screening Barrier | Points for Barrier |
|-------|---|--------------------|
| _____ | Eviction history | 1 point |
| _____ | No credit references: has no credit history | 1 point |
| _____ | Lack of rental history: has not rented in the past | 1 point |
| _____ | Unpaid rent or broken lease in the past (separate from current unpaid rent) | 1 point |
| _____ | Poor credit history: late or unpaid bills, excessive debt, etc | 1 point |
| _____ | Past Misdemeanors | 1 point |
| _____ | Past Felony other than critical Felonies listed below | 1 point |
| _____ | Exiting criminal justice system where incarcerated for less than 90 days | 1 point |
| _____ | Critical Felony (drugs, sex crime, arson, crimes against other people) | 5 points |
| _____ | Pregnant or has at least one child 0 – 6 | 5 points |
| _____ | Head of household under 30 years old | 5 points |
| _____ | Family experienced literal homelessness in the past 3 years | 5 points |
| _____ | Only 1 adult in household | 5 points |
| _____ | TOTAL (Tally of Income & Tenant Barriers Scoring) | |

Override

If a household has 15 to 19 points but the agency believes there is a compelling reason to provide homelessness prevention services, the program can document reasons for overriding the score. The override must be signed off by an agency representative at a higher level of authority than direct service staff.

City of Houston





City of Houston Housing & Community Development Department



Houston City Limits

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Publication Date 03/06/2014

Appendix # 6: Public Hearing Notices

Public Notice

Please join the City of Houston's Housing and Community Development Department (HCDD) for its Fall Open House and Public Hearings. Approximately \$40 million in federal entitlement grants will be available to improve Houston's neighborhoods and the quality of life for low- and moderate- income families over the next year. Tell us about your community needs and budget priorities for the preparation of Houston's 2018 Annual Action Plan.

The Fall Open House and Public Hearings schedule is as follows:

| Location | Date |
|---|---|
| Third Ward Multi-Service Center 3611 Ennis St., Houston, TX 77004 | Thursday, November 30, 2017 at 6:00 pm |
| Kashmere Multi-Service Center 4802 Lockwood Dr., Houston, TX 77026 | Thursday, December 7, 2017 at 6:00 pm |

Both venues are accessible for persons with disabilities. For additional information or to request special arrangements at the public hearings (interpreter, captioning for the hearing impaired, sign language, or other), contact Brittany Hyman at 832.394.6178 or Brittany.Hyman@houston.tx.gov.

Can't make the Open House? Complete the Community Needs Survey at: <https://www.surveymonkey.com/r/hcdd2018>. You can also view materials and get involved at our website: www.houston.tx.gov/housing. For specific questions or concerns about fair housing or landlord/tenant relations, please contact Royce Sells at 832.394.6240.

19 DE NOVIEMBRE DE 2017 | LA VOZ DE HOUSTON.COM | LA VOZ DE HOUSTON | 7

Aviso Publico

Por favor unase al Departamento de Vivienda y Desarrollo Comunitario de la Ciudad de Houston (HCDD) para su Dia de Puertas Abiertas y Audiencias Publicas de Otono. Aproximadamente \$40 millones de becas de subsidios federales estaran disponibles para mejorar las comunidades de Houston y la calidad de vida de familias de bajos y moderados ingresos durante el proximo periodo. Diganos acerca de sus necesidades comunitarias y prioridades presupuestarias para la preparacion del Plan de Accion Anual 2018 de Houston.

El programa del Dia de Puertas Abiertas y Audiencias Publicas de Otono es el siguiente:

| Ubicacion | Fecha |
|---|--|
| Third Ward Multi-Service Center 3611 Ennis St., Houston, TX 77004 | Jueves, 30 de noviembre de 2017 a las 6:00 pm |
| Kashmere Multi-Service Center 4802 Lockwood Dr., Houston, TX 77026 | Jueves, 7 de diciembre de 2017 a las 6:00 pm |

Ambos lugares son accesibles para personas con discapacidades. Para obtener informacion adicional o solicitar arreglos especiales en las audiencias publicas (interprete, subtítulos para sordos, lenguaje de señas u otros), comuniquese con Brittany Hyman al 832.394.6178 o Brittany.Hyman@houstontx.gov.

¿No podra acompañarnos? Complete la encuesta de necesidades comunitarias en: <https://www.surveymonkey.com/r/hcdd2018>. Tambien puede ver nuestros materiales y participar en nuestro sitio web: www.houstontx.gov/housing. Para preguntas o preocupaciones especificas acerca de la vivienda justa o las relaciones entre propietario e inquilino, comuniquese con Royce Sells al 832.394.6240.

WHAT'S HAPPENIN'

TEXAS • NOVEMBER 13 - 19, 2017

PUBLIC NOTICE

Public Notice

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Số 2426 • Wednesday, November 15, 2017

Việt Nam Mới A3

PUBLIC NOTICE

THÔNG BÁO CÔNG CỘNG

Kính mời quý vị tới tham dự các Buổi Điều Trần Mùa Thu của Sở Gia Cư và Phát Triển Cộng Đồng của Thành Phố Houston. Gần 40 triệu đô la trong các ngân khoản liên bang sẵn sàng cho việc phát triển các khu phố ở Houston và chất lượng đời sống cho các gia đình lợi tức thấp và trung bình của thành phố trong năm tới. Quý vị hãy cho chúng tôi biết các nhu cầu của cộng đồng quý vị cùng các ưu tiên ngân sách để chuẩn bị cho Kế Hoạch Hành Động năm 2018 của Houston.

Lịch trình các buổi Điều Trần Mùa Thu như sau:

| Địa điểm | Ngày tháng |
|---|--|
| Third Ward Multi-Service Center 3611 Ennis St., Houston, TX 77004 | Thứ Năm ngày 30 tháng 11, 2017 lúc 6:00pm |
| Kashmere Multi-Service Center 4802 Lockwood Dr., Houston, TX 77026 | Thứ Năm ngày 7 tháng 12, 2017 lúc 6:00pm |

Cả hai Buổi Điều Trần sẽ diễn ra tại các địa điểm dễ dàng cho người khiếm tật tham dự. Quý vị cần thêm thông tin hay có yêu cầu thu xếp đặc biệt nào liên hệ tới các buổi điều trần này (thông ngôn, khiếm thính, ngôn ngữ ký hiệu, hay điều gì khác), vui lòng tiếp xúc với Brittany Hyman số 832-394-6178 hay Brittany.Hyman@houstontx.gov.

Quý vị không thể đến dự Điều Trần, có thể điền khuyến vào Bản Sứ Khảo về các Nhu Cầu Cộng Đồng tại: <https://www.surveymonkey.com/r/hcdd2018>. Quý vị cũng có thể xem các tài liệu liên hệ tại vị trí liên mạng của chúng tôi: www.houstontx.gov/housing. Nếu quý vị có câu hỏi hay quan tâm cụ thể về công bằng gia cư hay quan hệ giữa chủ nhà/người thuê, vui lòng tiếp xúc với Royce Sells tại 832-394-6240.



要聞速讀
Daily News

美南日報

星期三

2017年11月15日

Wednesday, November 15, 2017

公告

請加入休斯敦市住房和社區發展部 (HCDD) 的秋季開放日和公眾聽證會。大約 4,000 萬美元的聯邦權利補助金將用於改善休斯敦居民區和下一年度中低收入家庭的生活質量。為編制休斯敦 2018 年年度行動計劃，請介紹一下您的社區需求和預算重點。

秋季開放日和公眾聽證會的時間安排如下：

| 地址 | 時間 |
|---|--------------------------|
| Third Ward Multi-Service Center 3611 Ennis St., Houston, TX 77004 | 2017年11月30日, 星期四, 下午6:00 |
| Kashmere Multi-Service Center 4802 Lockwood Dr., Houston, TX 77026 | 2017年12月7日, 星期四, 下午6:00 |

這兩個場地都可供殘障人士使用。相關其他信息或要求在聽證會上作出特別安排 (口譯員, 聽力障礙者的說明, 手語或其他), 請撥打電話 832.394.6178 或發郵件至 Brittany.Hyman@houstontx.gov 與 Brittany Hyman 聯繫。

無法參加開放日? 請完成社區需求調查: <https://www.surveymonkey.com/r/hcdd2018>。您也可以查看材料, 並參與我們的網站: www.houstontx.gov/housing。有關公平住房或房東/租客關係的具體問題或疑慮, 請致電 832.394.6240 與 Royce Sells 聯繫。

005- CITY OF HOUSTON-HOUSING & COMMUNITY DEV. 16

houston Forward Times November 15 - 21, 2017

PUBLIC NOTICE

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Houston Chronicle | HoustonChronicle.com and chron.com | Tuesday, March 13, 2018 | A9

Public Notice
 The City of Houston's Housing and Community Development Department (HCDD) invites you to attend its Spring Open House and Public Hearings to review and comment on the 2018 Draft Annual Action Plan, give input on budget priorities, and learn about HCDD's programs for home repairs and home buying.

| Location | Date |
|--|-------------------------------------|
| West End Multi-Service Center 170 Heights Blvd, Houston, TX 77007 | Tuesday, March 27, 2018 at 6:00 pm |
| Southwest Multi-Service Center 6400 High Star Dr, Houston, TX 77074 | Thursday, March 29, 2018 at 6:00 pm |

Both venues are accessible for persons with disabilities. For additional information or to request special arrangements at the public hearings (interpreter, captioning for the hearing impaired, sign language, or other), contact Fatima Walaifar at 832.394.6156 or fatima.walaifar@houston.tx.gov. For specific questions or concerns, contact the City of Houston's Housing and Community Development Department at 832.394.6240.
 The 2018 Annual Action Plan (AAP) is the City of Houston's blueprint for the coming year. It details the City's goals and strategies for the following four entitlement areas: Community Services, Economic Development and Urban Development (HUD), HOME Investment Partnership Grant (HOME), Housing Opportunities for Persons with AIDS Grant (HOPWA), and Emergency Solutions Grant (ESG). The Plan estimates that \$39,172,745 in federal grants and City funds will be available to the City for housing and community development activities for the 2018 Program Year. The City is seeking input from the public on the proposed budgeted activities. HUD has not yet announced allocations for the 2018 Program Year. When HUD announces the allocation amounts, dollar amounts will be adjusted according to the percentages listed below.

| CDBG - \$21,969,500 | | |
|--|-------------|-------|
| Public Facilities and Improvements | \$380,000 | 1.7% |
| Public Services (Homeless, Social Services, Elderly) | \$3,183,698 | 14.5% |
| ESG Match | \$500,000 | 2.2% |
| Single Family Home Repair | \$4,300,000 | 19.6% |
| Single Family Home Development | \$4,803,772 | 21.9% |
| Homeownership Assistance | \$1,400,000 | 6.4% |
| Multifamily Home Repair and Development | \$200,000 | 0.9% |
| Economic Development | \$200,000 | 0.9% |
| Code Enforcement | \$2,498,630 | 11.9% |
| Program Administration | \$4,393,200 | 20.0% |
| HOME - \$6,794,343 | | |
| Multifamily Acquisition/Rehabilitation/New Construction/Relocation/Activity Delivery | \$4,909,999 | 72.3% |
| Single Family Acquisition/Rehabilitation/New Construction/Relocation/Activity Delivery | \$1,204,910 | 17.7% |
| Program Administration | \$679,434 | 10.0% |
| HOPWA - \$8,487,055 | | |
| Operating Costs | \$1,820,000 | 21.0% |
| Supportive Services | \$2,500,000 | 28.8% |
| Project or Tenant-based Rental Assistance | \$2,000,000 | 23.0% |
| Short-Term, Rent, Mortgage & Utility Assistance | \$2,106,444 | 24.2% |
| Grantee Administration | \$260,611 | 3.0% |
| ESG - \$1,973,290 | | |
| Homeless Management Information Systems (HMIS) | \$79,200 | 4.0% |
| Emergency Shelter | \$656,368 | 33.3% |
| Homeless Prevention | \$396,196 | 20.1% |
| Rapid Re-Housing | \$493,530 | 25.1% |
| Administration | \$147,996 | 7.5% |

You may comment on the Plan during the 30-day comment period from March 13, 2018 to April 12, 2018. The Plan shall be made available in an accessible format upon request. Comments will be accepted at the Open House and Public Hearings or by mail to: City of Houston, Housing and Community Development Department, 601 Sawyer, Houston, TX 77007, Attn: Fatima Walaifar, or by email to fatima.walaifar@houston.tx.gov.
 You may also view the Plan at the following locations:
 • Online at www.houston.gov
 • Main Public Library - 500 McKinney, 77002
 • Housing and Community Development Department - 601 Sawyer, Suite 400, 77007
 (Copies may be obtained at this location)

Aviso Público

El Departamento de Vivienda y Desarrollo Comunitario de la Ciudad de Houston (HCDD) por sus oficinas en inglés y español, así como el Departamento de Planificación y Desarrollo Comunitario de la Ciudad de Houston (DCD) por sus oficinas en inglés y español, solicitan a los propietarios de viviendas que deseen reparar o mejorar sus viviendas que envíen un formulario de solicitud de información sobre el programa de HCDD para reparaciones en el hogar y la pintura de casa.

Ubicación
 West End Multi-Services Center
 1701 West End Street, Houston, TX 77007
 5400 High Star Dr., Houston, TX 77074

Fecha
 Martes, 27 de marzo 2018 a las 6:00 pm
 Jueves, 29 de marzo 2018 a las 6:00 pm

Los propietarios de viviendas que deseen reparar o mejorar sus viviendas que envíen un formulario de solicitud de información sobre el programa de HCDD para reparaciones en el hogar y la pintura de casa. Los propietarios de viviendas que deseen reparar o mejorar sus viviendas que envíen un formulario de solicitud de información sobre el programa de HCDD para reparaciones en el hogar y la pintura de casa. Los propietarios de viviendas que deseen reparar o mejorar sus viviendas que envíen un formulario de solicitud de información sobre el programa de HCDD para reparaciones en el hogar y la pintura de casa.

Los propietarios de viviendas que deseen reparar o mejorar sus viviendas que envíen un formulario de solicitud de información sobre el programa de HCDD para reparaciones en el hogar y la pintura de casa. Los propietarios de viviendas que deseen reparar o mejorar sus viviendas que envíen un formulario de solicitud de información sobre el programa de HCDD para reparaciones en el hogar y la pintura de casa. Los propietarios de viviendas que deseen reparar o mejorar sus viviendas que envíen un formulario de solicitud de información sobre el programa de HCDD para reparaciones en el hogar y la pintura de casa.

| Programa | CDBG - \$1,869,500 | CDBG - \$1,869,500 | Porcentaje |
|--|--------------------|--------------------|------------|
| Miércoles y Facilidades Públicas | \$300,000 | \$300,000 | 1.7% |
| Servicios Sociales (Sin hogar, Servicio Social, Jóvenes, Necesidades Especiales, Adultos Mayores) | \$3,183,698 | \$3,183,698 | 14.5% |
| ESG Match | \$500,000 | \$500,000 | 2.2% |
| Reparación de Vivienda Unifamiliar | \$4,300,000 | \$4,300,000 | 19.6% |
| Desarrollo de Vivienda Unifamiliar | \$4,803,772 | \$4,803,772 | 21.9% |
| Asistencia para Propietarios de Vivienda | \$1,400,000 | \$1,400,000 | 6.4% |
| Desarrollo y Reparación de Hogares Multifamiliares | \$200,000 | \$200,000 | 0.9% |
| Desarrollo Económico | \$2,608,830 | \$2,608,830 | 11.9% |
| Aplicación del Código | \$4,392,200 | \$4,392,200 | 20.0% |
| Administración del Programa | | | |
| HOME - \$4,794,343 | | | |
| Administración de Vivienda Multifamiliar/Rehabilitación/ Nueva Construcción/Reubicación/Actividad de Entrega | \$4,959,999 | \$4,959,999 | 22.3% |
| Adquisición de Vivienda Unifamiliar/Reubicación/ Nueva Construcción/Reubicación/Actividad de Entrega | \$1,204,910 | \$1,204,910 | 5.4% |
| Administración del Programa | \$67,434 | \$67,434 | 0.3% |
| HOPWA - \$8,607,855 | | | |
| Gastos Operacionales | \$1,820,000 | \$1,820,000 | 21.0% |
| Servicios de Auxilio | \$2,500,000 | \$2,500,000 | 28.8% |
| Asistencia de Alquiler a Inquilinos o Propietarios | \$2,000,000 | \$2,000,000 | 23.0% |
| Asistencia a corto plazo, alquiler, hipoteca y servicios públicos | \$2,106,444 | \$2,106,444 | 24.2% |
| Administración del Donatario | \$266,611 | \$266,611 | 3.0% |
| ESG - \$1,873,290 | | | |
| Sistemas de Información y Administración de Personas Sin Hogar (HMIS por sus siglas en inglés) | \$79,200 | \$79,200 | 4.0% |
| Relogio de Emergencia | \$66,348 | \$66,348 | 3.3% |
| Prevención de Personas Sin Hogar | \$396,156 | \$396,156 | 20.1% |
| Reconstrucción Barrios de Vivienda | \$693,530 | \$693,530 | 35.1% |
| Administración | \$147,696 | \$147,696 | 7.5% |

El plan de acción sobre el hogar y la vivienda para el periodo de 30 días de comentarios desde el 13 de marzo al 2018 hasta el 20 de marzo de 2018. El plan de acción sobre el hogar y la vivienda para el periodo de 30 días de comentarios desde el 13 de marzo al 2018 hasta el 20 de marzo de 2018. El plan de acción sobre el hogar y la vivienda para el periodo de 30 días de comentarios desde el 13 de marzo al 2018 hasta el 20 de marzo de 2018.

Puede ver el plan en las siguientes ubicaciones:
 • En línea en www.houston.gov/560/McClintey/77002
 • Departamento de Vivienda y Desarrollo Comunitario - 601 Sawyer, Suite 400, Houston, TX 77007
 • Departamento de Vivienda y Desarrollo Comunitario - 601 Sawyer, Suite 400, Houston, TX 77007
 (se pueden obtener copias en cada lugar)

TOURS • MARCH 12 - 18, 2018

6 African American News & Issues

PUBLIC NOTICE

Public Notice

The City of Houston's Housing and Community Development Department (HCCDD) invites you to attend its Spring Open House and Public Hearing for the 2018 Annual Action Plan, give input on budget priorities, and learn about HCCDD's programs for home repairs and tenant buyout.

| Location | Time |
|--|-------------------------------------|
| West End Multi-Service Center 700 Heights Blvd, Houston, TX 77007 | Tuesday, March 27, 2018 at 6:00 pm |
| 6400 High St. Dr, Houston, TX 77074 | Thursday, March 29, 2018 at 6:00 pm |

Both venues are accessible for persons with disabilities. For additional information or to request special arrangements at the public hearing, (interpreter, captioning, etc.), please contact the City of Houston at 832.394.6156 or info@houston.gov. For specific questions or concerns about the housing or landlord/tenant relations, please contact Royce Self, at 832.394.6240.

The 2018 Annual Action Plan (Plan) is the City of Houston's (City) application to the U.S. Department of Housing and Urban Development (HUD) for the following four entitlement grants: Community Development Block Grant (CDBG), HOME Investment Partnerships Program (HOME), Project Rental Assistance (PRA), and Emergency Solutions Grant (ESG). The Plan estimates that community development activities for the 2018 Plan will be funded by the City for housing and community development activities for the 2018 Plan. The following table shows the proposed budgeted activities. HUD has not yet announced allocations for the 2018 Plan. The percentages listed below estimate the allocation amounts, dollar amounts will be adjusted according to the percentages listed below:

| | CDBG - \$21,949,509 | HOME - \$6,793,143 |
|--|---------------------|--------------------|
| Public Facilities and Improvements | \$300,000 | 1.7% |
| Public Services (Homeless, Social Services, Youth, Special Needs, Elderly) | \$3,100,000 | 14.1% |
| ESG March | \$500,000 | 2.3% |
| ESG April | \$500,000 | 2.3% |
| Single Family Home Repair | \$4,300,000 | 19.6% |
| Single Family Home Development | \$4,803,772 | 21.9% |
| Homeownership Assistance | \$1,400,000 | 6.4% |
| Multifamily Home Repair and Development | \$200,000 | 0.9% |
| Emergency Shelter | \$200,000 | 0.9% |
| Code Enforcement | \$2,608,830 | 11.9% |
| Program Administration | \$4,300,200 | 20.0% |
| Multifamily Acquisition/Rehabilitation/New Construction/Relocation/Activity Delivery | \$4,909,999 | 22.3% |
| Single Family Acquisition/Rehabilitation/New Construction/Relocation/Activity Delivery | \$1,204,910 | 17.7% |
| Program Administration | \$679,434 | 10.0% |
| HOPWA - \$8,687,855 | | |
| Operating Costs | \$1,820,000 | 21.0% |
| Supportive Services | \$5,200,000 | 60.0% |
| Project or Tenant-Based Rental Assistance | \$2,000,000 | 23.0% |
| Short-Term, Rent, Mortgage & Utility Assistance | \$2,106,444 | 24.2% |
| Grantee Administration | \$260,611 | 3.0% |
| ESG - \$1,973,239 | | |
| Homeless Management Information Systems (HMIS) | \$70,200 | 3.6% |
| Emergency Shelter | \$65,500 | 3.3% |
| Homeless Prevention | \$196,100 | 10.0% |
| Rapid Re-Housing | \$693,500 | 35.1% |
| Administration | \$147,900 | 7.5% |

You may comment on the Plan during the 30-day comment period from March 13, 2018 to April 12, 2018. The Plan and comments will be available in an accessible format upon request. Comments will be accepted at the Open House and Public Hearing for the 2018 Annual Action Plan, and at the City of Houston Housing and Community Development Department, 601 Sawyer, Suite 400, Houston, TX 77002. Also, Patricia Wajsbart, or by email to Patricia.Wajsbart@houston.gov.

You can view the Plan at the following locations:

- Online at www.houston.gov/hccdd
- Public Library - 500 McGowen, 77002
- Housing and Community Development Department - 601 Sawyer, Suite 400, 77002 (copies may be obtained at this location)

Friday, March 16, 2018

Southern Make Today Different
DAILY



832-395-9653

公告

請加入休斯頓市住房和社區發展部 (HCDD) 春季開放日和公眾聽證會，內容包括審核2018年年度行動計劃，反饋預算意見和通知有關住房和社區發展部關於房屋維修和買賣的項目。

春季開放日和公眾聽證會時間安排如下：

| 地址 | 時間 |
|---|----------------------------|
| West End Multi-Service Center 170 Heights Blvd, Houston, TX 77007 | 2018 年三月 27 日, 星期二, 下午 6 點 |
| Southwest Multi-Service Center 6400 High Star Dr, Houston, TX 77074 | 2018 年三月 29 日, 星期四, 下午 6 點 |

這兩個場地都可供殘障人士使用。查詢更多信息，或需要在聽證會上作出特別安排（口譯員，聽力障礙者的說明，手語或其他）請撥打電話832.394.6156或發電郵至fatima.wajahat@houstontx.gov。有關公平住房或房東/租客關係的具體問題或疑慮，請致電832.394.6240與Royce Sells聯繫。

詳情亦可登錄網址查看：www.houstontx.gov/housing

2018 年年度改善計劃是休斯頓市向美國住房和城市发展局提出的以下四個专项拨款申请：社区发展大宗拨款；房屋投资合作拨款；艾滋病患者住房机会拨款；应急拨款。

这项目计划预算包括\$39,172,745 的联邦资金拨款和\$251,443 的项目收入。都将用于 2018 年项目年度(July 1, 2018 – June 30, 2019)城市住房和城市发展计划。

以下的表格显示各项预算情况，美国住房和城市发展局尚未对 2018 年度项目资金拨款进行分配，一旦宣布，资金分配数量将根据以下表格进行调整：

| 社区发展大宗拨款 - \$21,969,500 | |
|---|-------------------|
| 公共设施和改善 | \$380,000 1.7% |
| 公共服务 (包括无家可归人员, 社会福利服务, 未成年人, 特别照顾, 年长居民) | \$3,183,698 14.5% |
| 应急拨款投入 | \$500,000 2.2% |
| 独立家庭房屋修缮 | \$4,300,000 19.6% |
| 独立家庭屋开发 | \$4,803,772 21.9% |
| 拥有住房辅助 | \$1,400,000 6.4% |
| 多家庭住房修缮以及开发 | \$200,000 0.9% |
| 经济开发投入 | \$200,000 0.9% |
| 法律援助 | \$2,608,830 11.9% |
| 项目管理 | \$4,393,200 20.0% |

| 房屋 - \$6,794,343 | |
|------------------|-------------------|
| 多家庭房屋收购/新建/安置/交付 | \$4,909,999 72.3% |
| 独立屋收购/新建/安置/交付 | \$1,204,910 17.7% |
| 项目管理 | \$679,434 10.0% |

| 艾滋病患者住房机会拨款 - \$8,687,055 | |
|---------------------------|-------------------|
| 运作成本 | \$1,820,000 21.0% |
| 支持服务 | \$2,500,000 28.8% |
| 项目或者租客租房辅助 | \$2,000,000 23.0% |
| 短期, 租房, 贷款及水电辅助 | \$2,106,444 24.2% |
| 被拨款方的资金管理 | \$260,611 3.0% |

| 应急拨款 - \$1,973,290 | |
|--------------------|-----------------|
| 无家可归人员管理信息系统 | \$79,200 4.0% |
| 紧急庇护所 | \$656,368 33.3% |
| 无家可归的预防 | \$396,196 20.1% |
| 快速安置住房 | \$693,530 35.1% |
| 行政管理 | \$147,996 7.5% |

你可以在2018年3月13日至4月12日期间对此项计划发表意见和评论，并接受各种询问。公期日和公众听证会都接受各种意见和评论。你可以直接寄信至以下地址：

City of Houston, Housing and Community Development Department,
601 Sawyer, Suite 400,
Houston, TX 77007.
Attn: Fatima Wajahat,

或者电子邮件至

Fatima.wajahat@houstontx.gov.

你也可以到以下地址对此项计划进行了解：

- 上網www.houstontx.gov/housing
- 公眾圖書館 — 500 McKinney, 77002
- 住房和社區發展局 — 601 Sawyer, Suite 400, 77007

685- CITY OF HOUSTON-HOUSING & COMMUNITY_C.B.80

Houston Forward Times March 14 - 20, 2018

PUBLIC NOTICE

The City of Houston's Housing and Community Development Department (HCDD) invites you to attend its Open House and Public Hearings to review and comment on the 2018 Draft Annual Action Plan, give input on budget priorities, and learn about HCDD's programs for home repairs and home buying.

Word East Main Service Center
 170 Heights Blvd., Houston, TX 77027
 6000 West Loop South, Houston, TX 77024
 Tuesday, March 27, 2018 at 6:00 pm
 Thursday, March 29, 2018 at 6:00 pm

Both venues are accessible for persons with disabilities. For additional information on to request special arrangements at the public hearings (interpreter, captioning for the hearing impaired, sign language, etc.), please contact Royce Sells at 832.394.6240. For specific questions or concerns about fair housing or landlord/tenant relations, please contact Royce Sells at 832.394.6240.

The 2018 Annual Action Plan (Plan) is the City of Houston's (City) application to the U.S. Department of Housing and Urban Development (HUD) for the following four entitlement grants: Community Development Block Grant (CDBG), HOME Investment Partnership Grant (HOME), Housing Opportunities for Persons with AIDS Grant (HOPWA), and Emergency Solutions Grant (ESG). The Plan estimates that \$39,172,745 in federal grants and \$251,443 in program income will be available to the City for housing and community development activities for the 2018 Program Year (July 1, 2018 - June 30, 2019). The City has not yet announced allocations for the 2018 Program Year. When HUD announces the allocation amounts, dollar amounts will be adjusted according to the percentages listed below:

| Program | Amount | Percentage |
|--|-------------|------------|
| Public Facilities and Improvements | \$380,000 | 1.7% |
| Public Services (Domestic, Social Services, Youth, Special Needs, Elderly) | \$1,182,698 | 4.5% |
| Public Housing | \$500,000 | 2.2% |
| Single Family Home Repair | \$1,200,000 | 19.6% |
| Single Family Home Development | \$1,400,000 | 21.9% |
| Homeownership Assistance | \$200,000 | 0.9% |
| Multifamily Home Repair and Development | \$200,000 | 0.9% |
| Economic Development | \$2,608,820 | 11.9% |
| Code Enforcement | \$4,373,200 | 20.0% |
| Program Administration | | |
| HOME - \$6,794,343 | | |
| Multifamily Acquisition/Rehabilitation/New Construction | \$4,900,999 | 72.3% |
| Single Family Acquisition/Rehabilitation/New Construction | \$1,204,910 | 17.7% |
| Program Administration | \$878,434 | 10.0% |
| HOPWA - \$8,607,685 | | |
| Operating Costs | \$1,820,000 | 21.0% |
| Supplies & Services | \$2,500,000 | 28.8% |
| Professional Fees | \$2,000,000 | 23.0% |
| Short-Term Rent/Mortgage & Utility Assistance | \$1,088,444 | 12.5% |
| Grant Administration | \$209,241 | 2.4% |
| ESG - \$1,973,230 | | |
| Housing Management Information Systems (HMIS) | \$29,250 | 1.5% |
| Emergency Shelter | \$65,348 | 3.3% |
| Homeless Prevention | \$396,186 | 20.1% |
| Rapid Re-Housing | \$693,150 | 35.1% |
| Outreach | \$147,896 | 7.5% |

You may comment on the Plan during the 30-day comment period from March 13, 2018 to April 12, 2018. The Plan shall be made available in an accessible format upon request. Comments may be accepted at the Open House and Public Hearings or by mail to: City of Houston, Housing and Community Development Department, 601 Sawyer, Suite 400, Houston, TX 77007. Attn: Fatima Wejihat, or by email to Fatima.wejihat@houstontx.gov.

You can view the Plan at the following locations:

- Online at www.houstontx.gov/housing
- Main Public Library - 500 McKinney, 77002
- Housing and Community Development Department - 601 Sawyer, Suite 400, 77007 (copies may be obtained at this location)

Appendix # 7: Public Comment Summaries

Summary of the Fall Public Hearings

In conformity with the Citizen Participation Plan (CPP), the Housing and Community Development Department (HCDD) held two public hearings in the fall of 2017 in support of the development of the 2018 Annual Action Plan. The public hearings had an open house format. There were information/exhibit tables for various HCDD programs, such as the Homebuyer Assistance Program and Home Repair Program, where attendees engaged with staff to learn about these programs, and obtain written material and guidance. In support of HCDD's fair housing education efforts, fair housing information was made available on an information/exhibit table. The attendees also partook in roundtable discussions where HCDD staff sought their opinion on affordable housing locations in Houston. Specifically, the moderator of the discussion asked the attendees to place sticker dots on a map of the city to show where they would like to see construction and rehabilitation of single family homes as well as multifamily units. A notetaker took down notes to ensure that attendees' opinions and input were captured.

HCDD implemented a broad outreach campaign to promote public participation in the development of the 2018 Annual Action Plan. There was a concerted effort to reach out to a diverse population, including minorities, non-English speaking persons, persons with disabilities and persons with special needs.

Public notices were published in the *Houston Chronicle*, *La Voz de Houston*, *African American News & Issues*, *VietNam Moi News*, *Chinese Daily*, and *Houston Forward Times*. The public hearings were also announced on HCDD's social media and its website at www.houstontx.gov/housing. Social media announcements included posts on HCDD's Facebook and Twitter pages and its Nextdoor account. Flyers publicizing the public hearings were sent electronically to over 900 email addresses of stakeholders and interested residents, as well as to city council members' offices. A message publicizing the public hearings was published in CitizensNet, a City of Houston e-newsletter, that reached over 100,000 emails. Posters publicizing the public hearings were also put up at the venues several days in advance.

The fall public hearings were held on Thursday, November 30, 2017 at the Third Ward Multi-Service Center from 6:00 p.m. to 8:00 p.m. and Thursday, December 7, 2017 at Kashmere Multi-Service Center from 6:00 p.m. to 8:00 p.m. Both public hearing venues were easily accessible for persons traveling on public transportation. The venues also provided interior and exterior accessibility features for persons with a physical disability. CART services for the hearing impaired as well as a Spanish interpreter were made available. In all advertising materials for the public hearings, HCDD publicized that accommodations (i.e. interpreter, sign language, or other accommodations) were available with prior notice. Also, the advertising materials listed HCDD's Fair Housing Hotline as a resource for landlord/tenant or fair housing questions.

Approximately 37 people attended the two fall public hearings, 15 people offered public comments, and 4 people offered written comments regarding the information presented. Each attendee received an agenda and HCDD's Public Hearing Guidelines.

Summary of Public Comments (November 30, 2017):

1. The first commenter, a United States Army veteran, is part of the military veteran network, who house homeless veterans, and currently are housing a lot of veteran homeless women with children. She emphasized the need to provide transitional housing to persons with criminal backgrounds and felonies, who are unable to pass credit checks and, are, therefore, denied access to various types of housing. She also emphasized the need to provide improved education to single parents, so that they can achieve stability in a home through increased salaries.
Response: HCDD appreciates the commenter's work in the community and will take these recommendations under consideration.
2. The second commenter is a resident of Fourth Ward, Houston. She was concerned about HUD freezing grants to the City because of alleged racist housing practices or policies. She also was very concerned about property

tax increase for low-income residents with smaller houses when large homes were built in the neighborhood. She wanted policies by FEMA and other agencies on home repair after flooding to be relaxed so that more people can get their homes repaired after Hurricane Harvey. She said that not for profit housing is a potential solution along with affordable multifamily housing in the inner city for low income residents.

Response: HCDD's Federal grants have not been frozen by HUD. HCDD does not have the authority to relax FEMA regulations but strives to address needed home repairs for low- and moderate-income Houstonians. HCDD appreciates the comments and will continue to work to preserve and create affordable homes in Houston. For more information about the Home Repair Program, residents may call HCDD at 832.394.6200 or visit: http://www.houstontx.gov/housing/home_repair_programs.html

3. The third commenter is on the advisory committee for community development at HCDD and runs a facility that houses 500 homeless families in the Third Ward. He was concerned about the increase in homelessness after Hurricane Harvey, and about housing the homeless veterans in Houston. He talked about the need to develop the workforce in the city because workers cannot afford housing and rents are too high for workers, such as a school teacher, to afford.

Response: HCDD appreciates the work that the commenter does to assist homeless families in the Third Ward. HCDD continues to partner with the Continuum of Care to ensure that homeless individuals have housing options. HCDD's Say Yes! campaign promotes quality homes for all incomes, in all areas. Please visit the following website to learn more about ways to promote affordable homes, including workforce housing, in your neighborhood: <http://www.houstontx.gov/housing/SayYes/>

4. The fourth commenter talked about the need to improve the quality of life where quality of life is defined as the standard of health, comfort and happiness experienced by an individual or group. New home construction improves health because people are safe from the elements. He also mentioned that decent housing contributes to increased satisfaction in life.

Response: HCDD appreciates the comments and recommendations.

5. The fifth commenter is a school teacher. Her comment narrated the hardships she has faced after her home flooded during Hurricane Harvey. She slept in her car for two months and used her savings for students who were also facing hardship. She mentioned that FEMA has not helped her with rental assistance and does not have affordable housing and she cannot access social security.

Response: HCDD appreciates the commenter's time and comments. There are several Neighborhood Restoration Centers available in neighborhoods throughout the City, which have a variety of resources available to aid those affected by Hurricane Harvey: <https://houstonrecovers.org/neighborhood-restoration-center/>

6. The sixth commenter works for the City of Houston. She spoke about a lack of resources to repair her house after Hurricane Harvey flooding. She said her house is in a state of extreme disrepair and FEMA has declined her application. She emphasized the need for the City of Houston to help employees who have damaged homes, especially those who make a little over the cut-off point of \$40,000 at which the City is offering help.

Response: HCDD appreciates the comments. The Home Repair Program is available to income eligible applicants. More information can be found about the most recent income limits by calling HCDD at 832.394.6200 or visiting: http://www.houstontx.gov/housing/home_repair_programs.html.

7. The seventh commenter expressed concern about communities undergoing renewal, where people are not homeowners. He said that newer development causes landlords to sell properties at higher prices and renters lose access to homes that were previously affordable. He emphasized the need to preserve affordable housing in communities undergoing gentrification.

Response: HCDD appreciates the comments and looks forward to partnering with other agencies and neighborhood groups to find innovative ways to reduce displacement.

Summary of Public Comments (December 7, 2017)

1. The first commenter is a resident of Fifth Ward who recently moved there from the suburbs. He said that he was raised in the Fifth Ward and has now moved back to the neighborhood but is very concerned about the development in the area leading to increased property taxes. He said that residents who have been living there for generations and have paid off the mortgages on their homes are not seeing higher property taxes and want to see a legislation that will help people remain in their homes and not get “taxed out” in the upcoming years.
Response: HCDD appreciates the comments and looks forward to partnering with other agencies and neighborhood groups to find innovative ways to reduce displacement.

2. The second commenter is associated with an organization involved with assisting persons with intellectual and physical disabilities. She highlighted the work her organization did during Hurricane Harvey. They became a job site for families with special needs, serving over a hundred families with special needs. They collaborated with other organizations to provide toiletries, clothing and food to flood survivors and first responders. She thanked everyone, including HCDD, for believing their program and providing support.
Response: HCDD appreciates the comments and looks forward to partnering in the future.

3. The third commenter is a member of the organization that provides employment to intellectually disabled persons. He narrated his experience of being able to assist Harvey survivors and first responders after flooding from Harvey occurred.
Response: HCDD appreciates the comments and thanks the commenter for their service to the Houston community.

4. The fourth commenter is the Executive Director of a community development financial institution that helps neighborhoods develop affordable housing by providing funding, expertise, training and support. She outlined the programs they have supporting community development corporations and other place-based initiatives that help people build assets. She thanked HCDD for the opportunity to provide input on the Action Plan and the work it did during and after Hurricane Harvey. She emphasized the exacerbated need for affordable housing after Harvey and the need for a housing plan that sets priorities by geography and housing type and can be a guide on how federal grants and local housing funds can be spent to create affordable housing and homeownership opportunities. She also encouraged HCDD to create housing opportunities near transit and to build capacity of community development organizations that provide housing for communities.
Response: HCDD appreciates the comments and looks forward to partnering in the future.

5. The fifth commenter spoke about the need for legislation to protect heirs from being “out-taxed” when they became owners of the properties they inherit. She strongly emphasized the need to protect residents who have lived in communities for generations from being forced out of their homes due to higher property taxes resulting from new development. She stressed the need to protect communities and neighborhoods from gentrification and to address infrastructure blight.
Response: HCDD appreciates the comments and looks forward to partnering with other agencies and neighborhood groups to find innovative ways to reduce displacement.

6. The sixth commenter is a resident of the Galleria Area and mentioned the need strengthen communities and supporting one another, and emphasized the role of love and respect in building better communities.
Response: HCDD appreciates the comments.

7. The seventh commenter works for the information and referral service for Houston Center for Intendent Living. She talked about her passion for working with people with disabilities and working towards ensuring that apartment buildings are accessible for persons with physical disabilities. She mentioned the vulnerability of

people who need assistance in being mobile and the need for better security measures to keep them safe in public spaces and increase their access to recreational centers and places like movie theatres.

Response: HCDD appreciates the comments and thanks the commenter for their service to the Houston community and furthering the housing choice for persons with disabilities.

8. The eighth commenter talked about the resilience of the City of Houston and Harris County in coping with the aftermath of Hurricane Harvey and the need to ensure that vulnerable communities are protected. He also stressed the importance of sustainable communities and to make sustainability a long-term goal.

Response: HCDD appreciates the comments and will take them under consideration.

Summary of the Spring Public Hearings

In conformity with the Citizen Participation Plan (CPP), and in support of the development of the 2018 Annual Action Plan, HCDD held two public hearings in the Spring of 2018. The public hearings had an open house format, including information/exhibit tables for various HCDD programs, such as the Homebuyer Assistance Program and Home Repair Program. Attendees engaged with staff to learn about HCDD's programs, and obtain written material and guidance. In support of HCDD's fair housing education efforts, fair housing information was made available on an information/exhibit table. The attendees also took part in a small group discussion led by HCDD staff about prioritizing housing and community development programs. Each discussion group allocated a finite funding amount to various entitlement eligible housing and non-housing programs and discussed their decisions about community needs and priorities with one another. Staff moderators helped guide discussion and answer any questions within the groups. One attendee from each discussion group presented their mock budget to the room at the end of the exercise. Overall, participants prioritized non-housing programs, such as public services, public facilities and economic development over housing programs in their mock budgets. Within housing programs, single family home repair was allocated more money than other programs by most participants followed by multifamily home repair. The most common reasons given for these priorities was that communities needed economic development and public services to find decent housing and use housing programs. Home repair was prioritized to help with Hurricane Harvey recovery.

HCDD implemented a broad outreach campaign to promote public participation for the spring public hearings and open houses. There was a concerted effort to reach out to a diverse population, including minorities, non-English speaking persons, persons with disabilities and persons with special needs. Public notices were published in the *Houston Chronicle*, *La Voz de Houston*, *African American News & Issues*, *VietNam Moi News*, *Chinese Daily*, and *Houston Forward Times*. The public hearings were also announced on HCDD's social media and its website at www.houstontx.gov/housing. Social media announcements included posts on HCDD's Facebook and Twitter pages and its Nextdoor account. Flyers publicizing the public hearings were sent electronically to over 51 email addresses of stakeholders and interested residents, as well as to city council members' offices. Posters publicizing the public hearings were also put up at the venues several days in advance.

The spring public hearings were held on Tuesday, March 27, 2018 at the West End Multi-Service Center from 6:00 p.m. to 8:00 p.m. and Thursday, March 29, 2018 at the Southwest Multi-Service Center from 6:00 p.m. to 8:00 p.m. Both public hearing venues were easily accessible for persons traveling on public transportation. The venues also provided interior and exterior accessibility features for persons with a physical disability. CART services for the hearing impaired as well as a Spanish interpreter were made available. In all advertising materials for the public hearings, HCDD publicized that accommodations (i.e. interpreter, sign language, or other accommodations) were available with prior notice. Also, the advertising materials listed HCDD's Fair Housing Hotline as a resource for landlord/tenant or fair housing questions.

Approximately 22 people attended the two spring public hearings, 6 people offered public comments. Each attendee received an agenda and HCDD's Public Hearing Guidelines. There were no public comments given at the public hearing on March 27. A summary of public comments from March 29, 2018 is in the following section.

Summary of Public Comments (March 29, 2018):

1. The first speaker is a resident of Sharpstown. He emphasized the need for mixed income housing that allows children and families to be exposed to more opportunities and people from varying economic backgrounds. He said that all subsidized housing should not be located in one area only but spread out in various parts of the city. **Response:** HCDD appreciates the comment and is committed to partnering with developers on affordable housing developments that create mixed income communities. Over the past year, HCDD has carried out a public information campaign entitled, "Can I Be Your Neighbor?" to promote quality homes for all incomes, in all

areas. To learn more about the campaign and ways to promote affordable homes in your area, please visit: <http://www.houstontx.gov/housing/SayYes/>.

2. The second speaker is the executive director at the Houston area LISC, a national community development financial institution. She expressed appreciation for the City of Houston providing funding in Complete Communities and looked forward to collaborating with the City to leverage federal funds in LISC's community projects. She urged the City to take into consideration the Fifth Ward, Kasheme Gardens, Trinity Gardens, Edgebrook and East Houston neighborhoods that have been hit hard by Hurricane Harvey while planning for future projects. She emphasized the need for more multifamily development since more than half of Houston residents are renters. The speaker also urged the City to invest in transit-oriented development and community based organizations.

Response: HCDD appreciates the comment. As the City plans for initiating programs using CDBG Disaster Recovery funding, low-income communities affected by Harvey will be taken into consideration. The City plans to continue to prioritize transit oriented development in its multifamily Request For Proposals and looks forward to expanding its support of community based organizations in the future.

3. The third speaker is a graduate student at Texas Southern University (TSU). He stressed the need to change perceptions and culture in Texas related to accessibility of public transit. He mentioned that urban planning ideas from other parts of the country can be applied in Texas but requires a change in perception.

Response: HCDD appreciates the comment. The City plans to continue to prioritize transit oriented development in its multifamily RFP and to work with METRO on various future initiatives, as needed.

4. The fourth speaker is a professor of urban planning at Texas Southern University. She suggested that the City utilize research from academic institutions such as TSU, Rice University and University of Houston to create awareness about affirmatively furthering fair housing. She emphasized the need to spread awareness about affordable housing and informing people that property values do not go down in a stable community if affordable housing is built there.

Response: HCDD looks forward to continuing and expanding partnerships with local universities. HCDD addresses fair housing education in various ways. It provides fair housing training for staff and subrecipient agencies, as well as, carries out a variety of fair housing outreach. NIMBYism is one impediment to fair housing choice in Houston, and HCDD has implemented a public information campaign entitled, "Can I Be Your Neighbor?" to promote quality homes for all incomes, in all areas. To learn more about the campaign and to promote affordable homes in your area, please visit: <http://www.houstontx.gov/housing/SayYes/>.

5. The fifth speaker commented on the need for public services such as employment training and youth services in the community.

Response: HCDD has allocated CDBG public service funds fully, up to the 16.77% public services cap, including employment training and youth services. HCDD looks forward to continuing to fund employment training and youth services. In the past, HCDD has funded the following with CDBG funds: the Mayor's After-School Achievement Program, the Youth Enrichment Program, a Child Care Program run by the Child Care Council, and employment programs through the Village Learning Center, Capital IDEA, and Goodwill Industries, Inc.

6. The sixth speaker is a graduate student at TSU and emphasized the importance of green infrastructure, climate resilience and climate awareness. He also expressed the need for creating awareness in the community on climate change and environmental sustainability.

Response: HCDD appreciates the comments and will take these comments under consideration.

Appendix # 8: Written Comments and Responses

February 23, 2018

Tom McCasland
Director, Housing and Community Development Department
City of Houston
601 Sawyer Street, Suite 400
Houston, TX 77007

Dear Mr. McCasland,

**City of Houston 2018 Annual Action Plan Public Comments
Houston Housing Working Group (HHWG)**

HHWG appreciates the opportunity to provide comments to assist in the process for developing the 2018 Annual Action Plan, representing the fourth year of the 2015-2019 Consolidated Plan which will serve as an official application for HUD entitlement grants and will propose programs and services to be funded from July 1, 2018 through June 30, 2019.

HHWG is a stakeholder group of individuals and organizations (both business entities and non-profit groups) formed to help communities, the public and private sectors join together for development, adoption and implementation of a cohesive, comprehensive and equitable housing policy framework. To advance its purpose, on May 12, 2017, HHWG sent a letter to Tom McCasland, Director of the Housing and Community Development Department (HCDD) of the City of Houston (City) providing a Summary of Recommended Housing Principles necessary for a successful Comprehensive Housing Plan for Houston.

Significant housing needs in Houston documented in the 2015-2019 Consolidated Plan include data reflecting large numbers of owners and renters paying half or more of their gross monthly incomes for housing costs. After 2015, even before Hurricane Harvey, the cost of rental units had significantly increased, as noted in the 2016 Consolidated Annual Performance and Evaluation Report to explain why certain goals might require adjustment because funding would not cover the projected number of rental units for some programs. Construction costs for repair and rehabilitation of existing affordable housing, and for construction of new housing also increased in recent years. Hurricane Harvey added to those costs while diminishing the supply of affordable housing.

In formulating its 2018 Annual Action Plan, the City should engage with citizens and stakeholders to help set goals that will guide allocation of federal entitlement funds for the year. Input received by the City regarding priorities for the 2018 Annual Action Plan should also inform the City's work towards a Comprehensive Housing Plan. With the needs being great, when allocations of federal funds can be combined with other sources of funds, or with municipal actions described in the Incentives section of HHWG's Summary of Recommended Housing Principles, the City will improve its progress in Action Plan goals. Whenever possible, rather than looking at federal funding sources in isolation, HHWG urges the City to coordinate housing development with other significant public infrastructure investment, including transportation. The

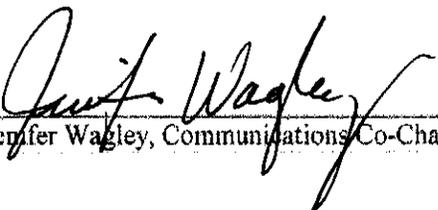
City should “silo-bust” both internally among City departments and externally in relationships with other governmental bodies, businesses and communities.

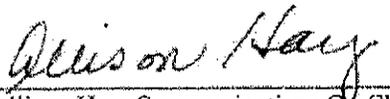
Among the goals stated in HHWG’s Summary of Recommended Housing Principles are the goals of providing and preserving a variety of housing options across the City, and HHWC urges the City to fund the full range of affordable housing options. In June of 2017, HHWG provided Mr. McCasland with suggested metrics for consideration in guiding support and development of affordable homeownership and affordable rental housing, including affordability period requirements. HHWG supports the City’s long-term housing affordability efforts which will be strengthened by inclusion of affordability preservation among its Annual Action Plan goals. HHWG’s comments include the attached affordable housing definition from June of 2017 and a recently updated version of the Summary of Recommended Housing Principles.

Both short and long term planning are essential to preserving and expanding Houston’s supply of affordable housing. As part of its analysis for the Annual Action Plan, the city is assembling data describing housing needs and housing options across the City and should communicate this data using maps, tables, graphics and summaries. Incorporating and building on this data and these communication tools should be part of the comprehensive analysis needed as a key step in HCDD’s development of a Comprehensive Housing Plan. Such a Comprehensive Housing Plan can go beyond the limitations of the 2018 Annual Action Plan and the 2015-2019 Consolidated Plan to be the needed North Star guiding the City, residents, community organizations and businesses in short and long term planning. Thank you for considering HHWG’s broad, long-term perspective expressed in these 2018 Annual Action Plan comments from HHWG.

Sincerely,

Houston Housing Working Group


Jennifer Wagley, Communications Co-Chair


Allison Hay, Communications Co-Chair

Definition of Affordable Housing - Houston, TX

Presented By: Houston Housing Working Group

June 2017

A 'definition of affordability' is central to setting affordable housing parameters for Houston and the region. These parameters should be used to identify those segments of the population in need of housing assistance, and to allocate resources to meet those housing needs accordingly. The definition of affordability should be tied to specific financial and equity metrics.

Affordable Housing is defined as housing targeting those residents whose income places them at or below 120%^[1] MFI (Median Family Income) for homeownership, and below 80% MFI for rental housing. Affordable housing should also mean that residents pay no more than 30% of their income on housing expenses: [Renters includes rent and utilities; Homeowners includes PITI (principal, interest, taxes and insurance)].

HOMEOWNERSHIP AFFORDABILITY STANDARDS

- Target Population: Residents whose income are less than or equal to 120% of the area Median Family Income
- Affordability Period for subsidized units: the greater of 30 years or the life of the mortgage loan; applies to initial owner and is transferred to subsequent owners for the duration of the affordability period

RENTAL HOUSING AFFORDABILITY STANDARDS

- Target Population: Residents with family income less than or equal to 80% of the area's Median Family Income
- Affordability Period for LIHTC, HOME, CDBG and other federal or state funded projects: standards will conform to those defined by the respective financing source restrictions, but at a minimum affordability threshold must be 30 to 40 years for the subsidized units. For local housing dollars, such as TIRZ, a minimum 40-year affordability period shall be required, limited to the subsidized units.

The aforementioned affordability guidelines are the foundational basis to ultimately guide development of a set of funding metrics, which would include other criteria such as apportionment, equity, burn-off, and subsidy thresholds. Beyond establishing this initial definition of affordability, the City of Houston will need to engage in an annual exercise of updating the definition of affordability to cope with changes and shifts in the housing market. The Housing Working Group intends to put forward further recommendations regarding funding metrics, and to support the City's effort in adopting a comprehensive housing plan and its long-term efforts on housing affordability.

[1] Utilizing the HUD definition of MFI for Houston-The Woodlands-Sugar Land, TX HUD Metro FMR Area. (MFI is interchangeable with AMI) <https://www.huduser.gov/portal/datasets/il/1/2016/2016summary.cdf>

Houston Housing Working Group Summary of Recommended Housing Principles

The following items were identified as recommended principles for Comprehensive Housing and Community Development Planning.

- **STRATEGIES, GOALS, AND PRIORITIES**
- **AFFORDABILITY ACROSS INCOME GROUPS**
- **QUANTIFYING HOUSING NEEDS BY HOUSING TYPE**
- **HOUSING BY GEOGRAPHY**
- **KEY PARTNERS AND STAKEHOLDERS**
- **SOURCES OF FUNDING**
- **HOUSING AS AN ECONOMIC DRIVER**
- **INCENTIVES**
- **COMMUNITY ENGAGEMENT**
- **AFFIRMATIVELY FURTHERING FAIR HOUSING**
- **PROCESS and GOVERNANCE COORDINATION**
- **DESIGN and CONSTRUCTION GUIDELINES**

1. STRATEGIES, GOALS, AND PRIORITIES

The Houston Housing Plan should articulate strategies, goals, and priorities that will ensure a range of affordable housing options is available across Houston, with special attention paid to our low-income and working class families. The Plan should set a minimum standard that requires developments receiving local funding deliver a set percentage of units targeting those with incomes at or below 50% of the area median income. The Plan should establish a process to regularly report plan implementation progress to the public and elected officials; a process to be followed after adoption of the Plan. The Plan should include benchmarks and indicators to monitor progress in implementing plan goals and objectives. These benchmarks are to be reviewed and reported on at minimum on an annual basis. The Plan should outline a process for adjustment if conditions change or targets are not met. These goals should be transparent and clearly communicate Houston's annual budgeting process, funding categories, and awarding of funds.

2. AFFORDABILITY ACROSS INCOME GROUPS

The definition of affordability sets affordable housing parameters to provide a guide that assists with allocating resources to meet those needs. Affordable Housing is defined as housing targeting those residents whose income places them at or below 120%¹ AMI/MFI (Area Median Income/Median Family Income), for both homeownership and rental housing. Residents should pay no more than 30% of their income on housing expenses [renters: includes rent and utilities; homeowners: includes PITI (principal, interest, taxes and insurance)].² The Housing Plan should specifically address and direct resources towards housing for the most deeply marginalized and extremely low-income residents at greatest risk of homelessness. The Housing Plan should include a funding allocation plan that features a set-aside for deeply affordable Permanent Supportive Housing.

3. QUANTIFYING HOUSING NEEDS BY HOUSING TYPE

The Housing Plan should identify what the housing needs are by type and at varying income levels along the low-to-moderate income spectrum up to just above the area median income. These needs may include but are not limited to:

- Owner Occupied – Single Family, Condominium, Townhomes
- Rental – Single Family
- Multi Family
 - Family Housing up to 120% AMI; Senior Housing up to 120% AMI
 - Homeless and Permanent Supportive Housing
 - Market Rate Housing

¹ Utilizing the HUD definition of MFI for Houston-The Woodlands-Sugar Land, TX HUD Metro FMR Area <https://www.huduser.gov/portal/datasets/il/il2015/2016summary.odn>

² Note there are no measurable differences when compared with the Area Median Income (AMI) definition. However, MFI is being referenced as it is the HUD standard nomenclature when outlining income limits.

- Naturally Occurring Affordable Housing (NOAH) described as affordable rental or owner-occupied multifamily units and single family homes that are not attached to a subsidy, but are affordable because of condition, age, or geographic location.
- A needs assessment and market study should be updated annually or biennially to determine if housing needs have shifted

4. HOUSING NEEDS BY LOCALITY AND PROMOTING HOUSING OPPORTUNITY

Housing needs throughout Houston will differ based on geography and other factors, and a principal objective of the Plan has to be provision of a variety of housing options across the City that meets the needs of a variety of incomes in these geographies. The Plan should solicit input from various neighborhood groups in order to better affirm and articulate the housing needs by geography while also balancing demands to create equitable housing options that prevent displacement of low income residents and market efforts that prevent access to opportunity. The Plan should target development of housing in designated growth areas with existing access to transit, quality schools, economic opportunities, employment centers, and that help de-concentrate poverty.

A designated growth area is an area delineated in an officially adopted local or regional plan or initiative within which higher density development is encouraged and urban services are (or are scheduled to be) available. The explicit function of a designated growth area is to accommodate projected future growth within Houston, and that also seeks out opportunities to coincide housing development with other significant public infrastructure investments.

The Plan should outline how it promotes access to opportunity and mobility, and furthers fair housing. In addition, the Plan should include the physical, environmental, and economic improvement of at-risk, distressed, and disadvantaged neighborhoods. The Plan should provide equitable access to health care providers, schools, public safety facilities, transportation, arts and cultural facilities, parks, recreation facilities, greenways and open space near all neighborhoods. The Plan should work to prevent gentrification, defined as “the process of renewal and rebuilding accompanying the influx of middle-class or affluent people into deteriorating areas that often displaces poorer residents.”

The planning process and the Housing Plan should strive to maintain the culture, history, and character of the neighborhood that allows residents to stay in the neighborhood while also creating access to opportunity.

The Plan should include maps, tables, graphics, and summaries to communicate to the reader the information, intents, and relationships.

Resiliency and Safety

The Plan should encourage housing solutions and development that is resilient, incorporating standards that protect residents from various environmental risks (weather, pollution, hazards) as well as ensure appropriate disclosures for existing risks.

For existing neighborhoods for which new solutions to mitigate risks are sought, the housing plan should outline commitments for significant community engagement, disclosures and appropriate time for public input. When applicable, proactive community engagement should be used to share information as well as to seek out and provide information about the criteria and process for buy outs and other efforts that will force the households to relocate. Any efforts that require relocation should include formula that result in just compensation for buyouts and assistance for households to navigate the processes.

5. HOUSING AS AN ECONOMIC DRIVER

The Plan should encourage using local resources in a way that attracts employers and enhances economic opportunity, while improving social conditions and supporting locally owned and produced goods and services. This fosters connections and sense of place, and stimulates the local economy by bringing retailers and businesses to an area. The Plan should identify possible community-based economic impact as a result of plan implementation in the short-term and long-term, including the potential for:

- Providing additional housing for local employees
- Increased range of housing choices
- Improved quality of retailers and businesses within an the area
- Impact on business relocation due to workforce availability
- Demonstrated improved return on investment

6. KEY PARTNERS AND STAKEHOLDERS

Participation is key to a successful Plan. The Stakeholders should collaborate, be clearly identified early on, and be included in all stages of the planning process. Public and private sector entities need to be included as key stakeholders.

- Residents
- The Houston Housing Working Group
- Philanthropy
- Advocacy organizations
- Community based organizations and other services providers that interact with housing issues
- For-profit and Non-profit Developers
- Neighborhood and Civic Organizations
- Financial Community
- Elected and Appointed Officials
- Governmental and Quasi-Governmental Entities

- Business and Corporate Community

7. SOURCES OF FUNDING

Funding sources are crucial for plan implementation. The cost burden of the City's goals and outlined objectives should anticipated securing a variety of financing sources from public and private partnerships. It is recommended the City communicate its annual allocation and current holding of federal, state and local funds that include public issued housing bonds, HOME, CDBG and TIRZ funds. The Plan must coordinate efforts with other third party funding sources including but not limited to:

- Housing Trust Fund – State of Texas operated fund that provides loans and grants to finance, acquire, rehabilitate, and develop affordable housing; implemented through eligible non-profits
- Low Income Housing Tax Credit – 4% and 9% Housing Tax Credits provided by the US Treasury, and administered by the Texas Department of Housing and Community Affairs
- Equity grants from foundations, other non-profits, and corporations – numerous national foundations and corporations provide discretionary and restrictive funds that eligible organizations can apply for.
- HUD financing programs that include Choice Neighborhood Initiatives, Section 221(d)4 insured loans, Section 108 Loan Guarantee Program
- Other governmental agencies as well as quasi-governmental agencies such as Housing Authority, HGAC, TIRZ, Management District

In addition to identifying financial sources, the Housing Plan should promote adoption of city ordinances that encourage a mix of housing for various income ranges, preservation of existing single and multifamily affordable housing stock, and preservation of owner-occupied single family housing through renovation. Some of the existing regulatory requirements can create delays and financial impediments that prevent affordable housing developments from occurring. Incentives should be aimed at facilitating quicker and more efficient delivery of housing units, and towards compelling financial feasibility of projects. These incentives include, but are not limited to:

- Grants for home repair and improvement
- Development Incentive Programs; Texas Local Government Code Section 380 & 381 Agreements
- Community Land Trust (CLT) that could be applicable to private existing owners
- Flexibility of Parking Requirements
- Fast track or expedited permits
- Reduced Permit, Impact, and Park fees
- Reduced detention and retention requirements
- Code Change Exemptions
- Flexible setback requirements
- Property tax abatement, applicable to existing residents at risk of displacement due to gentrification

8. COMMUNITY ENGAGEMENT

Community Engagement should be included throughout all stages of the housing plan development, including prior to initial drafts, at various phases in the plan development process as well as during implementation, updates and amendment. The community engagement process should allow for not only informing residents but to receive input and provide space for consensus. The plan process should include multiple community meetings held at different locations of the city and implement engagement methodologies that consider Houston's diversity, gathering input from multiple generations, ethnic groups and income ranges. The outreach strategy should also consider the city's diversity and implement strategies to involve historically disengaged or disenfranchised communities. The City is encouraged to use a variety of communication channels, including community meetings, canvassing, traditional media, the internet, and social media such as Facebook and Twitter to inform and involve the community. Consistent and updated information about planning issues, events, and outcomes should be shared with all participants, including non-English speakers. Super Neighborhood boundaries could be clustered for further targeted engagement. Multiple neighborhood meetings should be held in each area, starting at the beginning of Plan development, and follow up community meetings are encouraged as the Plan approaches its final stages, in order to gain community buy-in for the final version of the Plan. Meetings should not be considered as the only venue for input, the City should use social media as a participatory tool and partner with neighborhood organizations to support the community engagement process. Neighborhood organizations could canvass the neighborhoods, for example, to confirm community buy-in for the final version of the plan.

9. PROCESS and INTER-GOVERNMENTAL AND INTRA-GOVERNMENTAL COORDINATION

Ideas and goals should be outlined with immediate action items. The Plan should attempt to ascertain the 'Why', the 'What', and 'How' of these goals and objectives. In addition, the Plan should identify responsible parties for outcomes and goals. The Plan should coordinate local and regional housing plan goals, and make an effort to create alignment with Harris County and the Texas Department of Housing and Community Affairs to:

- Develop a network of resources, an internal infrastructure backbone, and policies so that the City can facilitate coordinated activities with other entities
- Engage METRO on Transit Oriented Development opportunities
- Coordinate both internal and external City relationships to eliminate silos within and between departments, and to communicate to the external community
- Ensure effective cross-communication through multiple City liaisons to ensure transparency, accountability, and engagement of the public in reporting.
- Create an economic development policy "toolkit" for developers providing guidance and expectations

10. DESIGN AND CONSTRUCTION GUIDELINES

Design and construction guidelines define all future development and ultimately shape the appearance and functionality of affordable housing. All housing developments should meet fair housing standards and consider ADA and Texas Accessibility standards where necessary. Affordable multifamily housing should comply with standards set by the Texas Department of Housing and Community Affairs and/or HUD. Single Family housing developments need to meet established City standards to ensure compatibility with overall neighborhood design. Design standards should conform with specific criteria and limitations pertaining to the form and appearance of development within a neighborhood, corridor, special district, or jurisdiction as a whole. These standards typically address building placement, building massing and materials, and sustainability standards. The purposes of design standards are to improve or protect both the function and aesthetic appeal of a place. In addition, green building is characterized by design features that, if used as intended, will minimize the environmental impacts of a building over the course of its lifespan. Developers of affordable housing, where feasible, should consider programs such as the USGB's Leadership in Energy & Environmental Design (LEED) Program and develop energy-star certified residences as a minimum. -- Local design standards should encourage the development of cost effective sustainable designs that respect the communities' interest and character.

ADDITIONAL NOTES:

The process of developing the Housing Plan should follow these general steps:

1. Complete Comprehensive Housing Analysis
 - a. A Comprehensive Housing Analysis should take inventory of the existing housing stock examining the various types of housing, age and condition of structure, home values, rental rates
2. Assemble Housing Plan Stakeholders
 - a. A broad group of a diverse array of stakeholders should be named consisting of individuals from the community, public sector, and a cross-section of professionals within the affordable housing industry
3. Conduct a round of community engagement sessions to share housing analysis with community and gather initial input to inform the Draft Plan Framework.
4. Develop Draft Plan Framework
 - a. The Plan framework should provide a summary of what the Plan will consist of, and should serve as a guide during the drafting process
5. Conduct Community Engagement Tour
 - a. Community meetings should be held across multiple neighborhoods throughout all parts of Houston to receive input and gain community buy-in
6. Refine Housing Plan with Neighborhood Priorities
 - a. The community engagement process should inform how the Plan needs to be adapted to reflect neighborhood priorities

7. Present draft plan via a series of community engagement sessions for input, reaction and confirmation of priorities.
8. Submit Plan to Administration
 - a. A complete and final draft of the Plan should be submitted to the Mayor's office and should be distributed to city council members
9. Achieve Passage of Plan by City Council
 - a. The Plan needs to be officially adopted as an ordinance via vote of the full City Council
10. Conduct Annual Plan Reviews
 - a. Annual Plan Reviews should include a scorecard and community meetings to maintain engagement, accountability and transparency.
 - b. The Plan should be reviewed annually or biennially to ensure adherence by the City, and to make adjustments and improvements as needed.



CITY OF HOUSTON
Housing & Community Development Department

Sylvester Turner

Mayor

Tom McCasland
Director
601 Sawyer, Suite 400
Houston, Texas 77007

T. (832) 394-6200
F. (832) 395-9662
www.houstontx.gov/housing

May 4, 2018

Ms. Jennifer Wagley and Ms. Allison Hay
Houston Housing Working Group

RE: HCDD Response to Public Comments on Draft 2018 Annual Action Plan

Ms. Wagley and Ms. Hay:

Thank you for submitting comments on the Draft 2018 Annual Action Plan (Plan). This letter is a written response to Houston Housing Working Group's (HHWG) emailed comments dated Monday, February 26, 2018. We appreciate your input on the 2018 Annual Action Plan, as well as, your comments on developing affordable homeownership and rental housing and instituting affordability periods. We have noted your definition of affordable housing and associated homeownership and rental housing affordability standards. Your input has been directed to the appropriate HCDD staff members. The following sections address your comments related to the development of the 2018 Annual Action Plan.

HCDD conducted four open house and public hearings to gather citizen input during various stages of the 2018 Annual Action Plan development. HCDD also consulted with the Community Development Advisory Council, which constitutes of various government, private and nonprofit stakeholders, in the development of the Plan and to garner their support in expanding public outreach. During the open houses, HCDD sought and received public input to help set goals and priorities through interactive exercises, roundtable discussions and one-on-one discussions between the public and staff members. This resident engagement, along with the community needs survey that HCDD administered October 2017 through December 2017, were taken into consideration while setting the goals for the Plan. Data gathered in the development of the Plan that describes HCDD's goals and planned activities is described through various tables and maps in the document.

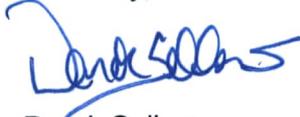
As mentioned in the Plan, HCDD will use a high level of communication and project coordination with other City departments to achieve the Plan goals. HCDD is currently in partnership with the Health, Library, Parks and Recreation, and Houston Public Works departments, as well as the Mayor's Office on a variety of community improvement projects in low- and moderate-income neighborhoods. HCDD will work to further refine ways of communication with other City departments through additional meetings, better relationship management, improving reimbursement processes through streamlined LOA procedures, and hosting interdepartmental meetings on important housing and community development related issues, such as fair housing and community outreach.

Council Members: Brenda Stardig Jerry Davis Ellen R. Cohen Dwight A. Boykins Dave Martin Steve Le Greg Travis Karla Cisneros
Robert Gallegos Mike Laster Larry V. Green Mike Knox David W. Robinson Michael Kubosh Amanda Edwards Jack Christie
Controller: Chris Brown

HHWG urges HCDD to fund a full range of affordable housing options across the city. HCDD has prioritized affordable housing in the Consolidated Plan and subsequent annual actions plans. In the 2018 Plan, HCDD plans to undertake a variety of affordable housing programs, including new construction, reconstruction, and rehabilitation of single-family and multifamily homes. HCDD will also carry out the Homebuyer Assistance Program, which provides financial assistance to qualified homebuyers purchasing new or existing homes, thereby increasing affordable housing options available to low- and moderate-income persons. A Request for Proposal for affordable single-family home development through Community Housing Development Organizations (CHDOs) will be released shortly.

All public comments will be included in the 2018 Annual Action Plan, which will be submitted to the U.S. Department of Housing and Urban Development in May 2018. Thank you for your comments, and we look forward to working together in the future.

Sincerely,



Derek Sellers
Deputy Assistant Director



City of Houston 2018 Annual Action Plan Public Comments
Local Initiatives Support Corporation
Amanda Timm, Executive Director- Houston
March 27, 2018

Thank you for the opportunity to provide comments as you work to finalize the 2018 Annual Action Plan for submission to the U.S. Department of Housing and Urban Development. We understand the City anticipates an estimated \$196 million in total funding available during the fourth year of the 2015-2019 Consolidated Plan with the possibility of an additional \$1 billion in Community Development Block Grant – Disaster Recovery in response to Hurricane Harvey.

LISC is the largest Community Development Financial Institution in the country. We work in more than 30 urban areas nationally and have been in Houston for nearly 30 years. Our mission is comprehensive community development – that means investing time, money and expertise in housing and real estate, economic development, family income and asset building, education, quality of life issues and community leadership. We are working intensively in a network of neighborhoods through our GO Neighborhoods initiative and in five Financial Opportunity Centers with nonprofit partners. In addition, LISC supports community development organizations in the development of affordable housing by providing capacity building grants, loans (including predevelopment, acquisition, bridge and construction), and equity investments through our affiliates, the National Equity Fund and New Markets Support Company.

According to the 2018 Annual Action Plan, the City of Houston is prioritizing public facilities, multifamily, and home repairs in the Mayor’s five designated Complete Communities – Acres Homes, Gulfton, Near Northside, Second Ward, and Third Ward. Targeted funding is intended to leverage private investment within the Complete Communities. We are working and investing in those same neighborhoods and welcome coordinating our investments with the City.

In addition, while we understand and are supportive of targeted funding to ensure a comprehensive approach, many other areas of the City were heavily impacted by Hurricane Harvey, including Fifth Ward, Kashmere/Trinity Gardens, Edgebrook, and East Houston to name a few. We encourage you to take those neighborhoods into consideration for targeted investments.

As a result of Hurricane Harvey, over 300,000 units, both single family homeownership and multifamily rentals, were damaged or destroyed. We see an emphasis from the City on homeowners and single family units. That is important. We also urge you to remember that renters make up more than half of our population and ensuring quality. Ensuring affordable rental housing and multi-family developments get attention is also important.

The 2018 Annual Action Plan detailed the City’s prioritization of construction and rehabilitation of single family homes using CDBG and HOME dollars. To address the increased housing need, we recommend the City fund the full range of affordable housing options, including transit-oriented developments, that are necessary to stabilize neighborhoods and provide a variety of solutions for low-income families. Homebuyer assistance for single-family homes and construction of new single-family ownership opportunities for low-income families play a critical role in stabilizing neighborhoods and building community but ensuring that single-family and multifamily rental options are available in neighborhoods provides more choices for families. The preservation of naturally occurring affordable housing options is



also critical to families facing potential displacement. We urge support for construction of new multi-family housing, a cost-effective means to providing more housing units for families who are cost burdened.

We encourage the City to enlist and invest in qualified and productive community development corporations (CDCs) in efforts to expand the supply of affordable housing in Houston. Many of these entities have long histories of producing multi-family and single-family housing in the City and have played a major role in the disaster recovery response in helping families get back into their homes. As mission driven non-profits they are unique in their ties to the communities in which they operate and are often able to leverage City funds with other philanthropic dollars committed to projects.

We applaud the Housing and Community Development Department for engaging with the Community Development Advisory Council to provide meaningful input towards the development of the Annual Action Plan. We encourage the City to continue in its pursuit for increased transparency in the prioritization of funding and the process by which projects are selected for funding.

We appreciate the leadership and hard work of the Director and team at the Department of Housing and Community Development in response to Hurricane Harvey's devastating flooding. LISC stands ready to be a resource and partner to the City of Houston and the Department in these continued community development efforts. Thank you for your consideration and investment in our communities.

Sincerely,

A handwritten signature in black ink, appearing to read "Amanda Timm", is written over a light blue horizontal line.

Amanda Timm
Executive Director
Houston LISC



CITY OF HOUSTON

Housing & Community Development Department

Sylvester Turner

Mayor

Tom McCasland
Director
601 Sawyer, Suite 400
Houston, Texas 77007

T. (832) 394-6200
F. (832) 395-9662
www.houstontx.gov/housing

May 4, 2018

Ms. Amanda Timm
Local Initiatives Support Corporation – Houston
1111 North Loop West, Suite 740
Houston, TX 77008

RE: HCDD Response to Public Comments on Draft 2018 Annual Action Plan

Ms. Timm:

Thank you for submitting comments on the Draft 2018 Annual Action Plan (Plan). This letter is a written response to your comments dated March 27, 2018. We appreciate your input on the 2018 Annual Action Plan and your comments on leveraging private investment in Complete Communities, emphasizing affordable rental housing and multifamily developments, expanding affordable housing solutions through transit-oriented developments, and decreasing the housing cost burden through construction of new multifamily housing. Your input has been directed to the appropriate staff members.

All public comments will be included in the 2018 Annual Action Plan, which will be submitted to the U.S. Department of Housing and Urban Development in May or June 2018.

You may download the presentation from the 2018 Annual Action Plan Public Hearings at:
[http://houstontx.gov/housing/2018 Annual Action Spring Open House Presentation.pdf](http://houstontx.gov/housing/2018%20Annual%20Action%20Spring%20Open%20House%20Presentation.pdf)

We appreciate LISC's continued support and look forward to our continued partnership.

Sincerely,

A handwritten signature in blue ink, appearing to read "Derek Sellers".

Derek Sellers
Deputy Assistant Director

BOARD OF TRUSTEES

April 11, 2018

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Cassandra Silvernail

Vice President

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Tami Merrick

Nicola Parente

Mark Parthie

Deborah Tesar

Executive Director

Mary Lawler

Mr. Tom McCasland
Director, HCDD
PO Box 1562
Houston, TX 77251

RE: Public Comments Regarding Draft 2018 Annual Action Plan

Director McCasland:

Thank you for the opportunity to comment on the Draft 2018 Annual Action Plan.

With regard to the goal of expanding the supply of affordable housing (see Table 7), HCDD should consider increased support for single-family projects sponsored by non-profit organizations who have a successful track record of producing affordable single-family homes. Increased support would enable these organizations to scale their capacity to achieve greater production, and would also enhance the affordability of these homes for lower-income buyers. Financial support could include funds for both land acquisition, and for the construction of affordable homes. Permanent affordability could be achieved by placing these homes into a land trust.

With regard to expanding the supply multi-family housing, one of the biggest challenges in this regard is land acquisition in desired areas. Avenue would suggest that innovative programs between the City and non-profits should be created to facilitate land acquisition in City-desired areas for development by capable, mission-driven non-profits.

Finally, to increase the capacity of non-profit developers, the City could incentivize for-profit developers to partner with non-profits on City-funded projects.

Respectfully submitted,



Mary Lawler
Executive Director





CITY OF HOUSTON

Housing & Community Development Department

Sylvester Turner

Mayor

Tom McCasland
Director
601 Sawyer, Suite 400
Houston, Texas 77007

T. (832) 394-6200
F. (832) 395-9662
www.houstontx.gov/housing

May 4, 2018

Ms. Mary Lawler
Executive Director, Avenue
2505 Washington Avenue
Houston, TX 77007

RE: HCDD Response to Public Comments on Draft 2018 Annual Action Plan

Ms. Lawler:

Thank you for submitting comments on the Draft 2018 Annual Action Plan. This letter is a written response to your emailed comments which were received on Thursday, April 12, 2018. In your comments you suggest increasing the supply of affordable homes through supporting nonprofit organizations that have a successful track record of producing single-family homes. The Housing and Community Development Department (HCDD) is committed to preserving and expanding the supply of affordable housing in Houston through the construction and rehabilitation of single family and multifamily housing. For the 2018 Program Year, there is a concerted focus on the development of affordable single family homes.

As stated in the Draft 2018 Annual Action Plan, the City is planning to issue a Request for Proposal for Community Housing Development Organizations (CHDOs) to build affordable single family homeowner units. CHDOs are private, nonprofit, community based organizations with qualified staff who can be owners, developers or sponsors of affordable housing. HCDD holds CHDO certification trainings for nonprofit organizations who want to become CHDOs and utilize federal affordable housing funds for projects on affordable home development. Also, HCDD is supporting the creation of a Community Land Trust to ensure the long-term affordability of newly constructed single family homes through CHDO and non-CHDO housing construction projects.

Your comments on expanding the supply of multifamily housing and fostering partnerships between for-profit and nonprofit stakeholders have been directed to appropriate HCDD staff members. All public comments will be included in the 2018 Annual Action Plan, which will be submitted to the U.S. Department of Housing and Urban Development in May or June 2018.

Sincerely,

A handwritten signature in blue ink that reads "Derek Sellers".

Derek Sellers
Deputy Assistant Director

Council Members: Brenda Stardig Jerry Davis Ellen R. Cohen Dwight A. Boykins Dave Martin Steve Le Greg Travis Karla Cisneros
Robert Gallegos Mike Laster Larry V. Green Mike Knox David W. Robinson Michael Kubosh Amanda Edwards Jack Christie
Controller: Chris Brown

Appendix # 9: Ordinances

City of Houston, Texas, Ordinance No. 2017- 286

AN ORDINANCE AMENDING CITY OF HOUSTON ORDINANCE NOS. 2013-353 PASSED APRIL 24, 2013, AS AMENDED, AND 2016-340 PASSED APRIL 27, 2016, AS AMENDED, WHICH AUTHORIZED THE SUBMISSION OF THE 2013 AND 2016 ANNUAL ACTION PLANS TO THE UNITED STATES DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT, INCLUDING THE APPLICATIONS AND BUDGETS FOR THE HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (“HOPWA”) PROGRAM, THE COMMUNITY DEVELOPMENT BLOCK GRANT (“CDBG”) PROGRAM, AND THE HOME INVESTMENT PARTNERSHIPS (“HOME”) PROGRAM, AMONG OTHERS; CONTAINING FINDINGS AND PROVISIONS RELATING TO THE FOREGOING SUBJECT; AND DECLARING AN EMERGENCY.

* * * *

WHEREAS, on April 24, 2013, pursuant to Ordinance No. 2013-353, the City Council of the City of Houston (“City Council”) approved and authorized the submission of the 2013 Annual Action Plan (“2013 Plan”), including an application and budget for the Housing Opportunities for Persons with AIDS (“HOPWA”) Program, among others, to the United States Department of Housing and Urban Development (“HUD), and the acceptance of the funds if awarded; and

WHEREAS, on April 27, 2016, pursuant to Ordinance No. 2016-340, the City Council approved and authorized the submission of the 2016 Annual Action Plan (“2016 Plan”), including applications and budgets for funding the 42nd Program Year of the Community Development Block Grant (“CDBG”) Program and the HOME Investment Partnerships (“HOME”) Program, among others, to HUD and the acceptance of the funds if awarded; and

WHEREAS, there have been several amendments to the 2013 Plan and 2016 Plan since the aforementioned ordinances were passed; and

WHEREAS, HCDD now desires to further amend the 2013 Plan, including the HOPWA Application and Budget, to make the following changes: (i) decrease funding for the “Resource Identification/Technical Assistance/Housing” activity (-\$77,600.51) and (ii) increase funding for the “Project or Tenant Based Rental Assistance” activity (+\$77,600.51), to help prevent homelessness by providing assistance to eligible households affected by HIV/AIDS; and

WHEREAS, HCDD also desires to further amend the 2016 Plan, including the 42nd Program Year of the CDBG Program Application and Budget, to make the following changes: (i) decrease funding for the “Economic Development” activity (-\$2,900,000.00); (ii) decrease funding for the “Acquisition” activity (-\$3,099,055.43); and (iii) add funding for a new “Single Family Home Repair” activity (+\$5,999,055.43), to assist eligible homeowners with home repairs and home reconstruction; and

WHEREAS, HCDD desires to further amend the 2016 Plan, including the HOME Application and Budget, to make the following changes: (i) decrease funding for the “Multifamily Development” activity (-\$2,000,000.00); and (ii) add funding for a new “Single Family Home Development” activity (+2,000,000.00), to assist eligible non-profit organizations with the construction and sale of affordable homes to low-and moderate-income homebuyers; and

WHEREAS, the aforementioned changes to the 2013 Plan and 2016 Plan, including the Applications and Budgets for the HOPWA, CDBG, and HOME Programs, are necessary to reallocate funds to meet current funding requests; and

WHEREAS, in accordance with HUD regulations, the City is required to amend components of a Consolidated Plan/Annual Action Plan when (1) an activity is added; (2) an activity is deleted; (3) there is a change in the scope of an activity or a reallocation of funds that increases or decreases the budget of an activity by more than twenty-five (25%) of the original budget; or (4) there is a change in the purpose, location or beneficiary of an activity or a priority is changed; and

WHEREAS, HCDD has publicized in the Houston Chronicle its intent to amend the 2013 Plan and the 2016 Plan, including the Applications and Budgets for the HOPWA, CDBG and HOME Programs; and

WHEREAS, the public notice period expired on April 24, 2017, at this time no citizen's comments have been received, and any updates to HUD will follow, as necessary; **NOW, THEREFORE,**

* * * *

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF HOUSTON, TEXAS:

Section 1. That the City Council hereby adopts the recitals set forth above and hereby amends the HOPWA Application and Budget under the 2013 Plan, and the CDBG Application and Budget for the 42nd Program Year and the HOME Program Application and Budget under the 2016 Plan, authorized pursuant to Ordinance No. 2013-353 passed on April 24, 2013, as amended, and Ordinance No. 2016-340 passed on April 27, 2016, as amended, respectively, in the manner set forth below:

| Budget Year Amended | Activity | Budget Decrease | Budget Increase |
|----------------------------|---|------------------------|------------------------|
| 2013 | Resource Identification Technical Assistance/ Housing Information | (\$77,600.51) | |

| | | | |
|-----------------------------------|--|---------------|-------------|
| 2013 | Project or Tenant Based Rental Assistance | | \$77,600.51 |
| Total HOPWA Budget Changes | | (\$77,600.51) | \$77,600.51 |

| Budget Year Amended | Activity | Budget Decrease | Budget Increase |
|----------------------------------|---|------------------------|------------------------|
| 2016 | Economic Development | (\$2,900,000.00) | |
| 2016 | Acquisition | (\$3,099,055.43) | |
| 2016 | Single-Family Home Repair (New Activity) | | \$5,999,055.43 |
| Total CDBG Budget Changes | | (\$5,999,055.43) | \$5,999,056.43 |

| Budget Year Amended | Activity | Budget Decrease | Budget Increase |
|----------------------------------|---|------------------------|------------------------|
| 2016 | Multifamily Development | (\$2,000,000.00) | |
| 2016 | Single-Family Home Development (New Activity) | | \$2,000,000.00 |
| Total HOME Budget Changes | | (\$2,000,000.00) | \$2,000,000.00 |

Section 2. That by this amendment, the aforementioned reallocation of funds will result in a current allocation of funds under the 2013 Plan and 2016 Plan, including the Applications and Budgets for the HOPWA Program, the 42nd Program Year of the CDBG Program, and the HOME Program, as set forth under **EXHIBIT "A"**, attached hereto and incorporated herein by reference.

Section 3. That the City Council finds that citizens residing in community development areas and residents and members of neighborhood-based organizations were given an opportunity to comment on the proposed changes contained in this amendment.

Section 4. That the City Council takes cognizance of the fact that in order to facilitate operations of various City community development programs, projects and activities, and to

make adjustments occasioned by events transpiring during the year, it may become necessary to make adjustments to the projected uses of some of the program activities as originally adopted. Accordingly, the Mayor, through the Director of the HCDD, has determined:

- (1) a formal amendment may not be required by HUD nor the City Council for such administrative changes to the budget; and
- (2) this document will serve as a transmittal to HUD in compliance with 24 CFR §91.505(c), if applicable.

Section 5. That there exists a public emergency requiring that this Ordinance be passed finally on the date of its introduction as requested in writing by the Mayor; therefore, this Ordinance shall be passed finally on such date and shall take effect immediately upon its passage and approval by the Mayor; however, in the event that the Mayor fails to sign this Ordinance within five days after its passage and adoption, it shall take effect in accordance with Article VI, Section 6, Houston City Charter.

PASSED AND ADOPTED this 26th day of April, 2017.

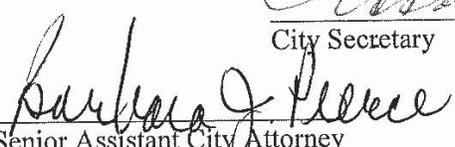
APPROVED this _____ day of _____, 2017.

Mayor of the City of Houston

Pursuant to Article VI, Section 6, Houston City Charter, the effective date of the foregoing Ordinance is MAY 02 2017.



City Secretary

(Prepared by Legal Dept. 
(BJP/ TE/03/21/17) Senior Assistant City Attorney
(Requested by Tom McCasland, Director, Housing and Community Development Department
(L.D. File No.0291600040002)

FUND REF: No New Funding Required
Grant Fund
Housing Opportunities for Persons with AIDS, Community Development Block
Grant and HOME Investment Partnerships (Fund 5000)

g:\bet\ord\ordsubstantialamedmentepapp2017.doc

| AYE | NO | |
|---------|---------|------------------------|
| ✓ | | MAYOR TURNER |
| | | COUNCIL MEMBERS |
| ✓ | | STARDIG |
| ✓ | | DAVIS |
| ✓ | | COHEN |
| ✓ | | BOYKINS |
| ✓ | | MARTIN |
| ✓ | | LE |
| ✓ | | TRAVIS |
| ✓ | | CISNEROS |
| ✓ | | GALLEGOS |
| ✓ | | LASTER |
| ABSENT | | GREEN |
| ✓ | | KNOX |
| ✓ | | ROBINSON |
| ✓ | | KUBOSH |
| ✓ | | EDWARDS |
| ✓ | | CHRISTIE |
| CAPTION | ADOPTED | |

MAY 017 Rev. 12/15

EXHIBIT A

PREPARED: March 29, 2017
 APPROVED:
 AMENDMENT: 1
 ORDINANCE NO:

PROJECTED USE OF HOPWA FUNDS
 HOPWA 2013
 July 1, 2013 through June 30, 2014

| ELIGIBLE ACTIVITIES | CURRENT ALLOCATION | REALLOCATION | CURRENT REVISED ALLOCATION | % of CURRENT REVISED ALLOCATION |
|---|------------------------|----------------|----------------------------|---------------------------------|
| Operating Costs | \$ 1,259,000.00 | | \$ 1,259,000.00 | 14.06% |
| Supportive Services | \$ 1,800,000.00 | | \$ 1,800,000.00 | 20.10% |
| Project or Tenant Based Rental Assistance | \$ 2,901,510.00 | \$ 77,600.51 | \$ 2,979,110.51 | 33.26% |
| Short-term Rent, Mortgage & Utility Subsidies | \$ 2,000,000.00 | | \$ 2,000,000.00 | 22.33% |
| Resource Identification/Technical Assistance/Housing Information | \$ 100,000.00 | \$ (77,600.51) | \$ 22,399.49 | 0.25% |
| Grantee Administration(3% of Estimated Grant Amount) - HCDD | \$ 268,683.00 | | \$ 268,683.00 | 3.00% |
| Sponsor Administration (7% of Estimated Grant Amount) - Subgrantees | \$ 626,928.00 | \$ - | \$ 626,928.00 | 7.00% |
| TOTAL | \$ 8,956,121.00 | \$ - | \$ 8,956,121.00 | 100.00% |

PREPARED: March 29, 2017
 APPROVED:
 AMENDMENT: 2
 ORDINANCE NO.:

PROJECTED USE OF FUNDS BUDGET FOR
 FORTY-SECOND PROGRAM YEAR
 July 1, 2016 through June 30, 2017

| CDBG ACTIVITIES | CURRENT ALLOCATION | REALLOCATION | CURRENT REVISED ALLOCATION | % of CURRENT REVISED ALLOCATION |
|---|-------------------------|-------------------|----------------------------|---------------------------------|
| Program Administration* | \$ 4,464,349.00 | | \$ 4,464,349.00 | 20.00% |
| Public Services** | \$ 3,218,357.00 | | \$ 3,218,357.00 | 14.42% |
| ESG Match (1:1 - Includes In-Kind) | \$ 525,000.00 | | \$ 525,000.00 | 2.35% |
| Public-Facilities and Improvements (Public/Private) | \$ 3,316,212.00 | | \$ 3,316,212.00 | 14.86% |
| Multifamily Housing | \$ 300,000.00 | | \$ 300,000.00 | 1.34% |
| Acquisition | \$ 3,099,055.43 | \$ (3,099,055.43) | \$ - | 0.00% |
| Economic Development | \$ 3,000,000.00 | \$ (2,900,000.00) | \$ 100,000.00 | 0.45% |
| Code Enforcement | \$ 2,597,830.00 | | \$ 2,597,830.00 | 11.64% |
| Homebuyer Assistance | \$ 1,800,944.57 | | \$ 1,800,944.57 | 8.07% |
| Single Family Home Repair | \$ - | \$ 5,999,055.43 | \$ 5,999,055.43 | 26.88% |
| TOTAL | \$ 22,321,748.00 | \$ - | \$ 22,321,748.00 | 100.00% |

*Program Administration up to 20% of Grant Amount + Projected Program Income

**The City has been grandfathered with a rate of 16.77% instead of 15%

2016 CDBG AWARD - FY2017

| | |
|----------------------------|-------------------------|
| Projected CDBG Grant Award | \$ 22,140,237.00 |
| Estimated Program Income | \$ 181,511.00 |
| Total | \$ 22,321,748.00 |

ESTIMATED FORTY-FIRST YEAR CDBG
 PROGRAM INCOME

| Sources of Program Income | Amount |
|---|----------------------|
| Multi-Family Housing Loan Repayments/Fees | \$ 181,511.00 |
| Sub-Total | \$ 181,511.00 |
| Uses of Program Income | |
| Program Administration | \$ 36,302.00 |
| Public Services | \$ 30,439.00 |
| Public-Facilities and Improvements (Public/Private) | \$ 114,770.00 |
| Sub-Total | \$ 181,511.00 |

PREPARED: March 29, 2017
 APPROVED:
 AMENDMENT: 1
 ORDINANCE NO:

PROJECTED USE OF HOME FUNDS
 Allocation of Funds
 July 1, 2016 through June 30, 2017

| HOME ACTIVITIES | CURRENT ALLOCATION | REALLOCATION | CURRENT REVISED ALLOCATION | % of CURRENT REVISED ALLOCATION |
|--------------------------------|------------------------|-------------------|----------------------------|---------------------------------|
| Planning and Administration* | \$ 695,212.00 | | \$ 695,212.00 | 10.00% |
| Multifamily Development | \$ 6,256,908.00 | \$ (2,000,000.00) | \$ 4,256,908.00 | 61.23% |
| Single Family Home Development | \$ - | \$ 2,000,000.00 | \$ 2,000,000.00 | 28.77% |
| TOTAL | \$ 6,952,120.00 | \$ - | \$ 6,952,120.00 | 100.00% |

*Planning and Administration up to 10% of Grant Amount + Projected Program Income

**15% CHDO Set Aside included

2016 PROJECTED HOME AWARD - FY2017

| | |
|----------------------------|------------------------|
| Projected HOME Grant Award | \$ 6,857,177.00 |
| Estimated Program Income | \$ 94,943.00 |
| Total | \$ 6,952,120.00 |

HOME ESTIMATED PROGRAM INCOME

| Sources of Program Income | Amount |
|---|---------------------|
| Multi-Family Housing Loan Repayments/Fees | \$ 94,943.00 |
| Sub-Total | \$ 94,943.00 |

Uses of Program Income

| | |
|-------------------------|---------------------|
| Program Administration | \$ 9,494.00 |
| Multifamily Development | \$ 85,449.00 |
| Sub-Total | \$ 94,943.00 |

City of Houston, Texas, Ordinance No. 2017- 834

AN ORDINANCE AMENDING CITY OF HOUSTON ORDINANCE NOS. 2013-353 PASSED APRIL 24, 2013, AS AMENDED, AND 2016-340 PASSED APRIL 27, 2016, AS AMENDED, WHICH AUTHORIZED THE SUBMISSION OF THE 2013 AND 2016 ANNUAL ACTION PLANS TO THE UNITED STATES DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT, INCLUDING THE APPLICATIONS AND BUDGETS FOR THE COMMUNITY DEVELOPMENT BLOCK GRANT (“CDBG”) PROGRAM, AMONG OTHERS; CONTAINING FINDINGS AND PROVISIONS RELATING TO THE FOREGOING SUBJECT; AND DECLARING AN EMERGENCY.

* * * *

WHEREAS, on April 24, 2013, pursuant to Ordinance No. 2013-353, the City Council of the City of Houston (“City Council”) approved and authorized the submission of the 2013 Annual Action Plan (“2013 Plan”), including an application and budget for the 39th Program Year of the Community Development Block Grant (“CDBG”) Program, among others, to the United States Department of Housing and Urban Development (“HUD), and the acceptance of the funds if awarded; and

WHEREAS, on April 27, 2016, pursuant to Ordinance No. 2016-340, the City Council approved and authorized the submission of the 2016 Annual Action Plan (“2016 Plan”), including an application and budget for the 42nd Program Year of the CDBG Program, among others, to HUD, the acceptance of the funds if awarded, and an amendment to the Citizen Participation Plan; and

WHEREAS, there have been several amendments to the 2013 Plan and 2016 Plan since the aforementioned ordinances were approved by City Council; and

WHEREAS, HCDD now desires to further amend the 2013 Plan, including the CDBG Application and Budget, to make the following changes: (i) decrease funding for the “Public Facilities and Improvements (Public/Private)” activity (-\$247,521.62), and (ii) increase funding for the “Multifamily Housing” activity (+\$247,521.62); and

WHEREAS, the aforementioned changes to the 2013 Plan including the Application and Budget for the CDBG Program are necessary to reallocate funds for the rehabilitation of multifamily housing developments; and

WHEREAS, HCDD also desires to amend the 2016 Plan, including the CDBG Program Application and Budget, to make the following changes: (i) decrease funding for the “Public Facilities and Improvements (Public/Private)” activity (-\$672,832.87), and (ii) increase funding for the “Multifamily Housing” activity (+\$672,832.87), and

WHEREAS, the aforementioned changes to the 2016 Plan, including the Application and Budget for the CDBG Program, are necessary to reallocate funds for the rehabilitation of multifamily housing developments; and

WHEREAS, in accordance with HUD regulations, the City is required to amend components of a Consolidated Plan/Annual Action Plan when any of the following occur: (1) an activity is added; (2) an activity is deleted; (3) there is a change in the scope of an activity or a reallocation of funds that increases or decreases the budget of an activity by more than twenty-five (25%) of the original budget; or (4) there is a change in the purpose, location or beneficiary of an activity or a priority is changed; and

WHEREAS, HCDD has publicized in the Houston Chronicle its intent to amend the 2013 Plan and the 2016 Plan, including the Applications and Budgets for the CDBG Programs; and

WHEREAS, the public notice period expired on October 15, 2017, at this time no citizen's comments have been received, and any updates to HUD will follow, as necessary;
NOW, THEREFORE,

* * * *

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF HOUSTON, TEXAS:

Section 1. That the City Council hereby adopts the recitals set forth above and hereby amends the CDBG Application and Budget under the 2013 & 2016 Plans, authorized pursuant to Ordinance No. 2013-353 passed on April 24, 2013, as amended, and Ordinance No. 2016-340 passed on April 27, 2016, as amended, respectively, in the manner set forth below:

| Budget Year Amended | Activity | Budget Decrease | Budget Increase |
|----------------------------|---|------------------------|------------------------|
| 2013 | Public Facilities and Improvements (Public/Private) | \$247,521.62 | |
| 2013 | Multifamily Housing | | (\$247,521.62) |
| Budget Year Amended | Activity | Budget Decrease | Budget Increase |
| 2016 | Public Facilities and Improvements (Public/Private) | (\$672,832.87) | |

2016 Multifamily Housing \$672,832.87

Total CDBG Budget Changes (\$920,354.49) \$920,354.49

Section 2. That by this amendment, the aforementioned reallocation of funds will result in a current allocation of funds under the 2013 Plan and 2016 Plan, including the Applications and Budgets for the CDBG Programs as set forth under **EXHIBIT "A"**, attached hereto and incorporated herein by reference.

Section 3. That the City Council finds that citizens residing in community development areas and residents and members of neighborhood-based organizations were given an opportunity to comment on the proposed changes contained in this amendment.

Section 4. That the City Council takes cognizance of the fact that in order to facilitate operations of various City community development programs, projects and activities, and to make adjustments occasioned by events transpiring during the year, it may become necessary to make adjustments to the projected uses of some of the program activities as originally adopted. Accordingly, the Mayor, through the Director of the HCDD, has determined:

- (1) a formal amendment may not be required by HUD nor the City Council for such administrative changes to the budget; and
- (2) this document will serve as a transmittal to HUD in compliance with 24 CFR §91.505(c), if applicable.

Section 5. That there exists a public emergency requiring that this Ordinance be passed finally on the date of its introduction as requested in writing by the Mayor; therefore, this Ordinance shall be passed finally on such date and shall take effect immediately upon its passage and approval by the Mayor; however, in the event that the Mayor fails to sign this Ordinance within five days after its passage and adoption, it shall take effect in accordance with Article VI, Section 6, Houston City Charter.

PASSED AND ADOPTED this 25th day of October, 2017.

APPROVED this _____ day of _____, 2017.

Mayor of the City of Houston

Pursuant to Article VI, Section 6, Houston City Charter, the effective date of the foregoing Ordinance is ~~OCT 31 2017~~

Ann Russell

 City Secretary

(Prepared by Legal Dept. *Paulina Lopez*
 (BJP/ TE/09/18/17) Senior Assistant City Attorney

(Requested by Tom McCasland, Director, Housing and Community Development Department
 (L.D. File No. 029170005001)
 FUND REF: No New Funding Required
 Community Development Block Grant (CDBG) – Fund 5000

g:\betvord\vordsubstantialamedments2013&2016cdbg2017.doc

CAPTION PUBLISHED IN DAILY COURT
 REVIEW
 DATE: OCT 31 2017

| AYE | NO | |
|-------------------------------------|---------|-----------------|
| <input checked="" type="checkbox"/> | | MAYOR TURNER |
| | | COUNCIL MEMBERS |
| <input checked="" type="checkbox"/> | | STARDIG |
| ABSENT | | DAVIS |
| <input checked="" type="checkbox"/> | | COHEN |
| <input checked="" type="checkbox"/> | | BOYKINS |
| <input checked="" type="checkbox"/> | | MARTIN |
| <input checked="" type="checkbox"/> | | LE |
| <input checked="" type="checkbox"/> | | TRAVIS |
| <input checked="" type="checkbox"/> | | CISNEROS |
| <input checked="" type="checkbox"/> | | GALLEGOS |
| <input checked="" type="checkbox"/> | | LASTER |
| <input checked="" type="checkbox"/> | | GREEN |
| <input checked="" type="checkbox"/> | | KNOX |
| <input checked="" type="checkbox"/> | | ROBINSON |
| <input checked="" type="checkbox"/> | | KUBOSH |
| <input checked="" type="checkbox"/> | | EDWARDS |
| <input checked="" type="checkbox"/> | | CHRISTIE |
| CAPTION | ADOPTED | |

City of Houston, Texas, Ordinance No. 2017- 965

AN ORDINANCE AMENDING CITY OF HOUSTON ORDINANCE NOS. 2014-337 PASSED APRIL 23, 2014, AS AMENDED; AND 2017-516 PASSED JULY 19, 2017, WHICH AUTHORIZED THE SUBMISSION OF THE 2014 AND 2017 ANNUAL ACTION PLANS, INCLUDING THE APPLICATIONS/BUDGETS FOR THE HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (“HOPWA”) GRANT, AMONG OTHERS TO THE UNITED STATES DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT; CONTAINING FINDINGS AND PROVISIONS RELATING TO THE FOREGOING SUBJECT; AND DECLARING AN EMERGENCY.

* * * *

WHEREAS, on April 23, 2014 pursuant to Ordinance No. 2014-337, the City Council approved and authorized the submission of the 2014 Annual Action Plan (“2014 Plan”), including a HOPWA Application/Budget, to HUD, which 2014 Plan has been further amended; and

WHEREAS, on July 19, 2017 pursuant to Ordinance No. 2017-516, the City Council approved and authorized the submission of the 2017 Annual Action Plan (“2017 Plan”), including a HOPWA Application/Budget, to HUD; and

WHEREAS, HCDD now desires to amend the 2014 Plan, including the HOPWA Application/Budget to make the following changes: (i) decrease funding for the “Resource Identification/Technical Assistance/Housing Information” activity (-\$120,921.00); and (ii) add funding for the “Project or Tenant Based Rental Assistance” activity (+120,921.00); and

WHEREAS, HCDD also desires to amend the 2017 Plan, including the HOPWA Application/Budget, to make the following changes: (i) decrease funding for the “Project or Tenant Based Rental Assistance” activity (-\$500,000.00); and (ii) add funding for the “Short-term Rent, Mortgage, & Utility Subsidies” activity (+\$500,000.00); and

WHEREAS, changes to the affected HOPWA Applications/Budgets are necessary to reallocate funds to meet current funding requests; and

WHEREAS, in accordance with HUD regulations, the City is required to amend components of a Consolidated Plan/Annual Action Plan when (1) an activity is added; (2) an activity is deleted; (3) there is a change in the scope of an activity or a reallocation of funds that increases or decreases the budget of an activity by more than twenty-five (25%) of the original budget; or (4) there is a change in the purpose, location or beneficiary of an activity or a priority is changed; and

WHEREAS, HCDD has publicized in the Houston Chronicle its intent to amend the 2014 and 2017 Plans, including the HOPWA Applications/Budgets; and

WHEREAS, the public notice period expired on December 10, 2017, at this time no citizen's comments have been received, and any updates to HUD will follow, as necessary; **NOW, THEREFORE,**

* * * *

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF HOUSTON, TEXAS:

Section 1. That the City Council hereby adopts the recitals set forth above and hereby amends the 2014 and 2017 Plans, including the HOPWA Applications/Budgets, authorized by Ordinance Nos. 2014-337, passed on April 23, 2014, as amended; and 2017-516, passed on July 19, 2017, respectively, in the manner set forth below:

| Budget Year Amended | Activity | Budget Decrease | Budget Increase |
|-----------------------------------|---|------------------------|------------------------|
| 2014 | Resource Identification/ Technical Assistance/Housing Information | (\$120,921.00) | |
| 2014 | Project or Tenant Based Rental Assistance | | \$120,921.00 |
| 2017 | Project or Tenant Based Rental Assistance | (\$500,000.00) | |
| 2017 | Short-term Rent, Mortgage, & Utility Subsidies | | \$500,000.00 |
| Total HOPWA Budget Changes | | (\$620,921.00) | \$620,921.00 |

Section 2. That by this amendment, the aforementioned reallocation of funds will result in a current allocation of funds under the 2014 and 2017 Plans, including the Applications/Budgets for the HOPWA Program, as set forth under **EXHIBIT "A"**, attached hereto and incorporated herein by reference.

Section 3. That the City Council finds that citizens residing in community development areas and residents and members of neighborhood-based organizations were given an opportunity to comment on the proposed changes contained in this amendment.

Section 4. That the City Council takes cognizance of the fact that in order to facilitate operations of various City community development programs, projects and activities, and to make adjustments occasioned by events transpiring during the year, it may become necessary to make adjustments to the projected uses of some of the HOPWA program activities as originally adopted. Accordingly, the Mayor, through the Director of the HCDD, has determined:

- (1) that a formal amendment may not be required by HUD nor the City Council for such administrative changes to the budget; and
- (2) that this document will serve as a transmittal to HUD in compliance with 24 CFR §91.505(c), if applicable.

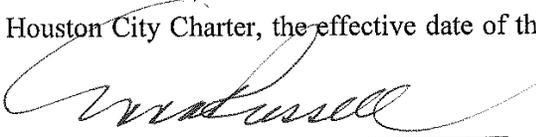
Section 5. That there exists a public emergency requiring that this Ordinance be passed finally on the date of its introduction as requested in writing by the Mayor; therefore, this Ordinance shall be passed finally on such date and shall take effect immediately upon its passage and approval by the Mayor; however, in the event that the Mayor fails to sign this Ordinance within five days after its passage and adoption, it shall take effect in accordance with Article VI, Section 6, Houston City Charter.

PASSED AND ADOPTED this 13th day of December, 2017.

APPROVED this _____ day of _____, 2017.

Mayor of the City of Houston

Pursuant to Article VI, Section 6, Houston City Charter, the effective date of the foregoing Ordinance is DEC 19 2017.



City Secretary

(Prepared by Legal Dept. Barbara J. Pierce *6110*
(BJP/ TE/11/29/17) Senior Assistant City Attorney
(Requested by Tom McCasland, Director, Housing and Community Development Department
(L.D. File No. 0291700067001)
FUND REF:

z:\bet\vord\vordsubstantialamedmentcpapphopwa2014,and2017.doc

| AYE | NO | |
|---------|---------|--|
| ✓ | | MAYOR TURNER |
| •••• | •••• | COUNCIL MEMBERS |
| ✓ | | STARDIG |
| ✓ | | DAVIS |
| ✓ | | COHEN |
| ✓ | | BOYKINS |
| ✓ | | MARTIN |
| ✓ | | LE |
| ✓ | | TRAVIS |
| ✓ | | CISNEROS |
| ✓ | | GALLEGOS |
| ✓ | | LASTER |
| | | ABSENT ON PERSONAL BUSINESS |
| ✓ | | GREEN |
| ✓ | | KNOX |
| ✓ | | ROBINSON |
| ✓ | | KUBOSH |
| ✓ | | EDWARDS |
| ✓ | | CHRISTIE |
| CAPTION | ADOPTED | |

MAY 017 Rev. 12/15

EXHIBIT A

PREPARED: December 5, 2017
 APPROVED:
 AMENDMENT: 1
 ORDINANCE NO:

PROJECTED USE OF HOPWA FUNDS
 HOPWA 2014
 July 1, 2014 through June 30, 2015

| ELIGIBLE ACTIVITIES | CURRENT ALLOCATION | REALLOCATION | CURRENT REVISED ALLOCATION | % of CURRENT REVISED ALLOCATION |
|---|-------------------------|-----------------|----------------------------|---------------------------------|
| Operating Costs | \$ 1,416,196.00 | | \$ 1,416,196.00 | 13.00% |
| Supportive Services | \$ 2,554,600.00 | | \$ 2,554,600.00 | 23.45% |
| Project or Tenant Based Rental Assistance | \$ 3,527,418.00 | \$ 120,921.00 | \$ 3,648,339.00 | 33.49% |
| Short-term Rent, Mortgage & Utility Subsidies | \$ 2,185,300.00 | | \$ 2,185,300.00 | 20.06% |
| Resource Identification/Technical Assistance/Housing Information | \$ 120,921.00 | \$ (120,921.00) | \$ - | 0.00% |
| Grantee Administration (3% of Estimated Grant Amount) - HCDD | \$ 326,815.00 | | \$ 326,815.00 | 3.00% |
| Sponsor Administration (7% of Estimated Grant Amount) - Subgrantees | \$ 762,567.00 | \$ - | \$ 762,567.00 | 7.00% |
| TOTAL | \$ 10,893,817.00 | \$ - | \$ 10,893,817.00 | 100.00% |

PREPARED: December 5, 2017
 APPROVED:
 AMENDMENT: 1
 ORDINANCE NO:

PROJECTED USE OF HOPWA FUNDS
HOPWA 2017
 July 1, 2017 through June 30, 2018

| ELIGIBLE ACTIVITIES | CURRENT ALLOCATION | REALLOCATION | CURRENT REVISED ALLOCATION | % of CURRENT REVISED ALLOCATION |
|--|------------------------|-----------------|----------------------------|---------------------------------|
| Operating Costs | \$ 1,819,000.00 | | \$ 1,819,000.00 | 18.69% |
| Supportive Services | \$ 2,345,000.00 | | \$ 2,345,000.00 | 24.10% |
| Project or Tenant Based Rental Assistance | \$ 3,571,000.00 | \$ (500,000.00) | \$ 3,071,000.00 | 31.56% |
| Short-term Rent, Mortgage & Utility Subsidies | \$ 923,450.00 | \$ 500,000.00 | \$ 1,423,450.00 | 14.63% |
| Resource Identification/Technical Assistance/Housing Information | \$ 100,000.00 | | \$ 100,000.00 | 1.03% |
| Grantee Administration - HCDD | \$ 291,948.00 | | \$ 291,948.00 | 3.00% |
| Sponsor Administration - Subgrantees | \$ 681,212.00 | \$ - | \$ 681,212.00 | 7.00% |
| TOTAL | \$ 9,731,610.00 | \$ - | \$ 9,731,610.00 | 100.00% |

City of Houston, Texas, Ordinance No. 2018- 430

AN ORDINANCE APPROVING AND AUTHORIZING THE CITY OF HOUSTON TO SUBMIT THE 2018 ANNUAL ACTION PLAN TO THE UNITED STATES DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT, INCLUDING THE FOLLOWING 2018 GRANT APPLICATIONS AND BUDGETS: 1) 44TH PROGRAM YEAR COMMUNITY DEVELOPMENT BLOCK GRANT IN THE AMOUNT OF \$24,102,816.00 (WHICH AMOUNT INCLUDES \$23,946,316.00 IN CDBG FUNDS AND \$156,500.00 IN PROJECTED PROGRAM INCOME); 2) HOME INVESTMENT PARTNERSHIPS GRANT IN THE AMOUNT OF \$9,905,546.00 (WHICH AMOUNT INCLUDES \$9,810,603.00 IN HOME FUNDS AND \$94,943.00 IN PROJECTED PROGRAM INCOME); 3) HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS GRANT IN THE AMOUNT OF \$9,738,444.00; AND 4) EMERGENCY SOLUTIONS GRANT, FORMERLY KNOWN AS THE EMERGENCY SHELTER GRANTS, IN THE AMOUNT OF \$1,968,996.00; TO ACCEPT FUNDS FROM THE AFOREMENTIONED GRANTS, IF AWARDED; CONTAINING OTHER PROVISIONS RELATING TO THE FOREGOING SUBJECT; AND DECLARING AN EMERGENCY.

* * * *

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF HOUSTON, TEXAS:

Section 1. That the City Council hereby approves and authorizes the City of Houston ("City") to submit the 2018 Annual Action Plan ("Plan") to the United States Department of Housing and Urban Development ("HUD"), including the following 2018 grant applications and budgets (collectively, the "Applications"): 1) 44th Program Year Community Development Block Grant ("CDBG") in the amount of \$24,102,816.00, (which amount includes \$23,946,316.00 in CDBG funds and \$156,500.00 in Projected Program Income); 2) Home Investment Partnerships ("HOME") Grant in the amount of \$9,905,546.00, (which amount includes \$9,810,603.00 in Home funds and \$94,943.00 in Projected Program Income); 3) Housing Opportunities for Persons with AIDS ("HOPWA") Grant in the amount of \$9,738,444.00; and 4) Emergency Solutions Grant ("ESG"), formerly known as the Emergency Shelter Grants, in the amount of \$1,968,996.00; all in substantially the form attached hereto under **Exhibit "A"** and incorporated herein by this reference.

Section 2. That the Mayor is hereby designated as the official to accept the funds requested in the Applications, or a different amount awarded by HUD, for the purposes of the subject grant assistance programs, and the City Council hereby authorizes acceptance of such funds, if awarded.

Section 3. That the Mayor (or in the absence of the Mayor, the Mayor Pro-Tem) is hereby authorized to execute the Plan and Applications and all related documents on behalf of the City of Houston and to take all actions necessary to effectuate the City's intent and objectives in approving such Plan, Applications and related documents, or other undertakings in the event of changed circumstances. The City Secretary (or in the absence of the City Secretary, any Assistant City Secretary) is hereby authorized to attest to all such signatures and to affix the seal

of the City to all such instruments. The City Attorney is hereby authorized to take all action necessary to enforce legal obligations under said contracts, agreements or other undertakings, without further authorization from City Council.

Section 4. That public notice of the proposed Plan was published in the Houston Chronicle from March 13, 2018 through April 12, 2018; the applicable public comment period expired on April 12, 2018. The Housing and Community Development Department of the City of Houston ("HCDD") has considered comments and views of citizens received in writing, or orally at the public hearings, in response to the Plan and will make appropriate changes to the Plan prior to submission of same to HUD, as necessary.

Section 5. That the City Council takes cognizance of the fact that in order to facilitate operations of the various City housing and community development programs, projects and activities, and to make adjustments occasioned by events transpiring during the year, some transfers will be necessary to and from some of the accounts contained within each of the Applications as originally adopted. Accordingly, if the Mayor, through the Director of HCDD, its designee, or successor, from time to time shall upon the review of each grant separately and individually determine:

- (1) that there are unexpended funds in a grant budget for one or more housing and community development programs, projects or activities, which could be transferred to another program year budget without creating deficits in the requirements for any housing and community development program, project or activity;
- (2) that all proposed transfers comply in all respects with all applicable federal laws and regulations;
- (3) that when a formal amendment is required this document and its attachment will serve as a transmittal to HUD in compliance with 24 CFR §91.505(c), when applicable;
- (4) that a formal amendment may not be required by the United States Department of Housing and Urban Development nor the City Council of the City for such administrative and other minor changes to the budget; and

then, for all such administrative transfers, the Director of the Department of HCDD, his/her designee, or successor, may issue a request for the proposed transfer to the Director of the Finance Department. Upon receipt of such request, the Director of the Finance Department is hereby authorized to make transfers to and from said budget account or accounts in accordance with the request and to certify to the City Controller the amounts transferred and the accounts affected. Upon receipt of such certification, the City Controller shall treat such funds as if they had been so budgeted in the first instance.

Section 6. That the City Council authorizes the Director of HCDD or his or her designee or successor to make final adjustments to the Plan prior to its full execution, as may be required by HUD, without the necessity of returning to City Council for final approval.

Section 7. That there exists a public emergency requiring that this Ordinance be passed finally on the date of its introduction as requested in writing by the Mayor; therefore, this Ordinance shall be passed finally on such date and shall take effect immediately upon its passage and approval by the Mayor; however, in the event that the Mayor fails to sign this Ordinance within five days after its passage and adoption, it shall take effect in accordance with Article VI, Section 6, Houston City Charter.

PASSED AND ADOPTED this 23rd day of May, 2018.

ADOPTED this _____ day of _____, 2018.

Mayor of the City of Houston

Pursuant to Article VI, Section 6, Houston City Charter, the effective date of the foregoing Ordinance is MAY 29 2018.


City Secretary

(Prepared by Legal Dept. Barbara J. Pierce
(BJP/EA/05/08/18) Senior Assistant City Attorney
(Requested by Tom McCasland, Director, Housing and Community Development)
(L.D. File No.0291800106001)

Total Amount of Funding: \$45,464,359.00
CDBG (\$23,946,316.00), HOME (\$9,810,603.00), HOPWA (\$9,738,444.00), and ESG Grants (\$1,968,996.00)

z:\bet\ord\ord44thcdbghomehopwaesg2018.doc

| AYE | NO | |
|--------------------------------|---------|-----------------|
| ✓ | | MAYOR TURNER |
| | | COUNCIL MEMBERS |
| ✓ | | STARDIG |
| ABSENT | | DAVIS |
| ✓ | | COHEN |
| ✓ | | BOYKINS |
| ABSENT-ON PERSONAL BUSINESS | | MARTIN |
| ✓ | | LE |
| ✓ | | TRAVIS |
| ✓ | | CISNEROS |
| ✓ | | GALLEGOS |
| ✓ | | LASTER |
| ✓ | | CASTEX-TATUM |
| ✓ | | KNOX |
| ✓ | | ROBINSON |
| ✓ | | KUBOSH |
| ✓ | | EDWARDS |
| ✓ | | CHRISTIE |
| CAPTION | ADOPTED | |

Rev. 5/18

CAPTION PUBLISHED IN DAILY COURT
 REVIEW
 DATE: MAY 29 2018

EXHIBIT A

CDBG Budget Page

| CDBG Funds | Estimated Allocation (PY 2018 / FY 2019) |
|---|---|
| Sources | |
| Projected CDBG Grant Award | \$ 23,946,316 |
| Projected Program Income | \$ 156,500 |
| Projected CDBG Funding | \$ 24,102,816 |
| Uses | |
| Program Administration* | \$ 4,820,563 |
| Public Services** | \$ 3,542,042 |
| ESG Match (1:1 - Includes In-Kind)** | \$ 500,000 |
| Public Facilities and Improvements (Public/Private) | \$ 409,600 |
| Single Family Home Repair | \$ 4,733,581 |
| Single-Family Home Development | \$ 5,288,800 |
| Homeownership Assistance | \$ 1,542,400 |
| Multifamily Housing | \$ 205,000 |
| Economic Development | \$ 205,000 |
| Code Enforcement | \$ 2,855,830 |
| Total | \$ 24,102,816 |

*Program Administration up to 20% of Grant Amount + Projected Program Income

**The City has been grandfathered with a rate of 16.77% instead of 15%

CDBG Estimated Program Income

| CDBG Estimated Program Income | Estimated Program Income (PY 2018 // FY 2019) |
|--|--|
| Sources | |
| Multifamily Housing Loan Repayments/Fees | \$ 156,500 |
| Projected CDBG Funding | \$ 156,500 |
| Uses | |
| Program Administration | \$ 31,300 |
| Public Services | \$ 26,245 |
| Single Family Home Repair | \$ 98,955 |

HOME Budget Page

| HOME Funds | Estimated Allocation (PY 2018 / FY 2019) |
|-------------------------------|---|
| Sources | |
| Projected HOME Grant Award | \$ 9,810,603 |
| Projected Program Income | \$ 94,943 |
| Projected HOME Funding | \$ 9,905,546 |
| Uses | |
| Planning and Administration* | \$ 990,554 |
| Multifamily Development | \$ 7,161,710 |
| Single Family Development** | \$ 1,753,282 |
| Total | \$ 9,905,546 |

**Planning and Administration up to 10% of Grant Amount + Projected Program Income*

***15% CHDO set aside included*

HOME Estimated Program Income

| HOME Estimated Program Income | Estimated Program Income (PY 2017 / FY 2018) |
|--|---|
| Sources | |
| Multifamily Housing Loan Repayments/Fees | \$ 94,943 |
| Projected HOME Funding | \$ 94,943 |
| Uses | |
| Program Administration | \$ 9,494 |
| Multifamily Development | \$ 85,449 |

HOPWA Budget Page

| HOPWA Funds | Estimated Allocation (PY 2018 / FY 2019) |
|--|---|
| Sources | |
| Projected HOPWA Grant Award | \$ 9,738,444 |
| Uses | |
| Operating Costs | \$ 2,042,000 |
| Supportive Services | \$ 2,804,000 |
| Project or Tenant Based Rental Assistance | \$ 2,243,000 |
| Short-term Rent, Mortgage, & Utility Subsidies | \$ 2,361,444 |
| Grantee Administration -- HCDD | \$ 288,000 |
| Total | \$ 9,738,444 |

ESG Budget Page

| ESG Funds | Estimated Allocation (PY 2018 / FY 2019) |
|---|---|
| Sources | |
| Projected ESG Grant Award | \$ 1,968,996 |
| Uses | |
| Homeless Management Information System (HMIS) | \$ 79,200 |
| Emergency Shelter | \$ 655,000 |
| Homeless Prevention | \$ 395,000 |
| Rapid Rehousing | \$ 692,122 |
| Administration | \$ 147,674 |
| Total | \$ 1,968,996 |

City of Houston, Texas, Ordinance No. 2018- 457

AN ORDINANCE AMENDING CITY OF HOUSTON ORDINANCE NO. 2018-430 PASSED MAY 23, 2018, WHICH APPROVED AND AUTHORIZED SUBMISSION OF THE 2018 ANNUAL ACTION PLAN TO THE UNITED STATES DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT, TO REPLACE EXHIBIT "A" TO THE ORDINANCE WITH A NEW EXHIBIT "A" TO ADD THE FEDERAL GRANT APPLICATIONS FOR THE COMMUNITY DEVELOPMENT BLOCK GRANT ("CDBG"), HOME INVESTMENT PARTNERSHIPS GRANT ("HOME"), HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS GRANT ("HOPWA"), AND EMERGENCY SOLUTIONS GRANT (ESG), INCLUDING CERTIFICATIONS AND BUDGETS; CONTAINING OTHER PROVISIONS RELATING TO THE FOREGOING SUBJECT; AND DECLARING AN EMERGENCY.

* * * *

WHEREAS, on May 23, 2018, pursuant to Ordinance No. 2018-430, the City Council of the City of Houston ("City Council") approved and authorized the submission of the 2018 Annual Action Plan to the United States Department of Housing and Urban Development ("HUD"), including the 2018 Federal grant applications and budgets for the Community Development Block Grant ("CDBG"), Home Investment Partnerships Grant ("HOME"), Housing Opportunities for Persons with AIDS Grant ("HOPWA"), and the Emergency Solutions Grant ("ESG"), formally known as the Emergency Shelter Grants, and the acceptance of the funds, if awarded; and

WHEREAS, the City's Housing and Community Development Department ("HCDD") now desires to amend Ordinance No. 2018-430 by replacing **Exhibit "A"** to the Ordinance authorizing submission of the 2018 Annual Action Plan to HUD, with a new **Exhibit "A"** to add the 2018 Federal grant applications for CDBG, HOME, HOPWA, and ESG, including certifications and budgets; **NOW THEREFORE**

* * * *

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF HOUSTON, TEXAS:

Section 1. That the City hereby adopts the recitals set forth above and hereby amends Ordinance No. 2018-430 passed and approved on May 23, 2018, by replacing **Exhibit "A"** to Ordinance No. 2018-430 with a new **Exhibit "A"** to add the Federal grant applications for CDBG, HOME, HOPWA, and ESG, including certifications and budgets, for submission to HUD in connection with the 2018 Annual Action Plan.

Section 2. That by this amendment **Exhibit "A"** to Ordinance No. 2018-430 is hereby replaced with a new **Exhibit "A"**, attached hereto and incorporated herein by reference.

Section 3. That except as specifically provided herein, no modifications or amendments to Ordinance No. 2018-430 are made or intended hereby, and Ordinance No. 2018-430, as amended hereby, remains in full force and effect.

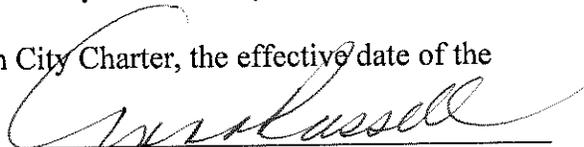
Section 4. That there exists a public emergency requiring that this Ordinance be passed finally on the date of its introduction as requested in writing by the Mayor; therefore, this Ordinance shall be passed finally on such date and shall take effect immediately upon its passage and approval by the Mayor; however, in the event the Mayor fails to sign this Ordinance within five days after its passage and adoption, it shall take effect in accordance with Article VI, Section 6, Houston City Charter.

PASSED AND ADOPTED this 6th day of June, 2018.

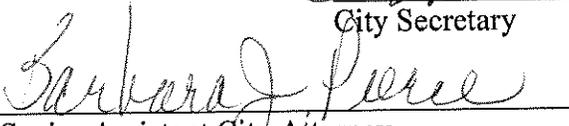
ADOPTED this _____ day of _____, 2018.

Mayor of the City of Houston

Pursuant to Article VI, Section 6, Houston City Charter, the effective date of the foregoing Ordinance is JUN 12 2018.



City Secretary

(Prepared by Legal Dept. 
(BJP/EA/05/31/18) Senior Assistant City Attorney
(Requested by Tom McCasland, Director, Housing and Community Development)
(L.D. File No.0291800106001)

z:\ea\ord\ord442018ActionAnnualPlan.doc

CAPTION PUBLISHED IN DAILY COURT
 REVIEW
 DATE: JUN 12 2018

| AYE | NO | |
|---------|---------|-----------------|
| ✓ | | MAYOR TURNER |
| | | COUNCIL MEMBERS |
| ✓ | | STARDIG |
| | | DAVIS |
| ✓ | | COHEN |
| ✓ | | BOYKINS |
| ✓ | | MARTIN |
| ✓ | | LE |
| ✓ | | TRAVIS |
| ✓ | | CISNEROS |
| ✓ | | GALLEGOS |
| ✓ | | LASTER |
| ✓ | | CASTEX-TATUM |
| ✓ | | KNOX |
| ✓ | | ROBINSON |
| ✓ | | KUBOSH |
| ✓ | | EDWARDS |
| ✓ | | CHRISTIE |
| CAPTION | ADOPTED | |

Rev. 5/18

EXHIBIT A

Application for Federal Assistance SF-424

*** 1. Type of Submission:**

- Preapplication
- Application
- Changed/Corrected Application

*** 2. Type of Application:**

- New
- Continuation
- Revision

*** If Revision, select appropriate letter(s):**

[]

*** Other (Specify):**

[]

*** 3. Date Received:**

[]

4. Applicant Identifier:

CDBG - B18-MC48-001

5a. Federal Entity Identifier:

[]

5b. Federal Award Identifier:

[]

State Use Only:

6. Date Received by State:

[]

7. State Application Identifier:

[]

8. APPLICANT INFORMATION:

*** a. Legal Name:**

City of Houston

*** b. Employer/Taxpayer Identification Number (EIN/TIN):**

746001164

*** c. Organizational DUNS:**

8324319850000

d. Address:

*** Street1:**

601 Sawyer

Street2:

P.O. Box 1562

*** City:**

Houston

County/Parish:

[]

*** State:**

TX: Texas

Province:

[]

*** Country:**

USA: UNITED STATES

*** Zip / Postal Code:**

77251-1562

e. Organizational Unit:

Department Name:

Housing and Community Dev Dept

Division Name:

[]

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

[]

*** First Name:**

Tom

Middle Name:

[]

*** Last Name:**

McCasland

Suffix:

[]

Title:

Director

Organizational Affiliation:

[]

*** Telephone Number:**

832-394-6282

Fax Number:

[]

*** Email:**

Tom.McCasland@houstontx.gov

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*** Other (specify):**

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.218

CFDA Title:

Community Development Block Grant

*** 12. Funding Opportunity Number:**

*** Title:**

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

City of Houston

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

CDBG Grant Funds - PY 2018

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

| | |
|---------------------|--|
| * a. Federal | <input type="text" value="23,946,316.00"/> |
| * b. Applicant | <input type="text"/> |
| * c. State | <input type="text"/> |
| * d. Local | <input type="text"/> |
| * e. Other | <input type="text"/> |
| * f. Program Income | <input type="text" value="156,500.00"/> |
| * g. TOTAL | <input type="text" value="24,102,816.00"/> |

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes No

If "Yes", provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:

* Last Name:

Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: * Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 01/31/2019

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|---|----------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE |
| | Mayor |
| APPLICANT ORGANIZATION | DATE SUBMITTED |
| City of Houston | |

SF-424D (Rev. 7-97) Back

Attest/Seal:

City Secretary

Countersigned:

City Controller

DATE OF COUNTERSIGNATURE: _____, 2018

APPROVED:

Director
Housing and Community Development Department

APPROVED AS TO FORM:

Senior Assistant City Attorney

CDBG Budget Page

| CDBG Funds | Estimated Allocation (PY 2018 / FY 2019) |
|---|---|
| Sources | |
| Projected CDBG Grant Award | \$ 23,946,316 |
| Projected Program Income | \$ 156,500 |
| Projected CDBG Funding | \$ 24,102,816 |
| Uses | |
| Program Administration* | \$ 4,820,563 |
| Public Services** | \$ 3,542,042 |
| ESG Match (1:1 - Includes In-Kind)** | \$ 500,000 |
| Public Facilities and Improvements (Public/Private) | \$ 409,600 |
| Single Family Home Repair | \$ 4,733,581 |
| Single-Family Home Development | \$ 5,288,800 |
| Homeownership Assistance | \$ 1,542,400 |
| Multifamily Housing | \$ 205,000 |
| Economic Development | \$ 205,000 |
| Code Enforcement | \$ 2,855,830 |
| Total | \$24,102,816 |

*Program Administration up to 20% of Grant Amount + Projected Program Income

**The City has been grandfathered with a rate of 16.77% instead of 15%

CDBG Estimated Program Income

| CDBG Estimated Program Income | Estimated Program Income (PY 2018 / FY 2019) |
|--|---|
| Sources | |
| Multifamily Housing Loan Repayments/Fees | \$ 156,500 |
| Projected CDBG Funding | \$ 156,500 |
| Uses | |
| Program Administration | \$ 31,300 |
| Public Services | \$ 26,245 |
| Single Family Home Repair | \$ 98,955 |

Application for Federal Assistance SF-424

| | | |
|--|--|--|
| * 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application | * 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision | * If Revision, select appropriate letter(s): _____ * Other (Specify): _____ |
|--|--|--|

| | |
|------------------------------|---|
| * 3. Date Received: _____ | 4. Applicant Identifier: HOME - M18-MC-48-0206 |
|------------------------------|---|

| | |
|---|--|
| 5a. Federal Entity Identifier: _____ | 5b. Federal Award Identifier: _____ |
|---|--|

State Use Only:

| | |
|----------------------------------|--|
| 6. Date Received by State: _____ | 7. State Application Identifier: _____ |
|----------------------------------|--|

8. APPLICANT INFORMATION:

| | |
|---|---|
| * a. Legal Name: City of Houston | |
| * b. Employer/Taxpayer Identification Number (EIN/TIN): 746001164 | * c. Organizational DUNS: 8324319850000 |

d. Address:

| |
|---------------------------------|
| * Street1: 601 Sawyer |
| Street2: P.O. Box 1562 |
| * City: Houston |
| County/Parish: _____ |
| * State: TX; Texas |
| Province: _____ |
| * Country: USA; UNITED STATES |
| * Zip / Postal Code: 77251-1562 |

e. Organizational Unit:

| | |
|---|----------------------|
| Department Name: Housing and Community Dev Dept | Division Name: _____ |
|---|----------------------|

f. Name and contact information of person to be contacted on matters involving this application:

| | |
|--------------------------------------|-------------------|
| Prefix: _____ | * First Name: Tom |
| Middle Name: _____ | |
| * Last Name: McCasland | |
| Suffix: _____ | |
| Title: Director | |
| Organizational Affiliation: _____ | |
| * Telephone Number: 832-394-6282 | Fax Number: _____ |
| * Email: Tom.McCasland@houstontx.gov | |

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*** Other (specify):**

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.239

CFDA Title:

HOME Investment Partnerships Grant

*** 12. Funding Opportunity Number:**

*** Title:**

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

City of Houston

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

HOME Grant Funds - PY 2018

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

| | |
|---------------------|---|
| * a. Federal | <input type="text" value="9,810,603.00"/> |
| * b. Applicant | <input type="text"/> |
| * c. State | <input type="text"/> |
| * d. Local | <input type="text"/> |
| * e. Other | <input type="text"/> |
| * f. Program Income | <input type="text" value="94,943.00"/> |
| * g. TOTAL | <input type="text" value="9,905,546.00"/> |

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:

* First Name:

Middle Name:

* Last Name:

Suffix:

* Title:

* Telephone Number:

Fax Number:

* Email:

* Signature of Authorized Representative:

* Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 01/31/2019

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Previous Edition Usable

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Standard Form 424D (Rev. 7-97)
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|---|----------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE Mayor |
| APPLICANT ORGANIZATION City of Houston | DATE SUBMITTED |

SF-424D (Rev. 7-97) Back

Attest/Seal:

City Secretary

Countersigned:

City Controller

DATE OF COUNTERSIGNATURE: _____, 2018

APPROVED:

Director
Housing and Community Development Department

APPROVED AS TO FORM:

Senior Assistant City Attorney

HOME Budget Page

| HOME Funds | Estimated Allocation (PY 2018 / FY 2019) |
|-------------------------------|---|
| Sources | |
| Projected HOME Grant Award | \$ 9,810,603 |
| Projected Program Income | \$ 94,943 |
| Projected HOME Funding | \$ 9,905,546 |
| Uses | |
| Planning and Administration* | \$ 990,554 |
| Multifamily Development | \$ 7,161,710 |
| Single Family Development** | \$ 1,753,282 |
| Total | \$ 9,905,546 |

*Planning and Administration up to 10% of Grant Amount + Projected Program Income

**15% CHDO set aside included

HOME Estimated Program Income

| HOME Estimated Program Income | Estimated Program Income (PY 2017 / FY 2018) |
|--|---|
| Sources | |
| Multifamily Housing Loan Repayments/Fees | \$ 94,943 |
| Projected HOME Funding | \$ 94,943 |
| Uses | |
| Program Administration | \$ 9,494 |
| Multifamily Development | \$ 85,449 |

Application for Federal Assistance SF-424

| | | |
|--|--|--|
| * 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application | * 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision | * If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/> |
|--|--|--|

| | |
|---|---|
| * 3. Date Received: <input type="text"/> | 4. Applicant Identifier: HOPWA - TXH18F003 |
|---|---|

| | |
|--|---|
| 5a. Federal Entity Identifier: <input type="text"/> | 5b. Federal Award Identifier: <input type="text"/> |
|--|---|

State Use Only:

| | |
|---|---|
| 6. Date Received by State: <input type="text"/> | 7. State Application Identifier: <input type="text"/> |
|---|---|

8. APPLICANT INFORMATION:

| |
|---|
| * a. Legal Name: City of Houston |
| * b. Employer/Taxpayer Identification Number (EIN/TIN): 746001164 |
| * c. Organizational DUNS: 8324319850000 |

d. Address:

| | |
|----------------------|--------------------|
| * Street1: | 601 Sawyer |
| Street2: | P.O. Box 1562 |
| * City: | Houston |
| County/Parish: | |
| * State: | TX: Texas |
| Province: | |
| * Country: | USA: UNITED STATES |
| * Zip / Postal Code: | 77251-1562 |

e. Organizational Unit:

| | |
|--|--|
| Department Name: Housing and Community Dev Dept | Division Name: <input type="text"/> |
|--|--|

f. Name and contact information of person to be contacted on matters involving this application:

| | |
|---|----------------------------------|
| Prefix: <input type="text"/> | * First Name: Tom |
| Middle Name: <input type="text"/> | |
| * Last Name: McCasland | |
| Suffix: <input type="text"/> | |
| Title: Director | |
| Organizational Affiliation: <input type="text"/> | |
| * Telephone Number: 832-394-6282 | Fax Number: <input type="text"/> |
| * Email: Tom.McCasland@houstontx.gov | |

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*** Other (specify):**

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.241

CFDA Title:

Housing Opportunities for Persons with AIDS

*** 12. Funding Opportunity Number:**

*** Title:**

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

City of Houston

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

HOPWA Grant Funds - PY 2018

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

| | |
|---------------------|---|
| * a. Federal | <input type="text" value="9,738,444.00"/> |
| * b. Applicant | <input type="text"/> |
| * c. State | <input type="text"/> |
| * d. Local | <input type="text"/> |
| * e. Other | <input type="text"/> |
| * f. Program Income | <input type="text"/> |
| * g. TOTAL | <input type="text" value="9,738,444.00"/> |

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative:

* Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 01/31/2019

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|---|----------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE Mayor |
| APPLICANT ORGANIZATION City of Houston | DATE SUBMITTED |

SF-424D (Rev. 7-97) Back

Attest/Seal:

City Secretary

Countersigned:

City Controller

DATE OF COUNTERSIGNATURE: _____, 2018

APPROVED:

Director
Housing and Community Development Department

APPROVED AS TO FORM:

Senior Assistant City Attorney

HOPWA Budget Page

| HOPWA Funds | Estimated Allocation (PY 2018 / FY 2019) |
|--|---|
| Sources | |
| Projected HOPWA Grant Award | \$ 9,738,444 |
| Uses | |
| Operating Costs | \$ 2,042,000 |
| Supportive Services | \$ 2,804,000 |
| Project or Tenant Based Rental Assistance | \$ 2,243,000 |
| Short-term Rent, Mortgage, & Utility Subsidies | \$ 2,361,444 |
| Grantee Administration -- HCDD | \$ 288,000 |
| Total | \$ 9,738,444 |

Application for Federal Assistance SF-424

| | | |
|---|---|--|
| * 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application | * 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision | * If Revision, select appropriate letter(s): _____ * Other (Specify): _____ |
|---|---|--|

| | |
|-------------------------------------|---|
| * 3. Date Received: _____ | 4. Applicant Identifier: ESG - S18-MC48-003 |
|-------------------------------------|---|

| | |
|--|---|
| 5a. Federal Entity Identifier: _____ | 5b. Federal Award Identifier: _____ |
|--|---|

State Use Only:

| | |
|---|---|
| 6. Date Received by State: _____ | 7. State Application Identifier: _____ |
|---|---|

8. APPLICANT INFORMATION:

| | |
|---|---|
| * a. Legal Name: City of Houston | |
| * b. Employer/Taxpayer Identification Number (EIN/TIN): 746001164 | * c. Organizational DUNS: 8324319850000 |

d. Address:

| | |
|-----------------------------|--------------------|
| * Street1: | 601 Sawyer |
| Street2: | P.O. Box 1562 |
| * City: | Houston |
| County/Parish: | _____ |
| * State: | TX: Texas |
| Province: | _____ |
| * Country: | USA: UNITED STATES |
| * Zip / Postal Code: | 77251-1562 |

e. Organizational Unit:

| | |
|---|--------------------------------|
| Department Name: Housing and Community Dev Dept | Division Name: _____ |
|---|--------------------------------|

f. Name and contact information of person to be contacted on matters involving this application:

| | |
|---|--------------------------|
| Prefix: _____ | * First Name: Tom |
| Middle Name: _____ | |
| * Last Name: McCasland | |
| Suffix: _____ | |
| Title: Director | |
| Organizational Affiliation: _____ | |
| * Telephone Number: 832-394-6282 | Fax Number: _____ |
| * Email: Tom.McCasland@houstontx.gov | |

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.231

CFDA Title:

Emergency Solutions Grant

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

City of Houston

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

ESG Grant Funds - PY 2018

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

| | |
|---------------------|---|
| * a. Federal | <input type="text" value="1,968,996.00"/> |
| * b. Applicant | <input type="text"/> |
| * c. State | <input type="text"/> |
| * d. Local | <input type="text"/> |
| * e. Other | <input type="text"/> |
| * f. Program Income | <input type="text"/> |
| * g. TOTAL | <input type="text" value="1,968,996.00"/> |

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

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c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

** I AGREE

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Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative:

* Date Signed:

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 01/31/2019

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Standard Form 424D (Rev. 7-97)
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
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13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
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17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|---|----------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE |
| | Mayor |
| APPLICANT ORGANIZATION | DATE SUBMITTED |
| City of Houston | |

SF-424D (Rev. 7-97) Back

Attest/Seal:

City Secretary

Countersigned:

City Controller

DATE OF COUNTERSIGNATURE: _____, 2018

APPROVED:

Director
Housing and Community Development Department

APPROVED AS TO FORM:

Senior Assistant City Attorney

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.

Signature of Authorized Official

Date

Title

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws.

Signature of Authorized Official

Date

Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

Signature of Authorized Official

Date

Title

Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

Major rehabilitation/conversion/renovation – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

Matching Funds – The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

Discharge Policy – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Signature of Authorized Official

Date

Title

Housing Opportunities for Persons With AIDS Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

Signature of Authorized Official

Date

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

